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DOI:

[10.1089/jir.2017.0088](https://doi.org/10.1089/jir.2017.0088)

Document Version

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Citation for published version (APA):

Waheed, A., Dalton, B., Wesemann, U., Ibrahim, M. A. A., & Himmerich, H. (2018). A Systematic Review of Interleukin (IL) -1 β in Post-Traumatic Stress Disorder: Evidence from Human and Animal Studies. *Journal of Interferon and Cytokine Research*, 38(1). <https://doi.org/10.1089/jir.2017.0088>

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Waheed, Dalton, et al.

1 A Systematic Review of Interleukin (IL) -1 β in Post-Traumatic Stress Disorder: Evidence from Human and
2 Animal Studies

3 (Short Title: Systematic review of IL-1 β and PTSD)

4

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19 Systematic review submitted to: **Journal of Interferon and Cytokine Research**

20

21 **Keywords:** Interleukin-1beta (IL-1 β), Post-Traumatic Stress Disorder (PTSD), cytokines, biomarker

22

23

24 **Abstract**

25 Pro-inflammatory cytokines, such as IL-1 β , have been implicated as underlying pathophysiological
26 mechanisms and potential biomarkers of Post-Traumatic Stress Disorder (PTSD). This systematic
27 review examines data regarding IL-1 β production/concentration in human and animal studies of PTSD.
28 In accordance with PRISMA guidelines, relevant articles from PubMed were reviewed from inception
29 until 10th July 2017.

30 Nineteen studies were eligible for inclusion. Animal studies demonstrated increased hippocampal IL-
31 1 β in rodent models of PTSD. Several immunomodulatory drugs were shown to reduce elevated IL-1 β
32 levels and anxiety-like behaviours in animals. Human cross-sectional studies showed contradictory
33 results; serum and plasma IL-1 β concentrations in PTSD patients were either elevated or did not differ
34 from control groups. *In-vitro* IL-1 β production by stimulated cells demonstrated no difference
35 between PTSD and control participants, although spontaneous *in-vitro* production of IL-1 β was
36 increased in the PTSD group. The findings from two longitudinal studies were inconsistent.

37 Given the conflicting findings, it is premature to consider IL-1 β as a biomarker of PTSD. Anti-
38 inflammatory agents may reduce IL-1 β , and be a potential basis for future therapeutic agents in PTSD
39 treatment. More longitudinal research is needed to better understand the role of IL-1 β in the
40 development and/or maintenance of PTSD.

41

42 **Introduction**

43 Post-traumatic Stress Disorder (PTSD) is a chronic psychiatric disorder that can develop in response to
44 exposure to a catastrophic threat. Triggers can include war, sexual or physical assault, and natural
45 disasters. Throughout history the condition has had various labels, including 'Shell Shock' and 'Stress
46 Response Syndrome', although it was not until the late twentieth century that it was included in the
47 diagnostic classifications used in psychiatry practice today (American Psychiatric Association 2013).
48 PTSD was first included in the Diagnostic and Statistical Manual (DSM) of the American Psychiatric

49 Association in 1980, followed by inclusion in the World Health Organisation's International
50 Classification of Diseases (ICD) over a decade later, in 1992 (World Health Organization 1992).
51 Although similar, the two systems provide differing criteria for which to diagnose patients potentially
52 suffering from PTSD.

53 PTSD diagnosis and assessment

54 *PTSD diagnosis.* Specifically, the ICD-10 classification requires patients to have been exposed to a
55 stressful event which is perceived as threatening and causes them distress (World Health Organization
56 1992). No minimum length of exposure is stated, however, symptoms should begin within six months
57 of the traumatic exposure. The trauma is regularly revisited by the patient through intrusive dreams,
58 memories, or flashbacks, particularly if the individual finds themselves in a similar situation to the
59 initial trauma. Patients actively avoid situations associated with the trauma or block out memories
60 pertaining to the event. In addition, patients should experience two or more of the following
61 symptoms in order for the diagnosis to be made: difficulty falling asleep, lability of mood or emotional
62 outbursts, concentration deficits, hyper-arousal or an increased startle response. These symptoms
63 ought to be of new onset following the trauma, and are indicative of amplified psychological sensitivity
64 (World Health Organization 1992).

65 Similarly, to fulfil a diagnosis of PTSD according to DSM-5 criteria (American Psychiatric Association
66 2013), patients should have experienced an event involving actual or threat of death, sexual or
67 physical violence, or serious injury. This may be through direct exposure, witnessing an event, or being
68 informed that an acquaintance or relative was involved in a traumatic event. Akin to the ICD-10
69 classification, re-experiencing the trauma is required, although not necessarily as nightmares or
70 flashbacks. Severe emotional distress or physical reactivity can also be diagnostic. Avoidance of
71 circumstances or thoughts related to the trauma and two or more negative emotions must also be
72 present, or become progressively worse following the trauma. These may include feelings of isolation,
73 persecutory thoughts, or being unable to remember facts relating to the event. The patient also needs

74 to suffer from 2 or more symptoms of hyper-arousal, again equivalent to ICD-10 criteria. Unique to
75 DSM-5, the patient's symptoms must be severe enough to cause distress and deterioration of normal
76 function, which cannot be explained by other factors, such as changes in medication or co-morbid
77 pathology, and should persist for a minimum of one month following the initial trauma. All elements
78 of the criteria must be fulfilled for over 1 month duration for a confident diagnosis to be made
79 (American Psychiatric Association 2013).

80 *Assessment of PTSD.* The ICD-10 or DSM-5 criteria are commonly used for research purposes and for
81 the clinical diagnosis of PTSD. In clinical as well as in research practice, the structured clinical interview
82 for the DSM-IV axis I disorders (SCID-IV), and more recently the SCID-5, are standard validated
83 diagnostic tools with good sensitivity and inter-rater reliability that are used to make the diagnosis
84 according to DSM-IV and DSM-5 (Elhai et al 2008; Lobbestael et al 2011). In research, self-administered
85 PTSD questionnaires are also used, including the PTSD Checklist (PCL; Blanchard et al 1996; a civilian
86 and military version are also available, PCL-C and PCL-M respectively; Weathers et al 2013), the Los
87 Angeles Symptom Checklist (LASC; King et al 1995), and the Posttraumatic Diagnostic Scale (PDS; Foa
88 et al 1997; Sheeran and Zimmerman 2002). Although quicker to perform, these measures may have a
89 tendency to over-diagnose patients (Griffin et al 2004). Currently, the gold standard tool for assessing
90 PTSD symptom severity is the Clinician-Administered PTSD Scale for DSM-5 (CAPS-5; American
91 Psychiatric Association 2013; Blake et al 1995).

92 *Animal models of PTSD.* Rodents are typically used and are subjected to various validated stress-
93 paradigms in order to simulate a PTSD-like state in the animals (Goswami et al 2013). Two examples
94 are the Stress-Enhanced Fear Learning (SEFL) model and the Single Prolonged Stress (SPS) procedure.
95 In SEFL rodents are given multiple electric shocks, at a later time they are then placed in a different
96 environment and given a single shock as a reminder of the original stressful event. Freezing time
97 and/or immobility is used as a measure of a learned fear response (Rau et al 2005). In the SPS
98 procedure, several stressors are administered to the rodent, including restraint, forced swimming, and

99 ether, followed by a period of inactivity (Yamamoto et al 2009). In PTSD models, various behavioural
100 tests, including the open field test, are then subsequently performed to confirm the presence of pre-
101 defined PTSD traits, such as hyperarousal and social withdrawal. Following the implementation of an
102 animal model, medication trials, behavioural experiments, and cellular analysis can then be
103 performed.

104 The pathophysiology of PTSD

105 An important biological factor involved in the pathophysiology of PTSD seems to be brain morphology
106 and function. Studies have demonstrated gross morphological differences between the brains of PTSD
107 patients and healthy controls (Woon and Hedges 2009; Zandieh et al 2016). These include changes to
108 the hippocampus, amygdala, and prefrontal cortex, which may account for the symptoms of PTSD,
109 given their involvement in memory, emotion and personality, and behavioural functioning.
110 Specifically, hippocampal volume and grey matter density were found to be reduced in PTSD patients
111 compared to healthy controls (Gilbertson et al 2002). Also, studies have demonstrated reduced
112 amygdala volumes in PTSD patients (Morey et al 2012). Interestingly, stress has been shown to lead
113 to increases in pro-inflammatory cytokines (Lopez-Castejon and Brough 2011; Wilson et al 2013) and
114 kynurenine pathway metabolites which can both be neurotoxic in the hippocampus, the amygdala
115 and prefrontal cortex (Kim and Won 2017).

116 Current review

117 As PTSD is a heterogeneous disorder, it is likely that there are multiple mechanisms underlying the
118 development and maintenance of the disorder and therefore, several possible biomarkers.
119 Inflammatory processes are one possible biomarker of PTSD (Michopoulos et al 2015). Existing
120 literature indicates that pro-inflammatory cytokines could be a contributing factor to the
121 pathophysiology of PTSD and play a role in PTSD-related elevated risk for cardiovascular, autoimmune,
122 and neurodegenerative diseases, although the precise mechanisms remain unclear.

123 The current review will focus upon IL-1 β as it is a key cytokine that has been implicated in
124 neuroplasticity and the process of memory formation (Lopez-Castejon and Brough 2011). Secreted
125 from macrophages, microglia and astrocytes, IL-1 β has roles in host defence, tissue injury during acute
126 and chronic inflammatory disease, and auto-inflammatory conditions. A recent meta-analysis found
127 that several pro-inflammatory cytokines, including IL-1 β , are elevated in PTSD patients in comparison
128 to control groups (Passos et al 2015). This meta-analysis focused on human cross-sectional *in-vivo*
129 measurements and did not account for *in-vitro* measurements, longitudinal investigations, nor animal
130 studies. Thus, the current review is warranted to provide a more comprehensive and up-to-date
131 summary of the available literature. The purpose of this systematic review is to summarise the
132 evidence regarding IL-1 β and PTSD in human and animal literature, with a view to adding to current
133 understanding of the condition and its pathophysiology, and to assess its potential as a biomarker for
134 PTSD and its treatment. If an association is identified between IL-1 β and PTSD, this could provide a
135 potential future treatment target for PTSD, as blocking medications are available.

136 **Methods**

137 Selection Criteria

138 Articles were eligible for inclusion in the review if they reported on original research pertaining to both
139 IL-1 β and PTSD in human or animal studies. Specifically, studies of any design that assessed IL-1 β
140 production *in-vitro* or IL-1 β concentration in the serum, plasma, or cerebrospinal fluid (*in-vivo*) of
141 individuals with PTSD were eligible for inclusion. Studies were included if they reported a group and/or
142 longitudinal comparison of IL-1 β concentration and/or production. Publications reporting on the
143 measurement of IL-1 β in animal models of PTSD were also included.

144 Studies were excluded if: (i) they did not report group or longitudinal comparisons in concentration or
145 production of IL-1 β ; (ii) they presented purely genetic or IL-1 β receptor data; (iii) participants were
146 not formally diagnosed with PTSD according to a validated assessment tool or diagnostic criteria; and
147 (v) life trauma, childhood trauma or distress caused by chronic illness, rather than PTSD, was

148 investigated. Review articles, meta-analyses, conference proceedings/abstracts, editorials, letters,
149 book chapters, and unpublished theses were also not included.

150 Search Strategy

151 Using PubMed and in accordance with the PRISMA guidelines (Moher et al 2009), a search from
152 inception until 10th July 2017 was conducted. The following search terms were used to identify all
153 potentially eligible records:

154 (("post-traumatic stress disorder"[Title/Abstract]) OR ("PTSD"[Title/Abstract]) OR ("posttraumatic
155 stress disorder"[Title/Abstract]) OR ("post traumatic stress disorder"[Title/Abstract])) AND
156 (("interleukin-1"[Title/Abstract]) OR ("interleukin-1 β "[Title/Abstract]) OR ("interleukin-1
157 β "[Title/Abstract]) OR ("interleukin-1 beta"[Title/Abstract]) OR ("interleukin-1beta"[Title/Abstract])
158 OR ("interleukin 1"[Title/Abstract]) OR ("interleukin 1 β "[Title/Abstract]) OR ("interleukin 1
159 β "[Title/Abstract]) OR ("interleukin 1 beta"[Title/Abstract]) OR ("interleukin 1beta"[Title/Abstract])
160 OR ("IL-1"[Title/Abstract]) OR ("IL-1 β "[Title/Abstract]) OR ("IL-1 β "[Title/Abstract]) OR ("IL-1
161 beta"[Title/Abstract]) OR ("IL-1beta"[Title/Abstract]) OR ("IL 1"[Title/Abstract]) OR ("IL
162 1 β "[Title/Abstract]) OR ("IL 1 β "[Title/Abstract]) OR ("IL 1 beta"[Title/Abstract]) OR ("IL
163 1beta"[Title/Abstract]))

164

165 This search was supplemented by internet searches and hand-searches of reference lists of included
166 papers and potentially relevant reviews. Citation tracking in Web of Science was also performed. The
167 abstracts of identified articles were subsequently screened for eligibility according to pre-set inclusion
168 and exclusion criteria, as described above. Potentially eligible records were further reviewed in full
169 text. Subsequently, the articles included in the qualitative synthesis were categorized into animal and
170 human studies and then further divided according to their study design. An overview of the literature
171 search is shown in Figure 1.

172 Data Extraction

173 The data from all eligible studies was extracted into an electronic summary table by the first author
174 (AW), which was then checked by another author (BD). Information collected related to the sample
175 characteristics, method of PTSD assessment and measurement of IL-1 β , and relevant findings.

176 **INSERT FIGURE I HERE**

177 Results

178 Characteristics of included studies

179 A total of 19 articles were eligible for inclusion in this review. Eight of the included articles used animal
180 models of PTSD to investigate the relationship between IL-1 β and PTSD (Aga-Mizrachi et al 2014;
181 Deslauriers et al 2017; Jones et al 2015; Lazuko et al 2017; Lee et al 2016; Liu et al 2016; Peng et al
182 2013; Zimmerman et al 2012). Twelve studies assessed IL-1 β concentration or production in humans.
183 Nine of these were *in-vivo* cross-sectional human studies measuring plasma, or serum concentration
184 of IL-1 β (Bersani et al 2016; Hoge et al 2009; Jergović et al 2015; Lindqvist et al 2014; Oganessian et al
185 2009; Spivak et al 1997; Tucker et al 2004; von Känel et al 2007; Zhou et al 2014). Of these, two studies
186 also assessed serum IL-1 β longitudinally in individuals with PTSD (Jergović et al 2015; Tucker et al 2004)
187 and one study reported both human and animal data (Zimmerman et al 2012). Two additional human
188 cross-sectional study assessed IL-1 β production *in-vitro* (Gill et al 2008; Gola et al 2013).

189 Study findings: Animal studies

190 All included animals studies used rodents in their animal models of PTSD. A variety of animal models
191 were used (e.g. SPS, SEFL, enhanced SPS, predator scent exposure) to induce PTSD-like traits; the
192 majority involved applying a shock to the foot of the animal. Most studies measured hippocampal IL-
193 1 β levels (Jones et al 2015; Lee et al 2016; Liu et al 2016; Peng et al 2013), with three remaining studies
194 measuring serum concentrations of IL-1 β (Aga-Mizrachi et al 2014; Lazuko et al 2017; Zimmerman et
195 al 2012) and the other measuring brain protein levels (Deslauriers et al 2017). The results of these
196 studies are presented in Table I.

197 Elevated serum IL-1 β levels in the PTSD-groups, as compared to the control groups were identified
198 (Aga-Mizrachi et al 2014; Lazuko et al 2017). In Aga-Mizrachi et al (2014), these elevated serum IL-1 β
199 levels were subsequently reduced upon administration of antidepressants (desipramine and
200 fluoxetine) or methylphenidate, a stimulant of the central nervous system. The combination of the
201 SSRI fluoxetine and methylphenidate reduced IL-1 β to undetectable levels. In contrast, Zimmerman et
202 al (2012) reported that IL-1 β serum levels did not differ between the stressed and non-stressed
203 groups. However, when stressed mice were administered mEN101, an oligonucleotide with anxiolytic
204 effects, levels of IL-1 β were significantly lower than in the non-treated stress group. Three studies also
205 reported increased IL-1 β levels in the hippocampus of stress-induced rats (Jones et al 2015; Lee et al
206 2016; Peng et al 2013). Specifically, Jones et al (2015) showed that hippocampal IL-1 β
207 immunoreactivity and mRNA expression increased in a time-dependent manner post-stressor. This
208 effect was most prominently observed in the dentate gyrus of the dorsal hippocampus. Furthermore,
209 stress-induced rats given morphine 48-hours post-stressor, showed a significant reduction in
210 hippocampal IL-1 β levels. Similarly, in Peng et al., the hippocampal IL-1 β levels reduced in a dose-
211 dependent manner with the administration of gastrodin, a main constituent of a Chinese herbal
212 medicine. These reductions in IL-1 β levels were also associated with improvements in PTSD-like
213 behaviour. Additionally, Lee et al (2016) found that the elevated levels of IL-1 β hippocampal mRNA
214 expression observed in the stressed rats, was reduced by the administration of fluoxetine to similar
215 IL-1 β levels seen in the non-stressed rats. In contrast, Liu et al (2016) found no significant difference
216 in hippocampal IL-1 β levels between SPS-exposed rats and controls; however, elevated levels of
217 malondialdehyde, a marker of oxidative stress, were found in the hippocampus (Yang et al 2013).
218 Deslauriers et al (2017) also found no difference in IL-1 β levels in predator stress exposed male
219 C57BL/6 mice compared to non-exposed mice.

220

INSERT TABLE I HERE

221 Study findings: Human Studies

222 *PTSD assessment.* In the majority of studies, PTSD diagnosis had been made using versions of the DSM
223 or ICD. The majority of studies also used validated questionnaires to measure PTSD symptom severity
224 in the study. The CAPS was used in 8 studies, 2 of these used it in combination with the SCID (Bersani
225 et al 2016; Tucker et al 2004) and one with the PCL-M (Zhou et al 2014). One study (Hoge et al 2009)
226 used the Short Post-Traumatic Stress Disorder Rating (SPRINT; Connor and Davidson 2001) , one used
227 the LASC (Jergović et al 2015) and one the Hebrew version of the PTSD Inventory (Spivak et al 1997).

228 *Cross-sectional studies measuring IL-1 β in-vivo.* Five of the included cross-sectional studies identified
229 elevated IL-1 β concentrations in the plasma or serum of PTSD participants, as compared to a control
230 group (Hoge et al 2009; Oganessian et al 2009; Spivak et al 1997; Tucker et al 2004; Zimmerman et al
231 2012). The remaining five studies found no difference in serum or plasma concentrations of IL-1 β
232 between participants with and without PTSD (Bersani et al 2016; Jergović et al 2015; Lindqvist et al
233 2014; von Känel et al 2007; Zhou et al 2014). Details of these studies are presented in Table II.

234 Of note, plasma IL-1 β concentration were found to positively correlate with recognised PTSD
235 symptoms, including re-experiencing, avoidance and co-morbid anxiety and depression, although
236 when controlling for co-variables, such as depression, the results became insignificant (von Känel et al
237 2007). Spivak et al (1997) reported a positive correlation between serum IL-1 β concentration and PTSD
238 symptom duration. This association remained even when adjusting for subject demographics.
239 Additionally, Oganessian et al (2009) noted a positive correlation between IL-1 β and CH50 levels, a
240 marker-measure of activation of the classic complement pathway, suggestive of an immunological
241 component to PTSD pathophysiology. Zimmerman et al (2012) identified a significant inverse
242 correlation between IL-1 β serum levels and hippocampal volume in a subset of PTSD patients (n=5).
243 No correlations were identified between IL-1 β serum levels and other brain structures.

244 *Cross-sectional studies measuring IL-1 β in-vitro.* Two studies assessed IL-1 β production using *in-vitro*
245 methods; details regarding these are presented in Table II. Gola et al (2013) assessed spontaneous
246 and lipopolysaccharide (LPS)-induced IL-1 β production in peripheral blood mononuclear cells (PBMCs)

247 of refugees, with and without PTSD. Participants with PTSD were found to spontaneously produce
248 elevated levels of IL-1 β , as compared to those without PTSD. Spontaneous IL-1 β production and PTSD
249 symptom severity (in PTSD group only) were found to be correlated at trend level. However, no
250 difference in LPS-induced IL-1 β production was observed between these groups. Similarly, Gill et al
251 (2008) found no differences between females with PTSD, females who experienced trauma but did
252 not go on to develop PTSD, and healthy females in stimulated phytohaemagglutinin (PHA) combined
253 with LPS production of IL-1 β from whole blood samples.

254 *Longitudinal studies measuring IL-1 β in-vivo.* Two of the included studies employed a longitudinal
255 design (Jergović et al 2015; Tucker et al 2004). The results of these studies are presented in Table II.
256 In Jergović et al (2015), serum IL-1 β was measured on two separate occasions, three months apart, in
257 male Croatian combat veterans with PTSD. An increase in IL-1 β concentration was found between the
258 first and second assessment. Of note, IL-1 β was not detectable in a proportion of participants at both
259 time points. Tucker et al (2004) performed a double-blind randomised controlled trial of selective
260 serotonin reuptake inhibitors (sertraline and citalopram) and placebo treatment in outpatients with
261 PTSD. In contrast to Jergović et al (2015), serum IL-1 β was found to significantly decrease over the trial
262 for all treatment groups.

263 **INSERT TABLE II HERE**

264 Discussion

265 The current review summarises and integrates the existing data on concentrations and production of
266 IL-1 β in PTSD. Data from the included animal studies demonstrated an increase in serum IL-1 β
267 concentration and a time-dependent elevation in hippocampal IL-1 β levels in PTSD-modelled rats
268 (Aga-Mizrachi et al 2014; Jones et al 2015; Lazuko et al 2017; Lee et al 2016; Peng et al 2013). In
269 particular, this was observed in the dentate gyrus of the dorsal hippocampus, suggesting that
270 inflammation in this neural region, with roles in memory processing and autonomic functions, may be
271 integral to PTSD pathophysiology. Conversely, no difference in IL-1 β levels between PTSD-modelled

272 rats and controls was observed in three studies (Deslauriers et al 2017; Liu et al 2016; Zimmerman et
273 al 2012), highlighting the need for further research into cytokine levels in animal models of PTSD. As
274 a centre for emotional processing, dysregulation of inflammatory processes in the amygdala may
275 equally contribute to PTSD symptoms, particularly those effecting mood (Shin et al 2006). However,
276 Jones et al. identified no increase in IL-1 β in the basolateral amygdala. More research is needed to
277 assess IL-1 β levels in alternative neural regions that have been implicated in PTSD.

278 Interestingly, in the animal studies a range of treatment medications were found to reduce both IL-1 β
279 levels and PTSD-like behaviours, including antidepressants (Aga-Mizrachi et al 2014), apocynin, an
280 inhibitor of NADPH oxidase (Liu et al 2016), and gastrodin, an inhibitor of nitric oxide synthase (Peng
281 et al 2013). This not only contributes further evidence to the role of oxidative stress and inflammatory
282 mechanisms in PTSD pathophysiology, but also suggests that such compounds may be useful as future
283 pharmacological therapies for PTSD. Similarly, morphine was found to decrease IL-1 β in the dorsal
284 hippocampus (Jones et al 2015), suggestive of a protective role of opioid signalling in the physiological
285 response to trauma. In summary, the majority of animal studies suggest an associative link between
286 elevated IL-1 β and PTSD; however, the small number of animal studies identified in this review makes
287 drawing solid conclusions difficult, particularly concerning serum IL-1 β , where only three studies were
288 available.

289 Half of the human cross-sectional studies found that serum or plasma IL-1 β concentrations were
290 elevated in those with PTSD compared to control participants (Hoge et al 2009; Oganessian et al 2009;
291 Spivak et al 1997; Tucker et al 2004; Zimmerman et al 2012). Spontaneous production of IL-1 β was
292 also found to be elevated in PTSD compared to non-PTSD groups (Gola et al 2013). The remaining
293 studies found no significant difference in serum or plasma IL-1 β concentrations between PTSD
294 patients and controls (Bersani et al 2016; Lindqvist et al 2014; von Känel et al 2007; Zhou et al 2014)
295 (Jergović et al 2015). Stimulated production of IL-1 β also did not differ between PTSD and control
296 groups (Gill et al 2008; Gola et al 2013). One factor that may contribute to the variable outcomes

297 observed is participant's use of corticosteroid treatments (e.g. prednisone, prednisolone and
298 dexamethasone). These medications have anti-inflammatory effects (Greaves 1976), however, long-
299 term steroid treatment was an exclusion criteria in only one study (Zhou et al 2014). Given the mixed
300 findings and the lack of control for potential confounders such as glucocorticoid treatment, it would
301 be premature to consider IL-1 β as a biomarker of PTSD. Moreover, given that other pro-inflammatory
302 cytokines (e.g. IL-6 and TNF- α) were also shown to be elevated in patients with PTSD, as compared to
303 controls, a combined pro-inflammatory cytokine score/index may be most appropriate as a biomarker.
304 In addition, levels of these cytokines, and others, are typically elevated in people with moderate to
305 severe depression (Dahl et al 2014). Therefore, cytokine levels may be a general marker of
306 psychopathology, rather than specifically for PTSD.

307 There is much evidence from animal studies showing that both chronic and acute stress paradigms
308 result in elevated levels of pro-inflammatory cytokines (e.g. Cosen-Binker et al 2004; Liu et al 2012).
309 This includes elevated protein and mRNA levels of IL-1 β in the brain (e.g. Minami et al 1991; Nguyen
310 et al 1998; Pugh et al 1999). Furthermore, in humans, acute psychological stress has been shown to
311 increase IL-1 β gene expression (Brydon et al 2005). The mechanisms as to how stress leads to an
312 increase of pro-inflammatory cytokine production is still unclear. Pro-inflammatory cytokines,
313 including IL-1 β , have an effect on the brain, and therefore, behaviour, via several mechanisms (Quan
314 2008). For example, they have been shown to have effects on neurotransmitter synthesis, release and
315 reuptake; neuroendocrine activity; neural plasticity; and changes in brain circuitry (Capuron and Miller
316 2011). Therefore, stress may results in an increased production of pro-inflammatory cytokines and
317 consequently trigger neurobiological changes, which may contribute to the development and/or
318 maintenance of psychiatric disorders, such as PTSD and depression.

319 As previously mentioned, the hippocampus is a key brain structure implicated in PTSD (e.g. Kitayama
320 et al 2005; O'Doherty et al 2015). In the animal studies described above, elevated IL-1 β was observed
321 in the hippocampi of PTSD-modelled rats and in one of the included human studies, IL-1 β serum levels

322 were found to be inversely correlated with hippocampal volume in PTSD patients (Zimmerman et al
323 2012) which may imply that elevated IL-1 β production possibly leads to a decrease in hippocampal
324 volume. Moreover, it has been suggested that elevated IL-1 β concentration in the hippocampus may
325 impair synaptic plasticity and memory (Patterson, 2015). However, the mechanisms as to how IL-1 β
326 influences hippocampal volume and synaptic plasticity are not clear.

327 Only two longitudinal studies of IL-1 β levels in PTSD patients were identified in this review, reporting
328 contradictory findings in terms of IL-1 β , however, both finding improvements in PTSD symptoms
329 (Jergović et al 2015; Tucker et al 2004). Specifically, in a randomised controlled trial of anti-depressant
330 medications (placebo vs. sertraline vs. citalopram), all treatment groups showed decreases in serum
331 IL-1 β at the end of treatment, compared to baseline (Tucker et al 2004). In contrast, Jergović et al
332 (2015) found that serum concentrations of IL-1 β increased over a period of 3-months. However, in
333 approximately half of the samples IL-1 β could not be detected, and this therefore limits our ability to
334 draw firm conclusions. As there is limited longitudinal data on IL-1 β concentrations in PTSD, future
335 research should measure IL-1 β over the treatment course to better determine how IL-1 β is related to
336 changes in PTSD symptom severity and associated treatment response. Given not everyone who is
337 exposed to a traumatic event will go on to develop PTSD, prospective studies would also be of benefit
338 in elucidating the role of cytokines in the development and maintenance of PTSD (Keane et al. 2009).

339 *Methodological considerations of the included studies.* Many of the included studies did not covary for
340 potential confounding variables in their analyses or study design that could affect the cytokine
341 concentration, for example, BMI, smoking, medication (e.g. corticosteroid treatments), blood sugar
342 level and total cholesterol. High co-morbid rates of dyslipidaemia, coronary heart disease, smoking
343 and obesity amongst PTSD sufferers have been observed. Given that factors associated with these
344 (e.g. BMI, physical illnesses, medication, smoking; Dugué et al 1996) have been shown to impact upon
345 cytokine concentrations, it would be important for future studies to take factors such as these into
346 consideration. Another factor to take into consideration is that the studies included in the current

347 review used different PTSD assessment/diagnostic tools. The measures used to assess PTSD symptoms
348 vary considerably on a range of factors, including number of items, response format, time frame, and
349 degree of detailed enquiry. Therefore, studies may not be directly comparable with regards to PTSD
350 symptom severity.

351 There are also several limitations of the included studies based on factors related to the sample that
352 limit the generalisability of the findings. Generally, sample sizes are small and the majority of study's
353 samples consisted of exclusively or predominantly males. This may be attributable to the inclination
354 to study combat-associated PTSD, with males being more highly represented in armed services.
355 However, considering the increased incidence of PTSD in females (Carmassi et al 2014), the presented
356 data may not be able to account for potential sex differences in IL-1 β levels and may not be
357 generalisable to females with PTSD. Furthermore, many samples are limited to veterans with trauma
358 exposure due to combat. We cannot be sure that individuals with different experiences of trauma
359 exposure (e.g. with regards to time, type and extent of trauma exposure) will demonstrate similar
360 alterations in cytokine levels (Wang and Young 2016). Future studies should consider investigating
361 cytokine levels in non-military PTSD patients. Finally, several of the included studies used trauma
362 controls, i.e. people that had previously been exposed to trauma (e.g. combat) but did not go on to
363 develop PTSD, which may account for the findings observed in some of the studies. Although not
364 meeting the clinical threshold for a formal PTSD diagnosis, the experience of trauma may cause
365 intrinsic changes in cellular processes, which may result in a heightened pro-inflammatory status in
366 the control group participants. For example, MRI studies have since shown cingulate isthmus and
367 prefrontal volume reduction, not only in PTSD individuals, but also in those without diagnosed PTSD
368 who have been previously exposed to traumatic events (Eckart et al 2011). However, it is still unclear
369 as to what extent trauma exposure can influence inflammatory markers, even without the
370 development of PTSD (Passos et al 2015).

371 *Limitations of the current review.* Our systematic review has a number of limitations. Firstly, PubMed
372 was the only source of articles searched, potentially excluding some of the published literature on the
373 subject. However, a hand-search was also conducted to identify any that may have been missed
374 through the initial search. Secondly, given that several other pro- and anti-inflammatory cytokines
375 have been implicated in PTSD, the exclusive focus on IL-1 β may be deemed too narrow. Thirdly, as
376 several of studies in this review, both animal and human, reported elevated IL-1 β in PTSD groups,
377 publication bias must also be taken into account.

378 Conclusion

379 Animal studies suggest that IL-1 β expression/production, particularly in the hippocampus, may be
380 involved in the underlying pathophysiology of PTSD. Anti-inflammatory agents were shown to reduce
381 elevated IL-1 β levels in animal studies, and therefore may be a potential basis for future therapeutic
382 agents in PTSD treatment. The conflicting findings from human studies suggest that it is premature to
383 consider IL-1 β as a biomarker of PTSD. However, these findings need to be considered in light of a
384 range of methodological issues, such as confounding variables and sample characteristics. Therefore,
385 more research, taking into account these issues, is needed to determine whether IL-1 β can be
386 considered a specific biomarker of ~~PTSD~~ and therefore a potential therapeutic target for PTSD.
387 Longitudinal research is needed to better understand the role of IL-1 β in the development and/or
388 maintenance of PTSD.

389

390 Author Disclosure Statement

391 No competing financial interests exist.

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