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1 **Overlap of Heritable Influences between Cannabis Use Disorder, Frequency of**
2 **Use and Opportunity to Use Cannabis: Trivariate Twin Modelling and**
3 **Implications for Genetic Design**

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19

1 **Abstract**

2 Background

3 The genetic component of Cannabis Use Disorder (CUD) may overlap with influences acting
4 more generally on early stages of cannabis use. This paper aims to determine the extent to
5 which genetic influences on the development of cannabis abuse/dependence are correlated
6 with those acting on opportunity to use cannabis and frequency of use.

7 Methods

8 Cross-sectional study of 3303 Australian twins, measuring age of onset of cannabis use
9 opportunity, lifetime frequency of cannabis use and lifetime DSM-IV cannabis
10 abuse/dependence. A trivariate Cholesky decomposition estimated additive genetic (A),
11 shared environment (C) and unique environment (E) contributions to opportunity to use
12 cannabis, frequency of cannabis use, cannabis abuse/dependence, and the extent of
13 overlap between genetic and environmental factors associated with each phenotype.

14 Results

15 Variance components estimates were $A=0.64$ (95% CI 0.58 – 0.70) and $E=0.36$ (95% CI
16 0.29 – 0.42) for age of opportunity to use cannabis, $A=0.74$ (95% CI 0.66 – 0.80) and
17 $E=0.26$ (95% CI 0.20 – 0.34) for cannabis use frequency, and $A=0.78$ (95% CI 0.65 – 0.88)
18 and $E=0.22$ (95% CI 0.12 – 0.35) for cannabis abuse/dependence. Opportunity shares 45%
19 of genetic influences with frequency of use, and only 17% of additive genetic influences are
20 unique to abuse/dependence from those acting on opportunity and frequency.

21 Conclusions

22 There are significant genetic contributions to lifetime cannabis abuse/dependence, but a
23 large proportion of this overlaps with influences acting on opportunity and frequency of use.
24 Individuals without drug use opportunity are uninformative, and studies of drug use disorders
25 must incorporate individual exposure to accurately identify aetiology.

26 **Introduction**

1 As the legislative landscape regarding cannabis alters, potentially altering patterns of use
2 (Hopfer 2014; Hasin *et al.* 2015; Shi *et al.* 2015), a greater understanding of environmental
3 and genetic influences on progression to harmful or disordered cannabis use is needed.
4 Cannabis Use Disorder (CUD) is included in the DSM-5 (American Psychiatric Association &
5 DSM-5 Task Force 2013), an amalgamated update of DSM-IV cannabis abuse and
6 cannabis dependence (American Psychiatric Association 2000) characterised by loss of
7 control over use, failure to fulfil social roles, recurrent use in hazardous situations, and use
8 despite worsening of health problems. An estimated 10% - 16% of individuals who have ever
9 used cannabis will develop dependence (Anthony 2006) and globally 13.1 million individuals
10 meet criteria for cannabis dependence contributing 10.3% of the illicit drug use global burden
11 of disease (Degenhardt *et al.* 2014).

12 Individuals with drug dependence pass through several intermediate stages before
13 developing a clinical condition, and many non-clinical individuals will reach earlier stages of
14 drug use involvement without progressing to disorder. The earliest stage of involvement is
15 having the opportunity to use (regardless of whether the individual uses the drug or not).
16 Opportunity is required for use to occur, and forms an individual's earliest necessary
17 condition from which they are at risk of developing dependence (Wagner & Anthony 2002).
18 Once initiation of use has occurred, individuals will vary in frequency of cannabis use, with
19 increased frequency associated with increased likelihood for the development of cannabis
20 dependence (Chen *et al.* 1997). Considering the sources of variation in progression through
21 the stages of cannabis use, and the extent to which influences are consistent across different
22 stages, can provide insight into the aetiology of CUD (Hines *et al.* 2015a, 2016).

23 Twin modelling has identified a strong genetic contribution to CUD, with a review of 6
24 studies in the area concluding heritability estimates range from 45% – 78% (Agrawal &
25 Lynskey 2006). Meta-analysis estimated heritability of problematic cannabis use (having one
26 or more of the symptoms of cannabis abuse or dependence) at 51.4 (95% CI 37.9–64.9) in
27 males and 58.5 (95% CI 44.2–72.9) in females (Verweij *et al.* 2010). However, the

1 magnitude of these influences may differ across stages of drug use. Early stages may be
2 genetically influenced through personality traits such as novelty seeking (Laucht *et al.* 2007),
3 whereas at subsequent stages, such as drug dependence and development of withdrawal,
4 genetic influences on drug metabolism, may be more influential (Dick *et al.* 2014).

5 Common genetic influences may act on multiple stages. The majority of research into the
6 correlation of influences between initiation of use and disordered use comes from the alcohol
7 and tobacco literature, where a genetic correlation (0.15 – 0.88) has been consistently
8 demonstrated between the earlier and later stages of drug use (Broms *et al.* 2006; Pagan *et*
9 *al.* 2006; Morley *et al.* 2007). Similarly, studies of alcohol use disorder have identified a
10 strong genetic correlation between age of alcohol initiation and alcohol use disorder (Sartor
11 *et al.* 2009; Ystrom *et al.* 2014). Similar mechanisms may be acting on CUD. Only 34% of
12 the variance in cannabis abuse/dependence is unique to this phenotype, with the rest
13 shared with genetic influences on initiation (Agrawal *et al.* 2005), and cannabis availability
14 explains almost all the shared environmental risks in cannabis initiation and abuse (Gillespie
15 *et al.* 2009b).

16 To date, research has not explored the extent to which genetic influences may correlate
17 across more than 2 stages of drug use. Additionally, the heritability of the earliest stage of
18 drug use - having opportunity to use a drug (Wagner & Anthony 2002) – has been somewhat
19 overlooked. This is despite evidence of the importance of this phenotype for design of
20 genetic research (Nelson *et al.* 2013): individuals who do not have opportunity to use a
21 substance are unable to express their genetic vulnerability to later stages, including use and
22 use disorders. Not only are such individuals structurally missing in analytic terms, but
23 excluding individuals who have no drug use opportunity to use from genetic association
24 studies can provide superior control for environmental background and related covariates.

25 Opportunity may be regarded as a putative environmental factor, likely subject to broader
26 environmental modifications, such as changes in national policy, but also to individual-
27 specific factors, including peer provision of drugs. Despite these underpinnings, such

1 “environmental” factors have been shown to have heritable variation (Kendler & Baker 2007;
2 Gillespie *et al.* 2009b). Considering this phenotype in the context of later stages of drug
3 transitions, such as escalation to frequent use and the development of abuse/dependence
4 will provide insight into the pathways to the development of dependence.

5 By applying trivariate twin models to the phenotypes age of cannabis opportunity,
6 frequency of cannabis use, and abuse/dependence, this paper aims to determine the extent
7 to which genetic influences on the development of cannabis abuse/dependence are unique
8 to the phenotype, and the extent to which they correlate with influences on opportunity to
9 use cannabis and the frequency of cannabis use.

10 **Methods**

11 ***Sample***

12 The sample was drawn from the Australian Twin Registry. From a pool of pairs born 1972-
13 1979, 3348 MZ and DZ twins completed the interview component of a study of cannabis and
14 other drug misuse. A full description of the study methodology and of the characteristics of
15 participants has been published previously (Lynskey *et al.* 2012). The 3303 twins who
16 provided information on whether or not they had ever had the opportunity to use cannabis,
17 and who had complete zygosity information, form the analysis sample for this paper. This
18 sample consisted of 975 MZ males, 481 DZ males, 734 MZ females, 371 DZ females, and
19 742 opposite sex DZ twins. Of these, 808 were singletons. Mean age was 31.8 (range 27 –
20 40 years, median 32.0).

21 ***Assessment***

22 Participants were assessed through computer-assisted telephone interviews which collected
23 information on socio-demographics, childhood experiences, drug use and common mental
24 health disorders, including cannabis and other drug use disorders, assessed using the Semi-
25 Structured Assessment for the Genetics of Alcoholism (SSAGA-OZ) interview (Bucholz *et al.*

1 1994; Heath *et al.* 1997). The SSAGA-OZ is a validated measure of mental health using
2 DSM-IV criteria, and includes assessment of cannabis and other drug abuse and
3 dependence. Specific measures used in the current analyses are described below.

4 **Measures**

5 ***Opportunity to use cannabis***

6 Participants were asked “have you ever been offered, or had the opportunity to use
7 cannabis, even if you didn't use it at the time? How old were you the first time?” Of 3348
8 twins interviewed, 3325 provided information on whether or not they had ever had the
9 opportunity to use cannabis. Of these twins, information on zygosity was missing for 22,
10 resulting in an analysis sample of 3303.

11 For analysis, participants were categorised as having never had the opportunity to use
12 cannabis (N = 356, 10.8%), having had later opportunity to use cannabis (first opportunity
13 reported as happening at age 16 and over, N = 2264, 68.5%), or having had early
14 opportunity to use cannabis (first opportunity reported as occurring at age 15 or earlier, N =
15 670, 20.3%). As there is no precedent in the literature for what age represents an “early”
16 opportunity to use cannabis, sensitivity analyses were conducted on the cut-off age. The
17 correlations obtained by different cut-off points indicated results were not affected by the
18 choice of age 15 as age cut-off for early opportunity (see supplementary material).

19

20 ***Cannabis use frequency***

21 Participants were asked about lifetime frequency of use through the item “have you used
22 marijuana 40 or more times, 21-39 times, 11-20 times, 7-10 times, 1-6 times?”, then
23 estimated number of times used. Participants were categorised as having used cannabis
24 infrequently, at a level that precluded being asked about cannabis abuse/dependence (0 -
25 11 times, N = 1913), moderately (12 – 50 times, N = 476), or high frequency (50+ times,
26 N=554).

1 ***Cannabis abuse/dependence***

2 Participants were classified as meeting DSM-IV criteria for lifetime cannabis abuse if they
3 reported one or more of the following: often using cannabis in a situation where they might
4 get hurt; arrested more than twice within a 12 month period as a result of their cannabis use;
5 cannabis use having caused difficulty with work, study or household responsibilities;
6 cannabis having caused social and interpersonal problems more than 3 times within a 12
7 month period.

8 Participants were classified as meeting lifetime criteria for DSM-IV cannabis dependence if
9 they reported 3 or more of the following symptoms occurring within the same 12 month
10 period: using cannabis a greater number of times/greater amount than was intended,
11 tolerance, wanting to cut down/stop use, spending so much time obtaining/using/recovering
12 from the effects of cannabis the participant had little time for anything else, reducing
13 important activities as a result of cannabis use, continuing use despite it worsening
14 health/emotional problems. In the sample used in this analysis, 16.4% (N=543) reported
15 cannabis abuse and/or dependence.

16 ***Individual characteristics***

17 ***Sex***

18 Sex was determined through self-report (76.9% female, N=2540).

19 ***Zygoty***

20 Zygoty of twin pairs was measured through standard questions about physical similarity
21 and the extent to which twin identity was confused by parents, teachers and strangers;
22 methods found to give better than 95% agreement with results of genotyping (Cederlof *et al.*
23 1961; Kasriel & Eaves 1976; Sarna *et al.* 1978).

24 ***Statistical analyses***

25 All analyses were conducted using OpenMX v2.5.2 (Boker *et al.* 2011) for the statistical
26 software R v3.1.2 (R Core Team 2013). Analyses used full information maximum-likelihood

1 estimation with raw data, and the optimiser SLSQP was applied to analyses. Analyses were
2 adjusted for sex.

3 ***Staged Trivariate Twin Model***

4 Classical twin modelling estimates the extent to which additive genetic (A), common
5 environment (C) and unique environment (E) influence a phenotype (Neale & Cardon 1992).
6 Approaches using twins reared together can be used to determine the heritability of, and
7 environmental contribution to, a phenotype or trait. Identical – or monozygotic (MZ) – twin
8 pairs share 100% of their genetic material. Fraternal – or dizygotic (DZ) – twin pairs share
9 only 50%, on average, of the same genetic material. This means they are no more
10 genetically alike than full siblings. However, unlike siblings DZ twins will grow up in the same
11 environment. Using this knowledge we can calculate the extent to which the variance in a
12 phenotype is due to genetic effects, and the extent to which it is due to environmental effects
13 (Plomin *et al.* 2013). If the MZ correlation is twice the DZ correlation then all twin-pair
14 similarity can be attributed to A, whereas if the MZ correlation is greater than the DZ
15 correlation, but not twice the DZ correlation, there is also evidence of some shared
16 environmental influences. The extent to which the MZ twin correlation is less than 1.0
17 indicates the magnitude of non-shared environmental influences. Dominant genetic effects
18 (D), which are non-additive interaction effects between genes, cannot be assessed
19 simultaneously with C (Neale & Cardon 1992). Structural equation modelling of twin data is
20 used to obtain precise estimates of A, C and E and allows for the comparison of models and
21 generation of confidence intervals around estimates (Neale & Cardon 1992).

22 A staged twin model was fitted to assess contributions of A, C, and E to variance in age
23 of opportunity to use cannabis, frequency of cannabis use, and lifetime cannabis
24 abuse/dependence, and to estimate the extent to which the influences of A, C and E on the
25 three phenotypes were correlated (Heath *et al.* 2002). The staged model is appropriate for
26 situations where early-stage phenotypes, such as cannabis use opportunity, are *necessary*
27 for the expression of later behaviours, such as the development of dependence, and is a

1 variation of the classic bivariate model appropriate for analysis of variables with data missing
2 at random (data are missing as a result of observations on a previous variable, as opposed
3 to data missing completely at random) (Kendler *et al.* 1999; Heath *et al.* 2002; Neale *et al.*
4 2006). See Heath *et al.* (2002) for full details. Explicitly modelling such structurally missing
5 data also has the advantage of estimating the extent of covariation between these
6 contingent stages of use (i.e., opportunity, frequency, abuse/dependence) while not
7 excluding those who do not provide information on a prior stage (e.g., opportunity) from
8 analyses of later stages (e.g., abuse/dependence).

9 A Cholesky decomposition model was used to parse the phenotypic correlations
10 between the three stages of cannabis use and misuse into A, C and E sources, including
11 those specific to each of the latter stages of frequency and abuse/dependence as well as the
12 magnitude of overlapping influences across the 3 stages.

13 **Assumption testing**

14 The analysis assumes each threshold-selected trait has an underlying bivariate/multivariate
15 normal liability distribution. Exploring this methodological issue falls beyond the scope of this
16 paper, but such modelling techniques have been shown to be robust to breaches of this
17 assumption (Reinartz *et al.* 2009). Thresholds represent cut-off points along this unobserved
18 continuous distribution of liability.

19 In order to test whether thresholds could be equated between MZ and DZ twins, nested
20 models were compared against a saturated twin model. Differences in the fit of more
21 parsimonious models compared to the saturated or ACE model were assessed via the
22 Akaike Information Criterion (AIC) and the change in -2loglikelihood (Δ -2LL), which can be
23 approximated by a chi square distribution with degrees of freedom (DF) equal to the
24 difference in degrees of freedom of the nested models. Where these measures lead to
25 different conclusions on parsimony, the p value has been prioritised. Significance of
26 thresholds (and equality between thresholds) was determined by Δ -2LL and change in DF

1 (ΔDF) and associated chi-square distribution. Significance of variance and covariance paths
2 was similarly determined through likelihood ratio testing.

3

4 **Results**

5 **Prevalence of, and Correlations between, Opportunity to use Cannabis, Frequency of** 6 **Cannabis Use and Abuse/dependence**

7 Of those who reported opportunity to use cannabis by age 15 (N=683), 35.8% (N=244)
8 reported high frequency cannabis use (lifetime use 50+ times), compared to 13.7% (N= 310)
9 of those who reported cannabis use opportunity at age 16 or older (N=2264). Of those who
10 reported high frequency cannabis use (50+ times, N=554), 75.6% (N =418) met criteria for
11 lifetime cannabis abuse/dependence compared to 26.3% (N =125) of those who reported
12 lower frequency cannabis use (12 – 50 times, N = 476).

13 A saturated twin model was used to estimate tetrachoric correlations for the
14 categorically-defined traits of age of opportunity, frequency of cannabis use and lifetime
15 cannabis abuse/dependence (see Table 1). The relative magnitude of MZ within-trait
16 correlations indicate heritable influences on all of these traits. The across twin/across trait
17 correlations and confidence intervals indicate genetic factors contribute to all correlations.
18 MZ within trait and across trait correlations are not twice the DZ correlations, suggesting
19 some influence of C. All correlations are less than 1.0, suggesting moderate to low effects of
20 E.

21 **Assumption Testing**

22 MZ and DZ thresholds could not be equated ($\Delta -2LL=15.0$, $\Delta DF=5$, $P=0.01$), and were
23 estimated separately in all further models.

24 **Trivariate Cholesky Model Fitting**

1 A saturated model provided fit statistics, estimates for each component of the variance for all
2 three phenotypes, and estimates for the covariance between phenotypes. The fit statistics
3 for this model were $-2LL=11029.68$ $DF=7249$, $AIC=-3468.32$.

4 **Nesting Models to Develop Parsimonious Model Fit**

5 In order to identify the most parsimonious model, nested models constrained individual
6 variance and covariance components to zero, when confidence intervals on the estimate
7 from the saturated model included 0. It was possible to drop all C parameters ($\Delta-2LL=6.07$,
8 $\Delta DF=6$, P value=0.41) without a significant decrement in fit. In addition, there was no
9 statistically significant covariance between opportunity and either frequency or
10 abuse/dependence attributable to E ($\Delta-2LL=0.58$, $\Delta DF=2$, P value=0.75).

11 **Final Model**

12 The final most parsimonious model was an AE model ($\Delta-2LL=7.22$, $\Delta DF=8$, P value=0.51).
13 Variance component estimates are presented in Table 2. Approximately 64-78% of the
14 variance in each phenotype was due to additive genetic influences, with confidence intervals
15 indicating both frequency and abuse/dependence were modestly, but significantly, more
16 heritable than opportunity to use. A proportion of these genetic influences were shared
17 across the three stages. As shown in Table 2, genetic correlations across stages ranged
18 from 0.37 (opportunity and abuse/dependence) to 0.68 (frequency and abuse/dependence).
19 For frequency, about 55% of the genetic influences were unique from those acting on
20 opportunity, while for cannabis abuse/dependence, 17% of the genetic influences were
21 unique from those acting on opportunity and frequency of use. In addition, cannabis
22 abuse/dependence shared individual-specific environmental influences with frequency (but
23 not opportunity) with 27% specific to this stage.

24 **Discussion**

1 Additive genetic influences determine the majority of variance in age of opportunity to use
2 cannabis (0.64, 95% CI 0.58 – 0.70), frequency of cannabis use (0.74, 95% 0.66 – 0.80),
3 and cannabis abuse/dependence (0.78, 95% 0.65 – 0.88). Of these influences, 55% of
4 additive genetic influences acting on frequency of cannabis use are unique from those acting
5 on age of opportunity to use cannabis, and 17% of additive genetic influences acting on
6 cannabis abuse/dependence are unique from those acting on opportunity and frequency. No
7 significant effect of the shared environment was observed, but there were unique
8 environmental influences on all phenotypes. The only correlated unique environmental
9 influences were between cannabis use frequency and abuse/dependence.

10

11 Previous research has not explored the correlation between influences on cannabis use
12 opportunity and cannabis abuse or dependence, although existing studies focusing on
13 cannabis initiation observed overlapping liabilities between cannabis initiation and
14 progression to heavy use (0.88; 33% due to genetic factors) (Fowler *et al.* 2007). This is a
15 similar genetic contribution to the overlap in liabilities to that presently observed between
16 cannabis opportunity and frequency of use. This demonstrates the present findings are in
17 line with existing research showing genetic correlation between the early stages of cannabis
18 use and later substance use disorders.

19 Opportunity to use cannabis is the necessary first step in progression towards
20 problematic use, and this phenotype could be expected to be subject only to environmental
21 influence. However, 64% of the variance in cannabis age at opportunity was due to genetic
22 factors. Although it may be surprising that an apparently environmental phenotype is
23 influenced by heritable factors, this result is consistent with previous findings that cannabis
24 use availability (Gillespie *et al.* 2009b) and other putative measures of ‘environment’
25 (Kendler & Baker 2007) are, in fact, influenced by genetic factors. Environmental measures
26 can be heritable if there is a bidirectional relationship between an individual’s behaviour and
27 their environment, if aspects of behaviour are subject to genetic influences (Kendler & Baker
28 2007; Lynskey & Agrawal 2009). A review of this area identified positive and negative life

1 events, divorce and social support all have heritable influences (Kendler & Baker 2007). The
2 additive genetic correlation may also indicate evocative or active interactions taking place
3 (Plomin *et al.* 2013), with genes influencing earlier age of cannabis use opportunity
4 contributing to individuals selecting into environments and behaviours that facilitate the
5 development of cannabis dependence.

6 Alternatively, genetic influences associated with other behaviours may be influencing
7 progression through the stages of cannabis use. Previous research has identified conduct
8 disorder influences transitions to cannabis use opportunity, and from opportunity to
9 dependence (Hines *et al.* 2016). This is in line with existing research demonstrating the
10 consistent influence of conduct disorder on drug use (Lynskey *et al.* 2002; Storr *et al.* 2011;
11 Reboussin *et al.* 2015), and genes relating to conduct disorder and involvement with deviant
12 peers (Gillespie *et al.* 2009a) are plausible candidates for the shared genetic liability
13 between age of opportunity and the development of cannabis abuse/dependence.
14 Additionally, personality factors associated with drug use (Malmberg *et al.* 2010), such as
15 sensation seeking, may underlie this shared genetic liability.

16 Cannabis opportunity, frequency of use, and abuse/dependence show a moderate
17 effect of the unique environment (0.35, 0.26 and 0.22, respectively), but the correlation
18 between unique environmental influences on opportunity and the later stages of drug use
19 was non-significant. This may reflect measurement error (Plomin *et al.* 2013), but is in line
20 with existing research demonstrating the pattern of environmental factors associated with
21 progression between specific stages of drug use differs between transitions (Sartor *et al.*
22 2007; Belsky DW *et al.* 2013; Hines *et al.* 2016). For example, childhood and early
23 adolescent factors have been shown to be uniquely associated with cannabis opportunity,
24 whereas escalating other drug use factors is uniquely associated with development of
25 cannabis dependence (Hines *et al.* 2016).

1 The present analysis indicated none of the observed variance in opportunity to use
2 cannabis, frequency of use or abuse/dependence in males was attributable to the shared
3 environment in this sample. The shared environment is usually found to be more important
4 at earlier stages than later (Fowler *et al.* 2007), and these findings contradict findings of a
5 high shared environmental correlation between cannabis availability and cannabis abuse
6 (Gillespie *et al.* 2009b). The samples differ, with the Gillespie *et al.* findings based on an all-
7 male population, but these contradictory findings indicate cannabis availability (the perceived
8 ease of obtaining cannabis) and opportunity (having been offered cannabis, or being around
9 cannabis use) represent different phenotypes.

10 Previous research has not tested the extent to which genetic influences on cannabis
11 initiation and cannabis abuse overlap, so comparisons cannot be made to the present
12 findings for opportunity and abuse/dependence. However, when considered in light of
13 findings that variation in progression to subsequent use of cannabis is almost entirely
14 attributable to the unique environment (Hines *et al.* 2015b), a picture is beginning to emerge
15 of how different factors influence progression from the very earliest stages of cannabis to the
16 development of dependence.

17 Implications

18 The potential for opportunity to use cannabis to be a marker for intervention has previously
19 been discussed (Neumark *et al.* 2012), and the overlap in genetic influences between age of
20 opportunity and both frequency of cannabis use and cannabis abuse/dependence indicates
21 there is potential to use this measure to indicate those at greatest risk of developing later
22 frequent and/ or problematic use. It has previously been suggested that prevention
23 strategies focused on modifying beliefs, norms and behavioural patterns within close social
24 networks may be effective at reducing drug use opportunity, and consequently drug use
25 (Neumark *et al.* 2012). The identified moderate influence of unique environmental factors on
26 all phenotypes indicates there is scope to determine further influences which may be
27 amenable to target within intervention efforts.

1 The findings of this paper have important implications for future studies of gene variants and
2 heritability of problematic cannabis use, and in the choice of controls in case-control studies.
3 These results indicate only a moderate proportion of genetic influences on cannabis
4 abuse/dependence are unique from those acting on age of opportunity to use cannabis.
5 These findings reflect previous research demonstrating the importance of considering drug
6 use opportunity when looking at the genetics of opiate use (Nelson *et al.* 2013).
7 Comparison of participants in treatment for opiate dependence with nondependent
8 neighbourhood controls (high exposure to illicit drugs, either via use or from residing in
9 environments with widespread drug availability) identified SNPs in *ANKK1* and *TTC12* as
10 associated with heroin dependence, whereas comparison with controls sourced from the
11 ATR (individuals not dependent on alcohol or illicit drugs, with significantly lower illicit drug
12 exposure) found no association with these SNPs (Nelson *et al.* 2013). Until now the
13 importance of considering cannabis use opportunity in genetic studies has not been
14 explored, although some studies remove those who have not initiated use. Removing those
15 who have not initiated cannabis use can reduce sample size and power, and the present
16 results indicate excluding those without opportunity may avoid conflating genetic influences
17 whilst retaining a greater proportion of a sample. A further advantage of incorporating
18 opportunity to use may arise in meta-analyses of genomewide association studies (GWAS)
19 of cannabis use and misuse. Marked regional variation in opportunity to use across different
20 samples may comprise an international meta-analytic effort. Exclusion of, or accounting for,
21 variability in exposure opportunity, even using crude indices of national policy or cannabis-
22 related law, might reduce heterogeneity in the extent to which genetic vulnerability to later
23 stages of cannabis problems have been adequately expressed.

24 Consequently, a key implication of the current findings is the necessity of taking
25 into consideration the stage of drug use reached amongst the controls for genomic analyses.
26 Existing research has utilised information on the extent of cannabis use in controls (e.g.
27 excluding those who had used cannabis fewer than 6 times) (Hartman *et al.* 2009), but such

1 issues are not always taken into consideration (Benyamina *et al.* 2009). This may be
2 especially important in studies of cannabis; a drug with high prevalence of use, but relatively
3 low prevalence of dependence amongst lifetime users. As the legal status of cannabis
4 changes (Shi *et al.* 2015) availability may become to be comparable to that of alcohol, but
5 individual opportunity to use may remain variable. Depending on the research question, and
6 on the development of research identifying genetic overlap between progression to other
7 stages of cannabis use and problematic cannabis use, screening controls not only for
8 opportunity or initiation of cannabis use, but also for frequency of use may have utility in
9 improving cannabis dependence SNP identification in the future.

10 These findings have further implications for the overlap of genetic influences
11 across drug classes. Existing research has suggested a proportion of the genetic factors
12 underlying SUDs are not specific to individual drugs, and environmental influences
13 determine the drug of misuse (Kendler *et al.* 2003) However, previous research in this area
14 has not incorporated consideration of the stage sequential nature of drug dependence into
15 their analyses. Much of the non-specificity of genetic influences on SUDs likely results from
16 shared influences on the earlier stages of drug use, with more specific influences (such as
17 those related to drug metabolism, for example) associated with later stages of use.

18

19 Limitations

20 Certain limitations must be taken into account when interpreting these results. The data are
21 based on retrospective self-report. Retrospective recall of age onset of drug use behaviours
22 has been shown to be reliable (Shillington *et al.* 1995; Johnson & Mott 2001; Parra *et al.*
23 2003; Ensminger *et al.* 2007), but the analyses would benefit from replication in prospective
24 longitudinal cohorts. Self-report has been shown to be a valid measure of data collection
25 relating to drug use (Darke 1998), and has been described as the gold standard for
26 collecting data on phenotypes such as initiation and opportunity (Wagner & Anthony 2002).
27 Given use of cannabis was illegal at time of data collection, some participants in this study

1 may have misreported their drug use. However, the high prevalence of self-reported lifetime
2 cannabis use (68.5%) suggest it's unlikely this was an issue.

3 The results are based on a twin population. Research has demonstrated twin and non-
4 twin populations do not differ in incidence of psychiatric illness (Kendler *et al.* 1996), and no
5 association has been found between twin environmental similarity and mental health
6 outcomes (Kendler *et al.* 1993).

7 Conclusions

8 There are significant genetic contributions to lifetime cannabis abuse/dependence, but a
9 proportion of this overlaps with genetic influences acting on the opportunity to use cannabis
10 and the frequency of cannabis use. Individuals without drug use opportunity are
11 uninformative, and studies of drug use disorder and frequency of use, whether focused on
12 identifying gene variants or environmental factors, must incorporate consideration of drug
13 use exposure use amongst controls in order to accurately identify aetiological factors.

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20 Declarations of interest

21 AA has previously received peer-reviewed funding from ABMRF/Foundation for Alcohol
22 Research which receives partial support from the brewing industry.

23 JS is a researcher and clinician and has worked with a range of types of treatment and
24 rehabilitation service-providers. He has also worked with pharmaceutical companies to seek
25 to identify new or improved treatments, and also with a range of governmental and non-

1 governmental organisations. His employer (King's College London) is registering intellectual
2 property on an innovative medication development with which JS is involved (not relevant to
3 cannabis), and JS has been named in a patent registration by a Pharma company as
4 inventor of a potential novel overdose resuscitation product (not relevant to cannabis). A
5 fuller account of JS's interests is on his personal web-page of the Addictions Department
6 at <http://www.kcl.ac.uk/ioppn/depts/addictions/people/hod.aspx> . JS is also supported by the
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9 There are no other declarations of interest from authors of this paper.

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1 Table 1: Tetrachoric correlations (95% confidence intervals) between age of opportunity to
 2 use cannabis and cannabis abuse/dependence in MZ and DZ twin pairs
 3

	Within trait, across twin correlation			Across trait, across twin correlation		
	Age of Opportunity twin 1/twin 2	Frequency cannabis use twin 1/twin 2	Abuse/Dependence Twin 1/twin 2	Age of Opportunity / Frequency cannabis use	Age of Opportunity / Abuse/Dependence	Frequency cannabis use/ Abuse/Dependence
MZ N = 1709	0.65 (0.57 – 0.71)	0.72 (0.63 – 0.75)	0.79 (0.66 – 0.82)	0.48 (0.40 – 0.55)	0.37 (0.26 – 0.48)	0.67 (0.65 - 0.75)
DZ N = 1594	0.36 (0.26 – 0.45)	0.48 (0.38 – 0.58)	0.37 (0.26 – 0.48)	0.31 (0.22 – 0.38)	0.22 (0.12 – 0.33)	0.41 (0.29 – 0.52)

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- 1 Table 2: Proportion of variance (95% CI) attributable to additive genetic (A), shared
- 2 environment (C) and unique environment (E) factors in the fully estimated and in the most
- 3 parsimonious model

		Opportunity	Frequency	Dependence	Correlation Opportunity – Frequency	Correlation Opportunity – Dependence	Correlation Frequency – Dependence
Fully estimated ACE model	A	0.57 (0.34 – 0.69)	0.46 (0.22 – 0.70)	0.64 (0.33 – 0.84)	0.35 (0.18 – 0.54)	0.27 (0.08 – 0.46)	0.49 (0.24 – 0.70)
	C	0.07 (0.00 – 0.27)	0.25 (0.04 – 0.45)	0.13 (0.00 – 0.38)	0.13 (-0.03 – 0.27)	0.09 (-0.05 – 0.23)	0.18 (0.02 – 0.38)
	E	0.35 (0.28 – 0.43)	0.28 (0.23 – 0.36)	0.23 (0.13 – 0.36)	-0.02 (-0.08 – 0.05)	0.01 (-0.08 - 0.10)	0.22 (0.14 – 0.31)
Parsimonious AE model	A	0.65 (0.58 – 0.72)	0.74 (0.66 – 0.80)	0.78 (0.65 – 0.88)	0.47 (0.41 – 0.52)	0.37 (0.30 – 0.44)	0.68 (0.59 – 0.75)
	E	0.35 (0.29 – 0.42)	0.26 (0.20 – 0.34)	0.22 (0.12 – 0.35)	-	-	0.21 (0.14 – 0.29)