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Novel biomarkers for asthma stratification and personalized therapy

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Abstract

A stepwise pharmacological treatment is currently recommended for all asthma patients and is personalized mainly on disease severity, aiming for the lowest step that controls disease. Thus mild intermittent asthma is treated with short acting bronchodilators (step 1). If control is inadequate, therapies of increasing power are introduced (steps 2, 3 and 4) whereas oral steroids (step 5) are reserved for severe asthma.

Nevertheless, asthma comprises several related pathologies with similar clinical manifestations that result from different underlying mechanisms. Therefore novel biomarkers could lead to asthma stratification and thus replace the current stepwise approach.

Promising biomarkers are sputum eosinophils, serum periostin and exhaled nitric oxide. Periostin could differentiate between Th2-high and Th2-Low asthma (Th-2-high patients are more responsive to glucocorticoids) and the less-defined asthma types which often present a therapeutic challenge. Several other biomarkers, mainly cytokines, leukotrienes and exhaled air components, can be quantified in body fluids and exhaled breath and could also be useful for asthma stratification.

Keywords: asthma, biomarker, inflammatory, periostin, eosinophils, exhaled nitric oxide, volatile organic compounds, chitinases, prostaglandins.

Introduction

Asthma is a complex, chronic disease characterized by episodes of reversible airflow obstruction, manifesting in breathlessness, wheezing and cough, with various degrees of airway inflammation, remodelling and bronchial hyperreactivity [1]. Short- and long-acting adrenergic β_2 -agonists (SABA/LABA) and inhaled corticosteroids (ICS) have radically improved the lives of many asthma sufferers. Recent years have seen notable progress with regard to the mechanisms involved in asthma immunology and multiple trials of biological drugs, designed to block signaling molecules deemed to be important in its pathology. On the whole, these have met with mixed success, but importantly they provided useful mechanistic insights for post-trial analyses, demonstrating that patients receiving such drugs could be divided into distinct groups of good and poor responders depending on their pre-trial characteristics. This has strengthened the hypothesis that rather than being a single disease, asthma consists of a group of pathologies which converge towards similar clinical manifestations [2].

The current assumption is that asthma could be differentiated into subtypes defined by biomarkers that reflect the predominant pathophysiology in the case of individual patients. Thus, treatment personalization could be achieved using biomarkers [3]. In this review, we outline the most effective strategies for identifying biomarkers in asthma, discuss the current experimental and clinical evidence for their predictive accuracy and assess their potential usefulness in a clinical setting for guiding targeted therapies for this asthma.

Pathophysiology of asthma: not just a Th2-driven disorder

In classic allergic asthma, the first exposure of an organism to an allergen may cause sensitization, a process whereby an inhaled allergen contacts its specific pattern recognition receptor (PRR) on the surface of the airway epithelial cells (AECs), causing them to release interleukin-1 (IL-1) [4]. IL-1 is an autocrine mediator, triggering the release of further cytokines: granulocyte-macrophage colony-stimulating factor (GM-CSF), IL-33 [5] and IL-25 [6]. These mediators, in turn, activate dendritic cells (DCs) and recently discovered [6] group 2 innate lymphoid cells (ILCs) [4]. Subsequently, DCs induce maturation of naive T-cells in lymph nodes into T-helper 2 cells, which produce IL-5, IL-9 and IL-13 (Th-2 type cytokines); whereas allergen-activated ILCs are able to directly produce these three cytokines [7]. In a simplified

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3 description, Th-2 responses can be thought of as B-cell-mediated (humoral immunity), in
4 contrast to Th-1 (T-cell mediated, cellular immunity) responses. In healthy humans, precarious
5 balance exists between Th-1 and Th-2 responses - excessive activity of the former pathways
6 traditionally linked to autoimmune diseases such as multiple sclerosis, while the latter to asthma
7 and allergies.
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13 When re-exposure to the allergen occurs, AECs vigorously resume the production of their ILC-
14 stimulating cytokines, while DCs vastly upregulate their capacity to promote Th-2 cell
15 maturation. Both of these result in a rapid increase in the Th-2 cytokine levels, leading to a
16 substantial increase in the airway populations of mast cells, eosinophils and Th-2 cells
17 themselves, as well as enhanced B-cell IgE class switching [4].
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23 Mast cells are resident tissue cells which play a significant role in sensitization and subsequent
24 allergic response. First encounter with an allergen leads to production of IgE antibodies against it
25 through Th-2 pathways. These antibodies attach to mast cells through FCεRI receptor. On
26 subsequent exposure, antigen molecules bind to the surface-bound IgE molecules, crosslinking
27 them and leading to FCεRI activation, induction of complex phosphorylation cascades and mast
28 cell degranulation [8], which involves liberation of various pre-formed mediators (histamine,
29 serotonin, tryptases). At the same time, there is an upregulation in biosynthesis of freshly
30 produced mediators: lipids such as PGD₂ and LTC₄, and chemotactic factors IL-4, IL-5, IL-6
31 [9], leading to inflammatory cell influx and tissue remodelling. MC degranulation can also occur
32 in other ways than antigen binding to its IgE, making MC biology much more complex. For
33 instance, mast cells possess some receptors for IgG antibodies, and a recent study demonstrated
34 that anaphylaxis to peanuts in mice is at least partly mediated by IgG signaling pathways [10].
35 MCs can also be directly activated by various molecules, such as previously mentioned IL-33
36 [11]. Basophils are morphologically and functionally similar to mast cells [4].
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48 The functions of eosinophils in normal physiology are controversial, with some authors even
49 suggesting they are entirely dispensable [12]. Despite the early observation that many asthmatic
50 patients have high levels of these cells in their airways, their role in asthma remains largely
51 shrouded in mystery. Once perceived simply as end-stage cells recruited by Th-2 cytokines and
52 responsible for airway remodeling, they are now thought to be at least partly responsible for Th-2
53 cell maturation through IL-4 release [13].
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Taken together, asthma could be viewed a disease where AECs and innate immune cells cooperate to activate adaptive immune cells in form of Th-2 cells, whose cytokines bring about the typically seen pathophysiological changes. However, it has been known for some time that many asthma patients do not conform to this simplified view. For instance, Woodruff et al. in 2009 found that nearly half of the asthmatic patients do not differ from non-asthmatic controls with regard to their Th-2 cytokine levels [32]. This can be partly explained by the yet unknown functions of the innate immune cells, especially neutrophils, which feature prominently in the airways of some patients with severe asthma [14]. Novel T-cell subsets have also been discovered, including Th17, Th9, CD8+, TReg, NKT and T cells, each with their likely role in asthma [7]. Unfortunately, specific immune cell populations investigated in mice (on which the majority of research is carried out) can be difficult to translate to humans. Nevertheless, complex interactions between immune cells likely explain the diversity of asthma; the next section explains the clinical significance of this diversity.

Asthma phenotypes, endotypes and the quest for biomarkers

To date, asthma has been treated using the ‘one size fits all’ approach, i.e. prescribing medication to control asthma symptoms, without examining the underlying pathophysiology responsible. This has allowed to drastically increase the quality of life of most patients, but important issues such as optimal treatment of exacerbations and stepping down the medication remain unsolved (for detailed discussion of which we recommend a thorough review by Busse et al. [15]). Commonly used ICS have a dampening effect on a broad spectrum of immune pathways and are characterized by mild side effects, but are ineffective in some patients, leading to persistent asthma symptoms or asthma exacerbations. When this happens, clinicians step up the dose or prescribe oral corticosteroids, which possess multiple side effects and hence can only be used for short periods of time. However, the increased knowledge of immunology of asthma (see previous paragraph) has brought about the realization that asthma constitutes a syndrome or group of diseases, sometimes with markedly different pathologies, which often misleadingly present with deceptively similar symptoms. Pathological pathways which predominate in one

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3 patient may be completely silent in another. Learning how to assess the biochemical pathways in
4 asthma in individual patients and introducing drugs able to selectively modify them would
5 enable clinicians to personalize asthma therapy [15].
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9 In order to better classify heterogenous diseases, we can subdivide them into ‘phenotypes’,
10 which are sets of easily measurable characteristics, such as patient symptoms or clinical
11 measurements. Crucially, knowledge of phenotypes does not require the knowledge of the
12 underlying pathophysiology, relying instead on purely statistical methods, such as cluster
13 analyses (methods for dividing subjects/objects into distinct sets with similar characteristics).
14 ‘Endotypes’ can be thought of as subtypes of diseases assigned on the basis of elucidated
15 pathophysiology. As such, endotypes are more objective and potentially more useful, and would
16 reflect a higher level of understanding of asthma, which has not yet been achieved – current
17 approaches rely on identifying phenotypes. Various cluster analyses have been performed to
18 distinguish phenotypes, such as that by Moore et al. in 2010, who divided 726 patients into 5
19 distinct asthma phenotypes [16]. Having analysed a number of similar studies, Wenzel in 2012
20 divided the known asthma phenotypes into two main groups: Th-2 and non-Th-2 asthma [17].
21 The former category encompasses classical inflammatory asthma phenotypes, which are
22 amenable to treatment with ICS, such as childhood allergic asthma. The latter contains more
23 mysterious phenotypes such as obesity-associated asthma and neutrophilic asthma, which often
24 arise in adults and are frequently resistant to standard treatment. It is hoped that better
25 understanding of these phenotypes and ultimately elucidating their pathophysiology would help
26 design selective, targeted treatment which could be given to the patients suffering from the
27 particular phenotype, in place of the current stepwise treatment strategy.
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46 The identification of asthma phenotypes necessitates the use of biomarkers whose ideal features
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- 50 1) High sensitivity and specificity (and the associated positive and negative predictive
51 values), allowing to reliably identify a given phenotype and exclude other phenotypes.
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- 53 2) Easily measurable, not requiring complex, expensive and potentially risky interventions.
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- 3) Correlated with treatment responses, e.g. allowing treatment adjustments on the basis of observed trends in biomarker values.
- 4) Biomarkers should be useful to better understand the underlying asthma pathology (phenotypes could then be replaced by endotypes).
- 5) Finally, an ideal biomarker should provide quick and direct results to facilitate its clinical use.

Below we discuss the key asthma biomarkers and evaluate which phenotypes they are likely to distinguish between.

Airway biopsies, bronchoalveolar lavage and sputum leukocytes

Airway biopsies provide a good indication of asthma severity, allowing physicians to determine the extent of tissue remodeling and local inflammation. However, their inherent invasiveness and considerable cost limit their application to the most complicated, treatment-refractory asthmatic patients. Bronchoalveolar lavage (BAL) is slightly less cumbersome, but still needs to be performed in a hospital, which restricts its widespread use. In contrast, assessment of induced sputum is less invasive and more cost effective – although still too awkward for routine use [3]. Sputum cellularity is important in order to detect the percentages of neutrophils and eosinophils which are strongly implicated in airway inflammation at all levels of asthma severity.

Simpson et al. in 2006 investigated induced sputum samples of 93 non-smoking asthmatic patients and 42 controls [18]. The aim was to investigate the best way of diagnosing non- T_H2 asthma (which they named NEA, non-eosinophilic asthma) based on sputum cell counts and its variability between patients. For that purpose, they obtained induced sputum samples and quantified the neutrophil and eosinophil levels within these.

The authors found that calculating both absolute and relative levels of sputum eosinophils yielded similar results, allowing them to establish four asthma phenotypes: eosinophilic (raised eosinophil levels), neutrophilic (raised neutrophil levels), mixed granulocytic (raised neutrophils and eosinophils) and paucigranulocytic (normal neutrophil and eosinophil levels). Moreover, 17 out of 18 asthma patients who were originally classed as NEA at the beginning of study retained

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3 their allocation to that group after 4 weeks and 6 out of 7 NEA classified patients who attended a
4 follow up visit approximately 5 years after the original study still maintained their status.
5 Interestingly, the study did not find any significant clinical differences between the patients,
6 except for a higher mean age in the neutrophilic asthma group. This study aimed to achieve
7 asthma stratification as it was one of the first to measure multiple indices in relation with induced
8 sputum eosinophils and neutrophils which are known to be effectors in asthma pathogenesis.
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12 Whether these induced sputum biomarkers will be useful for personalizing asthma treatment is
13 however still unclear. Various trials have demonstrated that sputum eosinophils and neutrophils
14 may be used to guide ICS dosing to reduce the frequency of and predict asthma exacerbations
15 and to indicate asthma severity.
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20 Possibly the most important study in this field was one by Green et al. in 2002 [19]. The authors
21 randomized 74 asthmatic patients to either sputum management group, where management was
22 guided by sputum eosinophil concentrations and severity of symptoms, or to British Thoracic
23 Society group, with treatment guided by this Society's asthma guidelines. When the study was
24 finished after one year, the authors found that the former group had significantly fewer sputum
25 eosinophils (63% lower as measured during 9 visits over 12 months; $P = 0.002$), and more
26 importantly, fewer asthma exacerbations (35 vs 109; $P = 0.01$) than the latter group. The authors
27 surmised that eosinophils have a central role in asthma pathology, including asthma
28 exacerbations, and corticosteroids may possibly act by dampening their activity. However, the
29 authors did not demonstrate any significant correlation between eosinophils and lung function,
30 asthma symptoms, or quality of life. The study was also of small size, and examined a specific
31 patient group of severe, refractory asthmatics. A similar study by Chlumsky et al. produced
32 strikingly similar results – vastly decreased exacerbation rate with no difference in symptoms or
33 lung function [20].
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49 In addition, measurement of induced sputum biomarkers needs to be standardized for the results
50 to be used in any diagnostic form; and even then, the invasiveness and time needed for this test is
51 a significant difficulty.
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55 In response to this problem, study in 2013 by Hastie et al. endeavored to find whether sputum
56 eosinophils and neutrophils could be accurately predicted using less invasive and time
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3 consuming biomarker surrogates [21]. The team measured several biomarkers that had
4 previously been suggested to be associated with sputum eosinophil and neutrophil counts. These
5 biomarkers are currently used but are not validated and have been questioned by other studies
6 regarding their power of prediction [22,23,24,25,26].
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11 These biomarkers include blood eosinophil counts, exhaled nitric oxide (FeNO) and IgE levels to
12 predict sputum eosinophils and age, FEV₁ percent predicted and blood neutrophil counts to
13 predict sputum neutrophil counts. Hastie et al. found that while these surrogate markers do
14 correlate with sputum cell counts but they do not have an accuracy or predictive power much
15 above what we may expect by chance [7]. An example of this is blood eosinophils and
16 neutrophils, which showed a predictive power of 64-69%. This is likely to be explained through
17 biological processes such as cells transmigrating to tissue, which may cause transiently increased
18 levels in the blood cell counts and also due to the large variation seen within atopic asthma
19 patients. By using the aforementioned surrogate biomarkers they were able to allocate 41% of
20 the patients into their correct granulocytic group, however, this again must be improved upon
21 before it can be used within any clinical or diagnostic setting.
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32 Airway remodeling is another cardinal feature of asthma. In 2010 Chakir et al. evaluated the
33 influence of sputum eosinophil count-led vs clinical-led management in terms of the extent of
34 airway remodeling over a 2 year period study involving 20 participants. Airway remodeling was
35 measured by the expression of mucin 5A (MUC5A) and subepithelial collagen layer thickness
36 from bronchial biopsy specimens, collected twice with two year treatment period between
37 collections. After two years, mucin staining in sputum-led group was significantly smaller than
38 in clinical-led group, and the amount of total eosinophils decreased in sputum-led group, but
39 there was no difference between these groups in terms of collagen deposition. This study used a
40 small group of patients, but it is interesting to note that there was no difference between sputum-
41 led and clinician-led management in terms of remodeling, apart from a difference in MUC5A
42 expression. This indicates that the role of eosinophils in airway remodeling in asthma is still
43 largely mysterious.
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54 In summary, sputum eosinophils and neutrophils have increased our understanding of asthma
55 phenotypes and to date, are good predictors of airway T_H2 inflammation and subsequent asthma
56 severity, especially when used in conjunction with age of onset and FEV₁ predicted values.
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3 However, more work is needed to find more efficient and less invasive biomarkers that can
4 accurately predict eosinophil and neutrophil levels within a point-of-care setting and then these
5 cell counts are likely to become a useful adjunct for confirmation.
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8 9 **Periostin**

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12 Periostin is a matricellular protein found in numerous tissues. Bornstein et al. in 1995 described
13 matricellular proteins as extracellular proteins which fulfill a non-structural, regulatory role by
14 binding to the cell surface and structural components of the extracellular matrix, such as
15 proteoglycans or collagen [27]. Periostin acts as a ligand for $\alpha V\beta 3$ and $\alpha V\beta 5$ integrins and
16 appears important for cell migration and extracellular matrix remodelling [28]. Apart from its
17 physiological role, it has also been found to be crucial in a number of pathological processes,
18 including growth and metastasis of multiple cancers [29]. Sidhu et al. proved experimentally in
19 2010 that periostin is secreted by airway epithelial cells in response to IL-13, a well-known
20 signalling molecule in asthma, and contributes to TGF- β activation and airway remodelling
21 through increased collagen synthesis [30].
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32 In a study investigating the relevance of periostin for measuring the severity of asthma in 2007
33 Woodruff et al. measured gene expression in airway epithelial cells in healthy subjects, asthmatic
34 patients (before and after ICS therapy) and smokers [31]. 22 genes in asthmatics not receiving
35 corticosteroids were differentially expressed when compared to control patients, although the
36 difference for most genes was less than twofold, with only 5 genes expressed at least 3 times
37 stronger in asthmatics. These genes were: CLCA1 (chloride channel, Ca²⁺-activated 1; 6.2-fold
38 increase), POSTN (periostin; 4.4-fold increase), PRR4 (proline rich 4 {lacrima}); 3.9-fold),
39 SERPINB2 (serine peptidase inhibitor B2; 3.5-fold) and CPA3 (carboxypeptidase A3 {mast
40 cell}); 3.3-fold). Here we focus on periostin, as it is supported by comparatively largest body of
41 evidence from studies. Treatment with fluticasone decreased periostin expression 2.1-fold,
42 although only 27 patients finished this arm of the trial. Unfortunately, these changes were
43 calculated from the material biopsied at baseline and one week after the commencement of the
44 trial – a potentially insignificant treatment period, even taking into consideration that the
45 asthmatics enrolled were required to be steroid-free for at least 4 preceding weeks. A strength of
46 the study was that the authors demonstrated that IL-13 increased periostin expression, and
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3 showed that periostin could also be suppressed through use of ICS dexamethasone and
4 budesonide. This seminal work has been valuable in terms of periostin being identified as a
5 potential biomarker for predicting severity and airway remodelling in asthmatics.
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10 (Table 1)

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12 Following on from the above study, Woodruff et al. in 2009 conducted an analysis on the same
13 samples that had been collected two years before [32]. Their previous study had concluded that
14 three genes – POSTN, CLCA1 and SERPINB2 were expressed in a group of asthma patients and
15 that their levels might reflect the extent of T_H2-related inflammation. In this study, the authors
16 performed a simple cluster analysis of 42 asthmatics and 28 controls and discovered that in 20 of
17 the asthmatics the T_H2 inflammatory signature (measured by the expression of the three
18 mentioned genes) was virtually indistinguishable from that of controls. In other words, 20
19 asthmatic patients did not significantly overexpress the three T_H2 genes which are related to IL-
20 13 responses. The authors named these patients ‘T_H2-low’ and established that they had
21 accordingly low levels of IL-13 and IL-5. In contrast, the remaining 22 asthmatics had
22 significantly higher levels of expression of the three genes and of both cytokines and were
23 dubbed ‘T_H2-high’. Notably, individual patients exhibited high levels of correlation between the
24 three mentioned genes (Spearman’s rank order correlation { ρ } ranging from 0.77 to 0.88 with P
25 < 10⁻⁴), indicating that in future measurement of one of them (e.g. periostin in this case) might be
26 sufficient to distinguish between T_H2-high and T_H2-low asthma.
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43 Furthermore, the latter group had greater airway hyperresponsiveness, circulating IgE and
44 eosinophil levels and more extensive airway remodelling than the former group. The researchers
45 also found a difference between these groups in response of FEV₁ to ICS over 2 weeks of
46 treatment: T_H2-high individuals had a robust improvement in FEV₁ (which returned to baseline
47 after discontinuation of therapy), whereas T_H2-low patients paradoxically experienced a
48 worsening of their airway function. This indicates that IL-13 and IL-5 are suggestive of a more
49 severe subtype of asthma, potentially reflected by periostin as biomarker. Unfortunately, the
50 authors did not mention the exact cutoffs for POSTN, CLCA1 and SERPINB2 which they used
51 for stratifying patients into ‘T_H2-high’ and ‘T_H2-low’ categories.
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3 In addition to periostin (possibly together with CLCA1 and SERPINB2) being used to
4 distinguish between T_{H2} -high and T_{H2} -low asthma, it will be important to find out in the future
5 whether periostin can also be used as a biomarker for more specific classifications of T_{H2} -high
6 asthma endotypes.
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11 Corren et al. in 2011 organized a randomized, double-blind, placebo-controlled, multicentre
12 clinical study investigating immunosuppressive treatment of asthma using lebrikizumab (anti-
13 IL13) [33]. 219 adult patients with suboptimal asthma control, potentially indicating steroid
14 resistance, were enrolled while being treated with ICS. The authors assessed patient Th-2 status
15 by measuring blood IgE and eosinophil levels; serum periostin was measured later. Patients were
16 then randomized to receive lebrikizumab or placebo once monthly for 6 months, and multiple
17 assessments were performed during the study. At 12 weeks, the increase in pre-bronchodilator
18 FEV_1 (from baseline) was significantly larger in lebrikizumab than in placebo group: 9.8% vs.
19 4.3%. However, when the patients were stratified according to their pre-treatment periostin level,
20 the difference in outcomes was magnified: in high-periostin group, the mean increase in FEV_1
21 vs. placebo was 14.0% vs. 5.8%, whereas in the low-periostin group, the mean increase in FEV_1
22 vs. placebo was 5.1% vs. 3.5%. This difference in FEV_1 was apparent from week 1 and
23 continued until week 32, when the study was terminated. Unfortunately, lebrikizumab did not
24 alter asthma symptoms, nor did it diminish the need for the use of rescue medication, although
25 there was a non-significant ($p = 0.10$) decrease in the rate of reported exacerbations. The results
26 of this study are somewhat ambiguous – the rise in FEV_1 was significant, but there were no
27 differences between the antibody and placebo in secondary outcomes, possibly indicating that
28 T_{H2} -high asthmatics are more responsive to ICS treatment and that IL-13 may only be one
29 effector in the frame of a complex disease.
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46 Noonan et al. in 2013 performed a similar study with the same medication and primary endpoint
47 [34]. Crucially, the patients in this study were well-controlled, and not corticosteroid-resistant
48 asthmatics. Of 212 randomized patients, 117 (56%) were classed as periostin-high, and 93 (44%)
49 as periostin-low. The authors found slight improvements from baseline FEV_1 in periostin-high
50 patients (2.3%-4.8% depending on the dose), but deemed them not statistically significant. They
51 did however find that lebrikizumab drastically lowered treatment failure as defined by the need
52 to commence ICS therapy (27% in placebo group vs. 6% in antibody group). Despite
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3 encouraging results, a significant weakness of this study was in the randomization: placebo
4 recipients were significantly skewed towards periostin-high status (only 18 low-periostin patients
5 received placebo).
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10 Statistical issues aside, the discrepancy between this and Corren's study may be explained by the
11 population differences: in patients not receiving steroids, multiple pathological pathways may be
12 active and hence selective blockade of IL-13 may not be an adequate treatment for some of them.
13 In contrast, in the steroid-resistant patients the effect of IL-13 may be more pronounced and
14 therefore more amenable to treatment. Regardless of this, it indicates the level of complexity of
15 asthma pathophysiology and the need for careful evaluation of the effects of antibodies in
16 different patient cohorts.
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23 A study by Hanania et al. shows a much clearer indication of periostin's suitability as a
24 biomarker [35]. In 2013 they performed a post-factum analysis of their 2011 EXTRA study [36].
25 This trial enrolled a substantial cohort of 850 patients of various ages, all suffering from poorly-
26 controlled, steroid-resistant, allergic asthma. At the onset of the study, patients were stratified
27 according to the current medication used and the levels of three putative T_H2 inflammation
28 biomarkers were measured in most of the patients throughout the study: exhaled nitric oxide
29 (FeNO), peripheral eosinophil count, and serum periostin. The cut-off between periostin-high
30 and periostin-low groups was selected as a median measured value of 50 ng/ml. A significant
31 advantage of the study was that serum periostin measurements were available from a much
32 greater sample of patients (534) than in any of the studies described above.
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42 The authors found that the use of omalizumab decreased exacerbation rates from 0.93 to 0.66 in
43 periostin-high group, whereas it had no significant effect in the periostin-low group (0.72 vs.
44 0.73). Therefore, the treatment with this antibody decreased exacerbation rates in periostin-high
45 group below that of periostin-low group. However, no significant changes were observed in
46 FEV_1 or asthma symptoms.
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52 The major disadvantage of periostin at the moment appears to be its limited capability to identify
53 more asthma endotypes within the 'Th2-high' phenotype. Whilst periostin can differentiate
54 between T_H2 -high and T_H2 -low asthma, additional use of other biomarkers seems to be
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3 necessary in order to detect shed more light on the complex Th2-high asthma. However, a much
4 larger sample population with more control over compounding, external factors such as
5 medications will need to be examined before any such additional biomarkers can be ascertained.
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10 11 **Exhaled nitric oxide (FeNO)**

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13 Nitric oxide (NO) is a short-lived, gas free radical that acts as a paracrine mediator in many
14 tissues. Famously identified as the endothelium-derived relaxing factor (EDRF) by Furchgott,
15 Zawadzki and Ignarro [37,38], It subsequently became the founding member of a new family of
16 molecules called gasotransmitters – gaseous mediators responsible for a wide variety of
17 physiological processes [39].NO is produced from a natural amino acid L-arginine by nitric
18 oxide synthase (NOS), which exists in three isoforms [40]. NOS1 (neuronal NOS, nNOS) and
19 NOS3 (endothelial NOS, eNOS) depend on calcium ions and are mostly constitutive, whereas
20 NOS2 (induced NOS, iNOS) is calcium-independent and instead its activity is usually switched
21 on and off by other intracellular signals [41]. It is the latter enzyme that is mostly important for
22 NO production in asthma and other inflammatory conditions [42]. When NO was found to be
23 measureable in the exhaled air of some asthmatic patients, with a reported 2-3 fold increase from
24 baseline when compared to healthy controls [43],it was realized that the level of this gas in
25 exhaled air (FeNO) might be utilized to assess the extent of airway inflammation in this disease.
26 These hopes were fuelled by the low cost, quickness and non-invasiveness of measuring exhaled
27 FeNO [44]. However, multiple studies on the clinical utility of FeNO have been published since
28 then and the resulting picture is far from clear. This is best demonstrated by the fact that some
29 countries, such as United States, have enthusiastically adopted FeNO as an asthma biomarker,
30 whereas others, such as UK, have been more cautious in this matter. The body of evidence is
31 large, but many studies do not appear to carry much weight due to design problems or
32 insufficient size. Therefore, we have focused on the largest, most recent and interesting studies
33 or meta-analyses both in adults and children.
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52 In 2012 Petsky et al. performed a meta-analysis of two adult and four children asthma studies
53 investigating a total sample population of 1,053 adults and children and utilizing the FeNO
54 biomarker[45]. The authors found that using FeNO values to guide therapy with corticosteroids
55 lead to a daily ICS dose decrease by a mean of 450.03 µg budesonideequivalent in adults, but a
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3 140.18 μg increase in children. There were no differences in other parameters, most importantly
4 the frequency of exacerbations and perceived asthma symptoms. On the whole, this meta-analysis
5 does not support the use of FeNO as a useful biomarker for guiding asthma treatment in adults
6 and children alike, although one might argue that exacerbations and FeNO cut off values were
7 variably defined between the six studies (the latter ranging widely from 20 to 35 ppb)
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12 It is worth looking separately at the two adult asthma studies included in the above meta-
13 analysis. Smith et al. in 2005 constructed a single-blind trial with 97 participants, who were
14 assigned to have their optimal fluticasone (ICS) doses specified based on either FeNO values, or
15 standard clinical algorithms [46]. After the optimal doses were found, the study lasted for 1 year,
16 with continued 2-monthly measurements of FeNO and clinical indices in order to titrate the ICS
17 dose. The authors found that the only significant difference between the FeNO-led and clinical-
18 led groups was the mean daily fluticasone dose, with the latter group taking 370 μg of this
19 corticosteroid, and the latter 641 μg ($P = 0.003$). This indicated that patients assessed on the basis
20 of their clinical indices might have been overtreated, as supported by an earlier meta-analysis
21 demonstrating highest benefit from ICS at the doses up to about 500 μg per day [47].
22 Interestingly, sputum eosinophil counts in both groups were similar and below 3% threshold
23 defined as clinically important. However, the study was quite small (97 patients), single-blind
24 and importantly did not allow patients to take long-acting beta agonists, an important asthma
25 medication. Interestingly, Shaw et al. conducted a similar study in 2007 on 120 patients and
26 found that there was no statistically significant difference between both groups in terms of
27 cumulative ICS dose used over 12 months, although FeNO-led patients did have a significantly
28 lower final ICS dose (557 vs. 895 μg , $P = 0.028$). As a criticism, this study was also single-blind
29 and used severe asthma patients rather than general asthmatic population. It is generally difficult
30 to compare such studies due to differences in FeNO targets and in management protocols,
31 although general consensus existed at the time that exacerbation rates were not affected by
32 management method in any of these studies. **(Table 2)**
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53 Another study, which failed to find FeNO to be useful as a biomarker was the BASALT study, a
54 recent (2012) randomized controlled trial organized by Calhoun et al. [48]. This study was
55 similar to the ones included in Petsky's meta-analysis, aiming to compare FeNO and daily
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3 asthma symptoms for the prevention of asthma exacerbations compared to the traditional
4 assessment by physician. In this study 342 adults were randomized to three groups, and doses for
5 ICS were adjusted based either on the respective FeNO indication of asthma severity, a
6 physician's assessment every 6 weeks or a daily assessment of generic asthma symptoms.
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asthma symptoms for the prevention of asthma exacerbations compared to the traditional assessment by physician. In this study 342 adults were randomized to three groups, and doses for ICS were adjusted based either on the respective FeNO indication of asthma severity, a physician's assessment every 6 weeks or a daily assessment of generic asthma symptoms. Notably, biomarker-based dose adjustment was not significantly superior to the clinician's assessment-based adjustment – showing an exacerbation rate of 20% vs. 22%. Daily symptom-based assessment was marginally better with an exacerbation rate of 15%, but was still deemed insignificant by the authors. As the rate of exacerbations was lowest in the last group of patients in whom ICS dose was adjusted daily based on symptoms (rather than every six weeks), an improvement could have been made by including a fourth cohort of patients in whom FeNO measurements were performed daily and ICS dose matched on a daily basis to thus obtained FeNO readings. Of course, the use of such strategy would require equipping every patient in the cohort with an FeNO meter or require daily visits to their physician to have their FeNO assessed over several months.

From the methodological point of view the above study is also limited in another way – it assessed only mild-to-moderate asthmatics, in which the degree of underlying airway inflammation is also likely to be only mild to moderate. In contrast, FeNO is postulated to be increased in active, severe airway inflammation, so perhaps it is not surprising that it was not found to be elevated in the above cohort of patients. The real usefulness of FeNO might lie simply in distinguishing between patients with overactive T_H2 responses from these without such inflammation, similar to periostin.

The most convincing piece of evidence for such stratifying power of FeNO comes from the previously discussed EXTRA study of omalizumab, in which FeNO values obtained from 394 patients were available for analysis [20]. Although not nearly as significant as periostin results, in FeNO-high subgroup of patients, there was a substantial 53% reduction in the exacerbation rate, over three times that of FeNO-low subgroup (16%).

Another important study concerned with discrimination between asthma subtypes is one by Amelink et al.[49]. In 2013 they investigated mild-to-moderate and severe adult-onset asthma patients, attempting to describe the differences between these two subgroups and determine potential markers that would in future allow to distinguish between them more easily. Having

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3 stringently excluded all patients with severe co-morbidities or any uncertainties regarding their
4 diagnosis, authors recruited 78 patients suffering from severe and 98 suffering from mild-to-
5 moderate asthma. The authors measured multiple clinical indices during the study, allowing for a
6 comprehensive analysis. Predictably, severe-asthma patients had lower quality of life and higher
7 degree of overall healthcare utilization. More significantly, they were also characterized by less
8 allergy sensitivity (34% vs. 52%), more frequent nasal polyposis (54% vs. 27%) and more
9 significant airflow impairment and air trapping compared to their mild-to-moderate counterparts.
10 Among the multiple factors correlated with disease severity, authors identified FeNO with a
11 significant odds ratio of 1.5. Even though blood neutrophils, nasal polyposis and absence of
12 atopy ranked significantly higher as severity-associated factors, it is important to remember that
13 FeNO is considerably faster, cheaper and more convenient to measure than any of these
14 biomarkers. Sputum eosinophils were also slightly elevated in severe-asthma patients (odds ratio
15 of 1.4), which appears consistent, as NO is abundantly produced not only by endothelial, but also
16 by the airway inflammatory cells [50]. Therefore, FeNO measurement may be potentially useful
17 for distinguishing this adult-onset, severe-asthma phenotype in addition to blood neutrophils and
18 sputum eosinophils to improve accuracy.
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32 Crucially, the above study did not identify obesity or patient gender to be correlated with adult-
33 onset asthma severity. However, a few authors have described another late-onset phenotype,
34 associated with obesity in women, which they claim is different to the neutrophilic phenotype
35 described by Amelink's study. In this phenotype, inflammation is decreased as detectable by
36 diminished FeNO values and airway eosinophilia.
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42 Obesity has a complex relationship to asthma, with higher BMI values predicting worse
43 prognosis in this disease. Moreover, the rise in the prevalence of obesity is concurrent with that
44 of asthma and allergies. Therefore the relationship between BMI, asthma and inflammation may
45 be of some significance. Holguin et al. last year (2013) performed a cross-sectional analysis on a
46 population from the Severe Asthma Research Program (SARP) (see References) to test a
47 putative mechanism responsible for a decrease in FeNO in overweight and obese patients [51].
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53 The authors focused on asymmetric dimethylarginine (ADMA), a substance known to interfere
54 with nitric oxide synthase (NOS), an enzyme crucial for the production of this gaseous mediator.
55 ADMA, same as NO, is produced from a natural amino acid L-arginine and therefore the ratio of
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3 L-arginine to ADMA is informative of the efficiency of the formation of this gas. The
4 researchers found that patients with late-onset asthma exhibited lower L-arginine/ADMA ratio
5 than the patients with early-onset asthma (median of 109 vs. 121). Additionally, the inverse
6 correlation of this ratio to BMI was significantly greater in late-onset vs. early-onset patients ($r =$
7 -0.4 vs. $r = -0.2$). This ratio was found to be correlated with log FeNO ($r = 0.39$) throughout the
8 study population. The authors have also found that in late-onset asthma decrease in L-
9 arginine/ADMA ratio exacerbates FEV₁, but the opposite is observed in early-onset asthma.
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16 The study by Holguin et al. demonstrated how obesity may result in the induction of late onset
17 asthma phenotype. It should be noted here that ADMA is relevant across asthma phenotypes due
18 to its inhibition of nitric oxide synthesis. ADMA is prominent in this section as it appears to be a
19 significant, putative mediator that could explain the inverse relationship of BMI to Fe(NO) in
20 the late-onset, asthma phenotype. In patients afflicted with this asthma phenotype (mainly obese
21 women), uncoupled NOS produces significantly less NO and more reactive oxygen species
22 (ROS), which may impair respiratory function. Both reactive oxygen species' [52] and ADMA
23 levels [53] have been demonstrated to be increased in obese individuals, which supports this
24 theory. The explanation of the molecular underpinning of this asthma phenotype is also
25 particularly attractive due to fact that a deficit in L-arginine is readily corrected with L-citrulline
26 and thus may be a useful adjunct to conventional asthma therapy [54]. Importantly, this study
27 used a pre-determined cohort from SARP study in which the levels of L-arginine and ADMA
28 were measured, and may not necessarily be representative of the general population. This may
29 potentially serve as a useful proof of concept and identifies the directions of future research, but
30 this needs to be improved upon, using a larger trial in future. Finally, another special population
31 of asthmatic patients are smokers, who are more difficult to diagnose and treat with ICS than
32 non-smoking patients [55]. From diagnostic point of view, smokers have been reported to have
33 decreased FeNO levels, diminishing its potential usefulness as a biomarker in this population of
34 patients [56]. To test whether this biomarker could still be used in this population, Spears et al. in
35 2011 measured FeNO and performed spirometry in 22 smokers and 21 never-smokers both
36 before and after 2 weeks of oral steroid treatment. Obtained values were then mathematically
37 analyzed in a novel way to obtain two indices, alveolar nitric oxide (C_{alv}) and nitric oxide flux
38 (J'_{aw}). The authors found that while FeNO and two indices were decreased in smokers, J'_{aw} , and
39 not FeNO and C_{alv} , was decreased by steroid therapy, potentially raising hopes that J'_{aw} could be
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3 used an asthma biomarker in future. Notably, this was a small unblinded study, with all the
4 inherent consequences – for instance, no relationship between J'_{aw} and ICS dose was found,
5 which could be either due to small study size, or weakness of this index as a biomarker.
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7 Nevertheless, this study is interesting as a proof of concept and provides a foundation for future
8 efforts.
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13 In the above section we have discussed a small fraction of the existing clinical evidence for the
14 clinical utility of FeNO as an asthma biomarker. While the clinical utility of this biomarker may
15 not been demonstrated as satisfyingly as that of periostin, it holds the two key advantages over
16 this substance: one is the ease and low cost of measurement, and another, its potential to identify
17 more asthma phenotypes than periostin. It seems that a combination of periostin and FeNO
18 would be more informative for guiding asthma therapy than each of these alone. However, more
19 data will be necessary to firmly establish their use.
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26 27 **Other biomarkers**

28 29 **(Table 3)**

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32 **Cysteinyl-leukotrienes** (Cys-LT) are simple lipids which are known to be important for
33 paracrine signaling and the acknowledgment of their participation in asthma pathophysiology has
34 culminated in the introduction of leukotriene receptor antagonists (LTRA). These medications
35 are characterized by very few side effects, and even though slightly less efficient than long-
36 acting beta agonists when combined with ICS, they nonetheless have their role in the asthma
37 clinic. The discovery that only a small fraction of patients benefit significantly from LTRA,
38 coupled with the fact that LTE_4 can be readily assayed in the urine, has prompted interest in the
39 use of this Cys-LT for predicting therapeutic response to LTRA [1].
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48 Cai et al. in 2007 administered montelukast (a Cys-LT receptor antagonist) to 48 mild-to-
49 moderate asthmatics over a period of 4 weeks, and used strict criteria (improvement of asthma
50 symptoms, FEV_1 and reduction in SABA use) to classify them as responders or non-responders
51 [57]. 25 patients responded to the therapy, and they had a mean LTE_4 concentration of $224.5 \pm$
52 34.4 pg/mg creatinine, compared to that of 175.3 ± 37.1 in non-responders. The study used a
53 limited number of patients with moderate disease, however, they were able to demonstrate the
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3 potential of this biomarker for indicating whether to use montelukast or not. Cai et al. showed
4 that patients who measured > 200 pg LTE₄/mg creatinine were 3.5 times more likely to respond
5 to this drug than patients below this threshold. While the collection of urine is non-invasive,
6 assessment of LTE₄ level by mass spectrometry requires appropriately trained staff and relatively
7 costly equipment, although the prices may be expected to decrease.
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12 Prostaglandins' relationship to asthma, specifically allergic asthma, has been investigated over
13 the past decades as their connection to mast cells, a major mediator in bronchoconstriction,
14 eosinophil trafficking and potentially asthma progression [58,59] has been elucidated [60,61].
15 Specifically, prostaglandin E₂ (PGE₂) has been linked to mast cell degranulation inhibition and
16 subsequent reduction in bronchoconstriction. Sastre et al. in 2008 showed that asthmatics have a
17 reduced level of PGE₂ [62], which may be linked to inhibition through mast cell activation, as
18 recent studies have suggested that the observed reduction in PGE₂ may be a contributor in
19 asthma progression [63].
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28 This prostaglandin can be easily measured in urine and given more research into its predictive
29 power and whether the beneficial effects of PGE₂ as a muscle relaxant in asthma are
30 physiologically linked to its inhibitory effects on mast cells, may prove to be a beneficial
31 biomarker, or adjunct to, for stratification and guided treatment in allergic asthma.
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36 **Volatile organic compounds (VOC)** can be measured in the exhaled air, and their profiles could
37 be used to diagnose and distinguish between asthma and COPD and, to a certain degree, give an
38 indication of asthma severity.
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42 Multiple substances have been reported to be elevated in the air exhaled by asthmatics, including
43 pH, isoprostanes (widely used in cardiovascular and respiratory research as markers of oxidative
44 stress) and adenosine [3]. These VOCs are practically utilised to form breath profiles or prints,
45 which can be indicative of airway inflammation using a technology termed the 'electronic nose'
46 that is part of a novel but growing technology group called smell analysis.
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52 Electronic nose technology is being studied extensively in asthma for its specificity and
53 sensitivity in detection and discrimination of airway inflammation. Fens et al. showed in 2011
54 that the electronic nose had a sensitivity and specificity of 91% and 90%, respectively when
55 discriminating between asthma, chronic obstructive pulmonary disease and healthy control
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3 patients [64]. However, the electronic nose was found to lack sensitivity when discriminating
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5 between severe and mild asthmatics, as shown by Dragonieri et al. in 2007 [65].
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8 As well as the detection of asthma, the breath profiling by the electronic nose has also been
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10 shown to indicate a patient's responsiveness to steroids with greater accuracy than FeNO or
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12 eosinophils [66]. This has potential for improving the treatment in the future as this is largely
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14 cost-effective and non-invasive, providing its introduction into clinical use after it becomes
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16 commercially available..

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18 Ultimately, the electronic nose lacks some discriminatory power when differentiating asthma
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20 phenotypes, however, it may be possible to utilise it as a method for measuring and analysing
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22 VOCs as auxiliary asthma biomarkers, pending further refinement and commercial availability.
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24 In addition, this technology can aid in reducing misdiagnosis and, when coupled with FeNO, as
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26 shown by Montuschi et al. in 2010, can be highly specific for the detection and profiling of
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28 VOCs as inflammatory biomarkers [67] Thus, given further research breath profiling may be
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30 useful in stratification of asthma types with a high degree of specificity due to the number of
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32 components that comprise a breath profile.

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34 **Exhaled breath temperature** (EBT) represents another potentially useful biomarker, similar to
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36 exhaled breath profiling. Kumar et al. in 1998 compared blood flow in the airway mucosa of
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38 asthmatic patients and healthy controls utilizing a complex dimethyl ether uptake method [68].
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40 They found that blood flow in asthmatic patients was almost twice as high ($68.2 \pm 7.9 \mu\text{l}/\text{min}\cdot\text{ml}$
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42 in receiving glucocorticoids and $55.4 \pm 5.3 \mu\text{l}/\text{min}\cdot\text{ml}$ not receiving glucocorticoids) as in healthy
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44 patients ($38.5 \pm 5.3 \mu\text{l}/\text{min}\cdot\text{ml}$). Garcia et al. last year (2013) expanded on this and similar reports
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46 by investigating the possibility that such increase in blood flow (due to persistent
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48 inflammation leading to airway remodelling, including an increase in the density of the mucosal
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50 vascular network) may be measurable as an increase in the temperature of the exhaled air [69].
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52 They found the exhaled breath temperature (EBT) of uncontrolled asthmatic patients to be
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54 increased (mean of $34.9 \pm 0.8^\circ\text{C}$) in comparison to that of controlled asthmatics (33.7 ± 0.8) and
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56 controls (33.2 ± 0.2). While it is difficult to envisage the utilization of this potential biomarker
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58 for clearly distinguishing between asthma phenotypes, it may be potentially utilized in the future
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60 for monitoring the efficacy of asthma treatment.

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3 **Chitinases** were described in studies by Zhu et al. in 2004 [70] and Chupp et al. in 2007 [71],
4 who have identified and elucidated them as important effector molecules in airway inflammation.
5 Specifically, increased serum levels of enzymatically inactive chitinase-like protein YKL-40
6 represent a possible biomarker in severe childhood asthma. This biomarker has been specifically
7 proposed by Konradsen et al. 2013 to be indicative of therapy resistant asthma and was shown by
8 the same team to correlate with exhaled FeNO ($P=0.004$), blood neutrophils ($P<0.001$) and
9 significantly, bronchial wall thickening ($P=0.01$) and have a negative correlation with asthma
10 control ($P=0.03$) [72].

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12 Interestingly, Konradsen et al. 2013 found that children who possessed a single nucleotide
13 polymorphism in the gene CHI3L1 and were resistant to therapy had the highest levels of YKL-
14 40, while children with this polymorphism and controlled asthma had lower levels. In children
15 who did not have this polymorphism in CHI3L1, no difference was found between resistant and
16 non-resistant asthma. This evidence supports the hypothesis that increased levels of YKL-40, as
17 a result of the polymorphism, are indicative of severe, therapy-resistant asthma and increased
18 markers of inflammation. Whether asthma therapy resistance is due to high levels of YKL-40 or
19 YKL-40 is co-product of the specific asthma type is not yet clear, regardless, YKL-40 is likely a
20 easily attainable biomarker for stratification of severity in childhood asthma. The next step for
21 this biomarker should be to quantify the genetic influence and variation seen in asthma severity
22 and therapy resistance between the polymorphisms in the CHI3L1 gene.

23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 **Conclusion and future directions**

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43 We described several key asthma biomarkers in terms of their potential to distinguish between
44 various phenotypes of this disease and guide its pharmacotherapy. Despite recent progress, many
45 doctors still diagnose and treat asthma as a single disease, rather than what it currently appears to
46 be: a collection of various, closely related pathologies with similar symptoms.

47
48 These difficulties in identifying asthma phenotypes represent perhaps the prime cause of some
49 resistance to asthma stratification and personalized therapy. The next step that we expect to see
50 in the future is changing the current umbrella classification to sufferers of asthmatic symptoms,
51 leading to individual and stratified diagnoses based on biomarkers. This will improve our

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3 understanding of various phenotypes of asthma and how they can be pharmacologically targeted.
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5 Currently, the clinician can use sputum, periostin and perhaps FeNO signature to classify patient
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7 as T_H2 or non- T_H2 , but this is mostly useful for providing prognosis for such patients (non- T_H2
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9 patients will be more likely to be refractory to treatment), rather than guide any specific therapy.
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11 We have only scratched the surface of the complexity of asthma phenotypes, and at the moment
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13 do not have any medications that would allow us to specifically target individual subtypes. The
14
15 current clinical utility of asthma phenotypes is therefore marginal but we expect this to change
16
17 drastically in the near future, leading to more personalized diagnosis and tailored treatment.

18 19 20 Key Issues

- 21 • Asthma is a chronic respiratory disorder characterized by episodes of reversible airflow obstruction,
22 manifesting in shortness of breath, wheezing and cough.
- 23 • Current diagnosis of Asthma is an “umbrella term” that is employed to diagnose groups of similar
24 symptoms; however, asthma is far more diverse and requires greater stratification. To date, Inhaled
25 Corticosteroids (ICS) are the most common treatment for asthma. Biomarker discovery is currently looking
26 to stratify asthma endotypes to enable specific drug discovery and personalize treatment.
- 27 • Eosinophils and neutrophils are closely linked to airway inflammation and are currently measured within
28 induced sputum to stratify asthma into 4 distinct cellular types; eosinophilic (raised eosinophil levels),
29 neutrophilic (raised neutrophil levels), mixed granulocytic (raised neutrophils and eosinophils) and
30 paucigranulocytic (normal neutrophil and eosinophil levels). Eosinophils are most closely linked to severe
31 asthma and indicate the need for ICS use.
- 32 • Periostin is a biomarker directly linked to IL-13 and IL-5 secretion and is elevated in asthmatics with
33 extensive airway remodeling. Elevated periostin can be used to distinguish between T_H2 -high and T_H2 -low
34 asthma and again, indicate more severe asthma subtypes for those classified as T_H2 -high.
- 35 • Nitric oxide (FeNO) is a short-lived, gaseous, free radical that is present in exhaled breath. It can be
36 measured using a range of devices, including an electronic nose, which has the added ability of giving a
37 profile of multiple volatile organic compounds (VOC) in addition to FeNO from exhaled breath.
38 Asthmatics show elevated levels of FeNO and may show variation in levels depending on the degree of
39 airway inflammation. Multiple studies have found it to be inaccurate for anything more than distinguishing
40 between healthy and asthmatic patients, although researchers are hopeful that it will be refined, in
41 conjunction with VOCs to indicate the risk of exacerbation on a daily basis.
- 42 • Future asthma biomarkers include exhaled breath profiling of multiple volatile organic compounds for
43 more specific distinctions between asthma subtypes. Simple lipids such as Leukotriene E-4, which are
44 measurable from urine and have also been found to indicate the responsiveness of a patient to leukotriene
45 receptor antagonists (LTRA), when elevated. LTRA is used to reduce airway inflammation.
- 46 • Biomarkers are also merging into genetic testing such as a polymorphism found in CHI3L1, which encodes
47 for a chitin protein YKL-40. The CHI3L1 gene is hypothesised to be indicative of severe, therapy resistant,
48 childhood asthma when it contains a specific single nucleotide polymorphism.
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•• This seminal study identified significant differences in outcome of therapy with a modern biological drug (lebrikizumab, anti-IL13) between patients with high- and low-pretreatment levels of periostin. The former group of patients benefited from lebrikizumab therapy, while the latter did not, indicating a potential benefit of using periostin to guide therapy with this medication.

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Table 1. Summary of asthma studies in which periostin was investigated as a potential stratifying biomarker.

Study	Population	Design	Outcome
<i>Woodruff et al., 2007[16]</i>	42 nonsmoking asthmatics (who did not take inhaled or oral corticosteroids for 4 wks before enrolment), 28 nonsmoking healthy controls, and 16 current smokers without asthma, but with mild to moderate airflow obstruction (disease controls)	12-week RCT; gene expression examined by microarrays and qPCR (from samples obtained by bronchoscopy with airway epithelial brushing collection) at onset and after 1 wk of treatment with placebo or fluticasone 500 µg BD	POSTN gene expressed 4.4x higher in asthmatics than in healthy controls, which decreased 2.1x after fluticasone treatment
<i>Woodruff et al., 2009[17]</i>	(as above)	(as above)	Approximately half (20 out of 44) asthmatic patients have a high baseline expression of three T _H 2-related genes (POSTN, CLCA1, and SERPINB2), whereas remaining asthmatics have a low expression. Treatment with fluticasone decreases the level of expression of these genes in the former group to the level of the latter group.
<i>Corren et al., 2011[18]</i>	219 patients with uncontrolled asthma (ACQ5 symptom-only version score of ≥ 1.5) refractory to ICS (≥ 6 months' use), and 112 healthy controls	Patients randomized to receive lebrikizumab (anti-IL-13) 250 mg or placebo subcutaneously for 24 wks, and regularly assessed until 32nd wk	Lebrikizumab improves FEV ₁ (by 8.2 percentage points vs. placebo, P = 0.03) in T _H 2-high, but not in T _H 2-low patients (increase by 1.6 percentage points vs. placebo, but with P = 0.61), which directly correlates with serum periostin levels; no alteration in asthma symptoms or use of rescue medication

<p><i>Noonan et al., 2013[19]</i></p>	<p>212 asthmatics with stable asthma (diagnosis of asthma at least 12 months before treatment, a bronchodilator response, and relative change in FEV₁ before treatment < 15%)</p>	<p>Patients randomized into four approximately equal groups: receiving lebrikizumab (125, 250, or 500 mg) or placebo subcutaneously for 12 wks, and regularly assessed until 20th wk</p>	<p>Lebrikizumab significantly lowers treatment failure rates (6% failure rate for lebrikizumab-treated vs 27% for placebo-treated patients), but has no significant effect on FEV₁ for any lebrikizumab dose; and the effect of its administration does not differ between high- and low-periostin patient groups</p>
<p><i>Hanania et al., 2013[20]</i></p>	<p>850 patients (aged 12-75 yrs) with uncontrolled severe persistent allergic asthma recruited for EXTRA study; data on FeNO, blood eosinophils and serum periostin available from 394, 797, and 534 patients, respectively</p>	<p>Post-factum analysis of part of the population of EXTRA study: patients randomized to receive omalizumab (anti-IgE, dose dependent on serum total IgE and patient body weight) or placebo for 48 wks</p>	<p>Omalizumab decreases exacerbation rate in periostin-high (≥ 50 ng/ml) patients by 30% vs placebo (95% CI: 22-51%, $P = 0.07$), but not in periostin-low (< 50 ng/ml) patients (3% decrease, 95% CI: 24.3-32%, $P = 0.94$); no improvement in symptoms and FEV₁</p>

Table 2. Summary of the studies investigating exhaled nitric oxide.

Study	Population	Design	Outcome
<i>Petsky et al., 2012[26]</i>	1231 participants (children and adults) completed a total of 9 studies	Meta-analysis of 9 studies (3 adult using sputum eosinophils, and 2 adult and 4 children using FeNO); 4 of them double, and 5 single-blind; marked variability in defining asthma exacerbations (main outcome in all studies), although all treated such exacerbations with oral steroids	Using FeNO for guiding corticosteroid therapy allowed a decrease in daily dose of this medication in adults, compared to control group (mean difference of -450.03 µg, 95% CI: -676.73 to -223.34, P < 0.0001). However, in children the same strategy led to an increase in daily ICS used (mean difference of 140.18 µg, 95% CI: 28.94-251.42, P = 0.014. No significant differences in terms of exacerbations when FeNO was used for guiding treatment.
<i>Calhoun et al., 2012[27]</i>	342 adults with mild-to-moderate asthma controlled by low-dose ICS	RCT of 342 adults evenly assigned to three groups: having their ICS dose adjusted by FeNO values, symptom score, or physician assessment; with primary outcome of time to treatment failure	No significant difference in time to treatment failure among three groups. For physician-based assessment, the failure rate was 22%, for FeNO-based 20%, and for symptom-based 15%. Hazard ratio for physician-based vs biomarker-based assessment was 1.2 (97.5% CI: 0.6-2.3)
<i>Hanania et al., 2013[20]</i>	850 patients (aged 12-75 yrs) with uncontrolled severe persistent allergic asthma recruited for EXTRA study; data on FeNO, blood eosinophils and serum periostin available from 394, 797, and 534 patients, respectively	Post-factum analysis of part of the population of EXTRA study: patients randomized to receive omalizumab (anti-IgE, dose dependent on serum total IgE and patient body weight) or placebo for 48 weeks	Treatment with omalizumab led to a 53% (95% CI: 37-70%, P = 0.001) decrease in exacerbations (vs placebo) in the high-FeNO (≥ 19.5 ppb) subgroup, and to an insignificant 16% (95% CI: -32 to 46%, P = 0.45) decrease in exacerbations in the low-FeNO (< 19.5 ppb) subgroup.

<i>Amelink et al., 2013[28]</i>	176 patients with adult onset asthma, of whom 78 had severe asthma and 90 mild-to-moderate asthma (according to Innovative Medicines Initiative consensus criteria)	Cross-sectional observational study involving two visits; one during which multiple clinical indices were measured, and another specifically for methacholine challenge	Blood neutrophil (OR 7.6, 2.9-19.8, $P < 0.01$) and sputum eosinophil (OR 1.5, 1.1-2.2, $P = 0.02$) counts, but also FeNO (OR 1.5, 1.1-2.2, $P = 0.02$) were found to be associated with severe adult-onset asthma (a distinct asthma phenotype)
<i>Holguin et al., 2013[30]</i>	Severe Asthma Research Programme subgroup in whom plasma levels of L-arginine and ADMA had been measured: 155 patients, 49% of whom had severe, and the rest mild-to-moderate asthma; mean age of onset was 10 years, and duration 22 years; population divided into late- and early-onset asthma (onset at 12 years or older, or lower, respectively)	Cross-sectional study of selected patients from SARP study	In late-onset asthma, plasma L-arginine/ADMA ratio was lower than in early-onset asthma (median of 109, 95% CI: 81-138 vs 121, 95% CI: 94-178, $P = 0.02$). L-arginine/ADMA (log) found to be inversely correlated to BMI in the late-onset asthmatic group ($r = -0.4$, $P = 0.0006$), but more weakly correlated in the early-onset group ($r = -0.2$, $P = 0.07$).

Table 3. Summary of the studies investigating other potential biomarkers.

Study	Biomarker	Population	Design	Outcome
<i>Cai et al., 2007[34]</i>	Cysteinyl Leukotriene E4 as an indicator of response to treatment with montelukast.	48 patients with mild to moderate asthma, who were not receiving a concomitant treatment with leukotriene modifiers or oral corticosteroids and not having experienced any change in their asthma parameters in the preceding 10 days	4 wk trial of treatment with leukotriene receptor antagonist montelukast; measurement of various clinical parameters at onset and end of study; patients classified as responders if: (1) experienced reduction of $\geq 20\%$ in mean symptom score; (2) reduction of $\geq 20\%$ in β_2 -agonist usage; and (3) a mean improvement of FEV1 of $\geq 10\%$ from baseline value	25 patients classified as responders, and 23 as non-responders; urinary LTE ₄ level was significantly higher in responders than in non-responders (224.5 ± 34.4 vs. 175.3 ± 37.1 pg/mg creatinine, $p < 0.05$); patients with urinary LTE ₄ > 200 pg/mg creatinine 3.5 times more likely (95% CI: 1.7-15.8) to respond to montelukast than those below this level

Sastre et al., 2008[39]	prostaglandin E2 as an indicator of severity in Eosinophilic Bronchitis.	13 patients with asthma, 13 patients with nonasthmatic eosinophilic bronchitis, and 11 controls (nonsmokers with no history of asthma, allergic diseases, or chronic bronchitis)	Cross-sectional study, which measured cytokine mRNA levels (by real time qPCR), proinflammatory mediators, and concentration of eicosanoids (by enzyme immunoassays) in induced sputum	Induced sputum PGE ₂ concentrations significantly raised in patients with eosinophilic bronchitis (838 ± 612 pg/ml) compared to asthmatic (7.54 ± 2.14 pg/ml) and healthy subjects (4 ± 1.3 pg/ml); no other significant differences between asthmatic patients and nonasthmatic eosinophilic bronchitis patients
Fens et al., 2011[41]	Profiling of exhaled volatile organic compounds for discrimination of asthma with fixed airways obstruction and chronic obstructive pulmonary disease.	60 asthmatic patients (21 with fixed airways obstruction, and 39 with classic reversible airways obstruction) and 40 COPD patients (GOLD stages II-III)	Cross-sectional study involving sampling of volatile organic compounds (VOC) in exhaled breath using an electronic nose sensor	Breathprints had accuracy of 88% in distinguishing between fixed asthma and COPD (sensitivity 85%, specificity 90%) and 83% for classic asthma (sensitivity 91%, specificity 90%), which was not affected by current smoking status
Dragonieri et al., 2007[42]	Profiling of exhaled volatile organic compounds for discrimination between old and young asthma sufferers.	10 young patients with mild asthma (25.1 ± 5.9 yrs, FEV ₁ 62.3 ± 23.6), 10 older patients with severe asthma (57.3 ± 7.1 years, FEV ₁ 108.3 ± 14.7), and matched 10 young and 10 older controls	<i>(same in principle as above)</i>	Electronic nose enabled discrimination of both young and old asthma patients from their respective controls, but was less accurate at distinguishing between mild and severe asthma patients

<i>Van der Schee et al., 2013[43]</i>	Volatile organic compound profiling in comparison to exhaled FeNO and eosinophils for the prediction of steroid responsiveness.	25 patients with mild or moderate asthma and 20 controls	6 wk RCT. Discontinuation of steroid treatment in asthmatic patients for 28 days or loss of control, followed by treatment with oral prednisolone 30 mg/day for 14 days; assessment of various indices during steroid-free period with aim of predicting future steroid responsiveness	Analysis of VOC using electronic nose was superior to both FeNO and sputum eosinophils in predicting steroid responsiveness (AUC = 0.883 ± 0.16 , $P = 0.008$; 0.545 ± 0.28 , 0.751 ; and 0.610 ± 0.29 , 0.441 , respectively)
<i>Montuschi et al., 2010[44]</i>	Volatile organic compounds, FeNO and lung function for comparative diagnostic performance in asthma.	27 patients with mild or moderate persistent asthma, and 24 healthy controls	Cross-sectional study which compared diagnostic performance of various biomarkers: FeNO, lung function testing, and VOC measured by electronic nose	Diagnostic performance was highest for electronic nose (87.5%), followed by FeNO (79.2%) and lung function testing (70.8%); highest diagnostic performance was obtained by combining electronic nose and FeNO (95.8%)
<i>Garcia et al., 2013[45]</i>	Exhaled breath temperature for the prediction of asthma and discrimination between controlled and uncontrolled asthma.	100 patients with persistent asthma (50 with controlled and 50 with uncontrolled) and 50 healthy controls	Cross-sectional study, which measured lung function by post-bronchodilator forced spirometry, asthma control test and exhaled breath temperature (EBT)	Patients with asthma had significantly increased EBT compared to healthy controls; this was particularly visible in uncontrolled asthmatics (EBT of 34.9 ± 0.8 °C) compared to well-controlled asthmatics (EBT 33.7 ± 0.8 °C) and controls (EBT 33.2 ± 0.2 °C, $P < 0.001$)

<i>Konradsen et al., 2013[47]</i>	Chitinase-3-like protein 1- YKL-40 (secreted glycoprotein) for the prediction of therapy resistance in children with asthma.	34 children with severe refractory asthma, 39 children with controlled persistent asthma, and 27 healthy controls	Cross-sectional study, involving using ELISA to measure serum YKL-40 levels and other clinical measurements	Children with therapy-resistant asthma had significantly higher serum YKL-40 levels compared with healthy children (19.2 ng/ml vs 13.8 ng/ml, $P = 0.03$), which in these children correlated with FeNO ($r = 0.48$, $P = 0.004$), blood neutrophils ($r = 0.63$, $P < 0.001$) and bronchial wall thickening on high-resolution CT ($r = 0.45$, $P = 0.01$).
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