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Emotion recognition and adverse childhood experiences in individuals at clinical high risk of psychosis

Journal:	<i>Schizophrenia Bulletin</i>
Manuscript ID	SZBLTN-ART-19-0593.R1
Manuscript Type:	Regular Article
Date Submitted by the Author:	28-Nov-2019
Complete List of Authors:	Tognin, Stefania; King's College London, Department of Psychosis Studies, Institute of Psychiatry Catalan, Ana Modinos, Gemma; Institute of Psychiatry, King's College London, Department of Psychosis Studies Kempton, Matthew; King's College London, Bilbao, Amaia Nelson, Barnaby; Orygen, Pantelis, Christos Riecher-Rössler, Anita Bressan, Rodrigo Barrantes-Vidal, Neus; Universitat Autònoma de Barcelona, Psychology Krebs, Marie-Odile; Centre Hospitalier Sainte-Anne, Service Hospitalo Universitaire; INSERM U894 Centre de psychiatrie et neurosciences, Team Pathophysiology of psychiatric disease Nordentoft, Merete; University of Copenhagen, Copenhagen Research Center for Mental Health, CORE, Mental Health Center Copenhagen, Copenhagen University Hospital Ruhrmann, Stephan; University of Cologne, Department of Psychiatry and Psychotherapy Sachs, Gabriele; Medical University of Vienna, Department of Psychiatry and Psychotherapy Rutten, Bart; Maastricht University, School for Mental Health and Neuroscience van Os, Jim; Maastricht University De Haan, Lieuwe; AMC, Psychiatry van Der Gaag, Mark McGuire, Philip; King's College London, Institute of Psychiatry Valmaggia, Lucia; King's College London, Institute of Psychiatry, Dept. of Psychology (PO 77)
Keywords:	vulnerability to psychosis, psychosis risk, childhood adversities, facial affect recognition, emotional processing

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3 ***Emotion recognition and adverse childhood experiences in individuals at clinical high risk of***
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5 ***psychosis***
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9 ***Running Title: Emotion recognition, trauma, and psychosis risk***
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13 **AUTHORS:** Stefania Tognin^{1,2}, PhD; Ana Catalan^{1,3,4}, MD, PhD; Gemma Modinos^{1,5}, PhD;
14
15 Matthew J. Kempton^{1,6}, PhD; Amaia Bilbao⁷, PhD; Barnaby Nelson^{8,9}, PhD, MPsych; Christos
16
17 Pantelis^{10,11}, MB BS, MD, MRCPsych, FRANZCP; Anita Riecher-Rössler¹², MD; Rodrigo
18
19 Bressan¹³, MD, PhD; Neus Barrantes-Vidal¹⁴, PhD; Marie-Odile Krebs^{15,16}, MD, PhD; Merete
20
21 Nordentoft¹⁷, MD, MPH, PhD, DMSs; Stephan Ruhrmann¹⁸, MD, PhD; Gabriele Sachs¹⁹, MD;
22
23 Bart P. F. Rutten²⁰, MD, PhD; Jim van Os^{1,20,21}, MD; Lieuwe de Haan²², MD, PhD; Mark van der
24
25 Gaag^{23,24}, PhD; Philip McGuire^{1,2,6}, MB ChB, MD, PhD, FRCPsych, FMedSci; Lucia R.
26
27 Valmaggia²⁵, PhD; EU-GEI High Risk Study*
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29
30
31

32 **AFFILIATIONS:**
33

34 ¹ Department of Psychosis Studies, Institute of Psychiatry, Psychology & Neuroscience, King's
35
36 College London, UK
37

38
39 ² Outreach and Support in South London (OASIS) service, South London and Maudsley NHS
40
41 Foundation Trust, London, UK
42

43 ³ Department of Psychiatry, Basurto University Hospital, Bilbao, Spain
44

45 ⁴ Department of Neuroscience, University of the Basque Country, Leioa, Spain
46

47 ⁵ Department of Neuroimaging, Institute of Psychiatry, Psychology & Neuroscience, King's
48
49 College London, London, UK
50

51 ⁶ National Institute for Health Research (NIHR) Biomedical Research Centre (BRC), London,
52
53 UK
54

55 ⁷ Research Unit, Basurto University Hospital, Red de Investigación en Servicios de Salud en
56
57 Enfermedades Crónicas (REDISSEC), Bilbao, Vizcaya, Spain
58
59
60

1
2
3 ⁸ Orygen, The National Centre of Excellence in Youth Mental Health, University of Melbourne,
4 Melbourne, Australia

5
6
7 ⁹ Centre for Youth Mental Health, University of Melbourne, Melbourne, Australia

8
9
10 ¹⁰ Center for Neuropsychiatric Schizophrenia Research (CNSR) and Center for Clinical
11 Intervention and Neuropsychiatric Schizophrenia Research (CINS), University of Copenhagen,
12 Mental Health Centre Glostrup, Copenhagen, Denmark

13
14
15
16 ¹¹ University of Copenhagen, Faculty of Health and Medical Sciences, Dept. of Clinical Medicine,
17 Copenhagen, Denmark

18
19
20 ¹² University of Basel, Basel, Switzerland

21
22 ¹³ LiNC - Lab Integrative Neuroscience, Depto Psiquiatria, Escola Paulista de
23 Medicina, Universidade Federal de São Paulo – UNIFESP, São Paulo, Brazil

24
25
26 ¹⁴ Departament de Psicologia Clínica i de la Salut (Universitat Autònoma de Barcelona), Fundació
27 Sanitària Sant Pere Claver, Spanish Mental Health Research Network (CIBERSAM), Madrid,
28 Spain

29
30
31
32 ¹⁵ INSERM, IPNP UMR S1266, Laboratoire de Physiopathologie des Maladies Psychiatriques,
33 Université Paris Descartes, Université de Paris, CNRS, GDR3557-Institut de Psychiatrie ; Paris,
34 France

35
36
37
38 ¹⁶ Faculté de Médecine Paris Descartes, GHU Paris - Sainte-Anne, Service Hospitalo-
39 Universitaire, Paris, France

40
41
42
43 ¹⁷ Mental Health Center Copenhagen and Center for Clinical Intervention and Neuropsychiatric
44 Schizophrenia Research, CINS, Mental Health Center Glostrup, Mental Health Services in the
45 Capital Region of Copenhagen, University of Copenhagen, Copenhagen, Denmark

46
47
48
49 ¹⁸ Department of Psychiatry and Psychotherapy, University of Cologne, Cologne, Germany

50
51
52 ¹⁹ Department of Psychiatry and Psychotherapy, Medical University of Vienna, Vienna, Austria

53
54 ²⁰ Department of Psychiatry and Neuropsychology, Faculty of Health, Medicine and Life
55 Sciences, School for Mental Health and Neuroscience (MHeNS), Maastricht University,
56 Maastricht, The Netherlands
57
58
59
60

1
2
3 ²¹ Department of Psychiatry, UMC Utrecht Brain Center, Utrecht University Medical Centre,
4
5 Utrecht, The Netherlands

6
7 ²² Amsterdam UMC, Early Psychosis Department, Amsterdam, The Netherlands

8
9 ²³ VU University, Faculty of Behavioural and Movement Sciences, Department of Clinical
10
11 Psychology and Amsterdam Public Mental Health research institute, Amsterdam, The
12
13 Netherlands

14
15 ²⁴ Parnassia Psychiatric Institute, Department of Psychosis Research, The Hague, The
16
17 Netherlands

18
19 ²⁵ Department of Psychology, Institute of Psychiatry, Psychology & Neuroscience, King's College
20
21 London, London, UK

22
23
24
25
26 * See appendix for EU-GEI High Risk Study Group Author list

27
28
29
30 **CORRESPONDING AUTHOR:** Stefania Tognin, Institute of Psychiatry, Psychology &
31
32 Neuroscience, King's College London, SE5 8AF London, United Kingdom. Telephone:
33
34 +44(0)2078480415. Email: Stefania.tognin@kcl.ac.uk

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39 **WORD COUNT:** Abstract: 250; Text body: 3999; Tables: 3

Abstract

Objective:

To investigate the association between Facial Affect Recognition (FAR) and type of Adverse Childhood Experiences (ACE) in a sample of Clinical High Risk (CHR) individuals and a matched sample of Healthy Controls (HC).

Methods:

309 CHR individuals and **51** HC were recruited as part of an EU-funded multi-center study (EUGEI) **and included in this work**. During a 2-year follow-up period, 65 CHR participants made transition to psychosis (CHR-T) and 279 did not (CHR-NT). FAR ability was measured using a computerized version of the Degraded Facial Affect Recognition Task (DFAR). ACE were measured using the Childhood Experience of Care and Abuse Questionnaire, the Childhood Trauma Questionnaire and the Bullying Questionnaire. Generalized regression models were used to investigate the relationship between ACE and FAR. **Logistic regressions were used to investigate the relationship between FAR and psychotic transition.**

Results:

In CHR individuals, having experienced emotional abuse was associated with decreased total and neutral DFAR scores. CHR individuals who had experienced bullying performed better in the total DFAR and in the frightened condition. In HC and CHR, having experienced the death of a parent during childhood was associated with lower DFAR total score and lower neutral DFAR score, respectively. Analyses revealed a modest increase of transition risk with increasing mistakes from happy to angry faces.

Conclusions:

Adverse experiences in childhood seem to have a significant impact on emotional processing in adult life. This information could be helpful in a therapeutic setting where both difficulties in social interactions and adverse experiences are often addressed.

Key words: vulnerability to psychosis, psychosis risk, childhood adversities, facial affect recognition, emotional processing

Introduction

Social cognition alterations have received markedly increased attention in recent years due to their possible relationship with increased liability to psychosis¹. Patients with psychosis have difficulties in the interpretation of facial emotional expressions, and as these difficulties are detectable in both remission and in the acute phase of the illness^{2,3,4} and in unaffected first-degree relatives⁵, they may represent a trait rather than a state effect. In an attempt to clarify this, attention has focused on social cognition skills, including facial emotion recognition, both in patients in the early stages of the illness as well as in those at Clinical High Risk of psychosis (CHR). Several studies have reported social cognition impairments in CHR individuals^{1,6-8}, including alterations in facial affect recognition (FAR), which has been proposed as a possible endophenotype related to the genetic risk of development of psychosis^{9,10}.

Prior studies in the CHR population have reported alterations in FAR ability^{7,8,11-13}, however findings are mixed¹⁴⁻¹⁶ and the observed difficulties do not seem to be related to a specific type of emotion. For example, van Rijn et al¹¹ reported difficulties in the recognition of neutral facial expressions and misattribution of neutral faces as angry; Kohler et al¹⁷ reported difficulties in the recognition of angry and fearful expressions, but not of neutral ones **while Amminger et al.⁸ reported deficits in the recognition of fear and sadness.** The severity of the observed FAR difficulties has also varied across different studies. For example, Thompson et al¹⁴ reported no impaired FAR in CHR subjects, while Leppänen et al¹⁸ identified pronounced difficulties similar to those seen in patients with established psychosis. FAR performance has been also studied in relation to clinical outcome. Recent studies^{13,7} examined whether emotion recognition was predictive of transition to psychosis. Contrary to their initial hypotheses, **Allot et al.¹³ found that** total face and prosody emotion recognition performance did not predict transition to psychosis whereas better recognition of fearful and worse recognition of neutral faces were predictive. **Addington et al⁷, investigated face and prosody emotion recognition in a large sample (CHR = 172). This study found no differences in FAR across groups and no relationship with subsequent transition to psychosis.**

The variability of results across studies could in part be explained by the employment of

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3 different tasks^{19,20}. **Tasks, in fact, varied in terms of type of emotions examined, response**
4 **time, format and quality of the stimulus (e.g. degraded vs non-degraded). In addition, with**
5 **the exception of few large studies^{7,8,21}, most of the previous ones have used** relatively small
6 samples which may have limited their statistical power²⁰. Differences in experienced adverse
7 events between samples may also contribute to heterogeneity of findings. A further factor is the
8 heterogeneity of the CHR population: inclusion criteria vary across studies^{22,23} **(including the**
9 **inclusion of low social and occupational functioning as criterion²²**; only a small proportion
10 of CHR individuals will develop psychosis²⁴; at the time of the assessment they might be in
11 different disease stages²⁵; and, even when they do develop psychosis, they might present
12 different symptoms.

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24 Adverse childhood experiences (ACE), which are commonly reported by CHR individuals, are
25 known factors that can affect FAR ability. For example, Da Silva et al¹⁸ reported that FAR was
26 impaired in children with a history of traumatic experiences of abuse and neglect. Other studies
27 showed that even types of abuse considered “less severe”²⁶, such as emotional neglect, can lead
28 to alterations of the neural and attentional systems involved in the processing of facial
29 expressions²⁶. ACE are highly prevalent in individuals with psychosis^{27,28} as well as in the CHR
30 population^{29,30} and have also been associated with increased risk of transition from CHR to
31 psychosis^{31,32}. A recent meta-analysis³³ highlighted that CHR individuals report more frequent
32 and severe ACE than healthy controls. In a recent study, Kraan et al³⁴ reported that a history of
33 emotional abuse in particular was associated with an increased risk of transition to psychosis.

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Independently, childhood adversities have also been associated with alterations in social
cognition, including the processing and recognition of facial emotion expressions^{26,35,36}. Based
on the theory that children adjust their emotional perception through the learning of social
experiences, childhood adversity has been suggested to change sensory thresholds, leading to
less effective regulation, processing, and recognition of emotions³⁷. **This could confer greater**
vulnerability to psychosis as, according to the sociodevelopmental-cognitive model of
psychosis, developmental alterations associated with enhanced genetic vulnerability, early

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3 **brain insults, and adverse childhood experiences might result dysregulation of the**
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5 **dopaminergic system which in turn can lead to symptoms of psychosis³⁸.**
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7 The mechanisms underlying the observed impairments in facial emotional processing in CHR
8 individuals have yet to be clarified. In particular, it remains unclear to what extent the observed
9 FAR impairments could be associated to ACE and if associations between ACE and FAR are
10 different in CHR individuals compared to the general population.
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15 In the present study, we investigated the relationship between adverse childhood experiences
16 and FAR in a large sample of CHR individuals and a matched sample of healthy controls (HC)
17 who were recruited as part of the European Union Gene-Environment Interactions (EU-GEI)
18 Study ³⁹, a multicenter, prospective, naturalistic study. We predicted that **(i)** FAR ability in CHR
19 individuals (CHR-T and CHR-NT) with a positive history of ACE would be worse than in
20 individuals without such a history, and that **(ii)** the impact of ACE on FAR ability will be more
21 pronounced in CHR (CHR-T and CHR-NT) than in HC. **To provide a complete picture, we**
22 **also analysed the direct associations between FAR and psychosis risk and predicted the**
23 **following: (iii) FAR ability would be worse in CHR individuals than in HC, and (iv) worse**
24 **FAR ability would be associated with the risk of subsequent transition to psychosis.**
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39 ***Methods***

40 *Sample*

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42 344 CHR participants and 67 HC were recruited as part of EU-GEI study³⁹ from 11 centers
43 (London, Amsterdam, Den Haag, Vienna, Basel, Cologne, Melbourne, Copenhagen, Paris,
44 Barcelona, Sao Paolo) from July 2010 to August 2015 and were clinically followed up for at
45 least 24 months. The design of the study and the inclusion and exclusion criteria for both CHR
46 and HC have been described elsewhere³². The study received ethical approval at each included
47 site.
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55 *Measures*

56 *Socio-Demographics and Clinical data*

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3 Detailed socio-demographic characteristics were assessed using the modified Medical Research
4 Council socio-demographic Schedule^{39,40}. The Comprehensive Assessment of At Risk Mental
5 State (CAARMS)²² was used to measure subclinical psychotic-like symptoms and to determine
6 transition to psychosis. The Structured Clinical Interview for DSM Disorders (SCID)⁴¹ was used
7 to establish the presence of other psychiatric disorders and to exclude the presence of current
8 psychotic disorders.
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15 16 17 *Facial Affect Recognition Task*

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19 A computerized version of the Degraded Facial Affect Recognition Task (DFAR)^{19,42-44} was
20 used to measure FAR ability. **The task, which has been described in previous works^{19,42-44},**
21 shows images of 4 different actors (2 males and 2 females) representing four emotions: angry,
22 happy, fearful, and neutral. The task involves 64 trials consisting of 16 presentations on each of
23 these emotion categories. Participants were asked to indicate the emotional expression of each
24 image by a button press. To increase the task difficulty, images were passed through a filter
25 resulting in a reduced visual resolution by 30%. Higher scores on the DFAR are indicative of a
26 better ability to recognize facial expressions in that particular emotion. Results show the
27 proportion of images correctly recognized as neutral, happy, fearful, and angry and the overall
28 proportion of correct answers. In addition, the direction of the misattribution for each emotion
29 was also computed (e.g. when a participant incorrectly attributes neutral to angry expressions).
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43 44 *Adverse Childhood Experiences Measures*

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46 The short version of the Childhood Experience of Care and Abuse questionnaire (CECA-Q)⁴⁵
47 and the brief version of Child Trauma Questionnaire (CTQ-B)⁴⁶ were used to measure the adverse
48 childhood experiences up to the age of 17. The CECA-Q assesses traumatic experiences such as
49 the death of a parent, separation from parents (including being in foster care), parental
50 discordance, lack of adult support, poverty, cruelty, and violence. **These different measures of**
51 **ACE were categorized as present or absent.**
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3 The CTQ is a 25-item self-report questionnaire that assesses five domains: emotional abuse,
4 emotional neglect, sexual abuse, physical abuse, and physical neglect. Each item uses a 5-point
5 scale to identify the frequency or severity of the experience (from 1 - never to 5 - almost
6 always). **Validated cut-off scores were used to compute the presence or absence of specific**
7 **traumatic experiences⁴⁷. The CTQ subscales were dichotomized as present or absent using**
8 **the following cut-off scores: physical abuse ≥ 8 , sexual abuse ≥ 6 , emotional abuse ≥ 9 ,**
9 **physical neglect ≥ 8 and emotional neglect ≥ 10 . The different types of trauma were**
10 **considered as “present” when scores were above the cut-off.** The Bullying Questionnaire⁴⁸
11 was used to measure the severity and frequency of bullying before the age of 17.
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24 *Statistical analyses*

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26 Analyses were performed using SPSS version 25⁴⁹. Socio-demographic data was analyzed using
27 means and standard deviations for continuous data and frequencies for categorical data.
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30 Analysis of the variance (ANOVAs) with Bonferroni correction for multiple comparisons were
31 used to examine group differences in continuous variables, **including the** overall differences in
32 the DFAR task. Chi-squares were used to assess differences in adverse experiences variables
33 across groups. Two binary logistic regression models (the first including DFAR total and DFAR
34 scores for each emotion; the second including all misattributions) were used to analyze the
35 relationship between baseline FAR ability and transition to psychosis **in the CHR group**. Age,
36 gender, IQ, ethnicity and recruitment site were entered as covariates. In order to investigate the
37 relationship between FAR and ACE, firstly univariate analyses were performed considering
38 FAR ability as a dependent variable and each ACE variable as independent variables. Secondly,
39 **for each group (HC, CHR, CHR-T, CHR-NT)** five generalized linear models (i.e. total
40 DFAR, neutral, happy, frightened and angry conditions) were performed entering as
41 independent variables those ACE **variables (a complete list can be found in sTable 3)** with a
42 statistical significance of $p < .15$ in the univariate analyses⁵⁰ or those which have been found as
43 significantly related to FAR ability in the literature (i.e. physical and emotional abuse/neglect
44 and sexual abuse⁵¹). In each analysis, age, gender, IQ, ethnicity and recruitment site were
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3 entered as covariates. To analyze the relationship between DFAR performance and ACE,
4 clinical and socio-demographic variables a gamma with log link linear distribution was assumed
5 and **Bonferroni correction was applied to the p-values of the marginal means derived from**
6 **each tested model.** When we analyzed the effect of emotional abuse, all the other types of
7 abuse were entered as covariates.
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15 **Results**

16 *Socio-Demographics and Clinical data*

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18 411 individuals were assessed at baseline (CHR= 344; HC= 67). 16 HC and 35 CHR
19 participants were excluded, as they did not complete the DFAR task (see **sTable 1 and sTable**
20 **2** for a comparison between included/excluded samples). The final sample comprised 309 CHR
21 and 51 HC. At 24-month follow-up, 58 (18.8%) CHR individuals had made a transition to
22 psychosis (CHR-T) while 251 had not (CHR-NT). Baseline socio-demographics are detailed in
23 **Table 1. There were no significant differences across groups except for IQ and**
24 **employment status, which were significantly higher in HC compared to CHR-NT and**
25 **CHR-T.**
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39 *Facial emotion recognition and adverse childhood experiences*

40 Below we report results for the CHR group (overall group and CHR-T and CHR-NT separately)
41 and the HC group.
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47 CHR group

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49 The experience of emotional abuse in childhood was significantly associated with lower score in
50 the DFAR total ($\beta = -.05$, $SE = .03$, $p = .04$) and in the neutral condition ($\beta = -.07$, $SE = .03$, $p = .03$)
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52 The experience of the death of a parent was significantly associated to worse neutral emotion
53 recognition ($\beta = -.12$, $SE = .05$, $p = .01$). Lack of adult support was significantly associated to
54 worse angry emotion recognition ($\beta = -.09$, $SE = .04$, $p = .02$). The frequency of bullying was
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3 significantly associated to better recognition of frightened faces ($\beta=.1$, $SE=.45$, $p=.02$) and
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5 higher total DFAR score ($\beta=.05$, $SE=.02$, $p=.01$), (**Table 3a**).

6 7 8 9 HC group

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11 In the HC group, having experienced the death of a parent during childhood was significantly
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13 associated with lower DFAR total score ($\beta= -.18$, $SE=.08$, $p=.02$). No other significant
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15 associations were found in this group (**Table 3a**).

16 17 18 19 20 CHR-T and CHR-NT groups

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22 In the CHR-NT group, having been taken into care and having experienced lack of social
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24 support in childhood were significantly associated with lower total DFAR ($\beta= -.09$, $SE=.04$, $p=$
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26 $.03$; $\beta= -.04$, $SE=.02$, $p=.03$) and with worse recognition of angry faces ($\beta= -.17$, $SE=.08$, $p=$
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28 $.04$; $\beta= -.1$, $SE=.04$, $p=.01$). Having experienced the death of a parent in childhood was
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30 significantly associated with worse neutral emotion recognition ($\beta= -.11$, $SE=.06$, $p=.04$) and
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32 worse happy emotion recognition ($\beta= -.06$, $SE=.03$, $p=.05$). CHR-NT individuals who
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34 experienced more frequent bullying performed better in the recognition of frightened facial
35
36 expressions than those who did not ($\beta=.11$, $SE=.05$, $p=.02$), (**Table 3b**). In the CHR-T group,
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38 emotional abuse was significantly associated with worse DFAR total score ($\beta= -.37$, $SE=.13$,
39
40 $p=.004$) and worse recognition of neutral faces ($\beta= -.27$, $SE=.1$, $p=.006$). In both CHR-T and
41
42 CHR-NT groups, those individuals who experienced more frequent bullying obtained higher
43
44 DFAR total score ($\beta=.3$, $SE=.12$, $p=.02$; $\beta=.05$, $SE=.02$, $p=.004$) than those who did not. No
45
46 other significant associations were found in the CHR-T group (**Table 3b**).

47 48 49 50 51 *Facial Affect Recognition*

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53 **There were no significant differences between groups (i.e. HC; CHR-T and CHR-NT) in**
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55 **the number of mistakes in the DFAR tasks (Table 2). There are some significant**
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57 **differences in the misattributions (sTable 4). CHR-T participants misattribute angry to**
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59 **happy faces significantly more than CHR-NT ($F(2, 359)= 4.03$, $p=.02$). CHR-T**
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3 **participants misattribute happy to frightened faces significantly more than HC**
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5 **participants ($F(2, 359) = 3.28, p = .04$)**
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8 9 *Adverse Childhood Experiences*

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11 Descriptive data on adverse childhood experiences are detailed in sTable 3 and have been
12
13 already reported and discussed by Kraan et al ³². A short summary for each instrument
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15 used is provided below.
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18 19 20 **CECA-Q**

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22 There were significant differences in presence of parental discordance (HC < CHR-NT,
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24 $\chi^2 = 5.86, p = .015$), lack of adult support (HC < CHR-NT, $\chi^2 = 12.11, p = .001$; HC < CHR-T,
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26 $\chi^2 = 15.31, p < .001$); frequency of episodes of cruelty before age 11 (HC < CHR-NT, $\chi^2 =$
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28 $8.81, p = .003$; HC < CHR-T, $\chi^2 = 7.83, p = .005$) and between age 12-16 (HC < CHR-NT, $\chi^2 =$
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30 $10.53, p = .001$); and the frequency of episodes of violence before age 11 (HC < CHR-NT,
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32 $\chi^2 = 12.33, p = .002$), (sTable 3).
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35 36 37 **CTQ**

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39 There were significant differences in sexual abuse (HC < CHR-NT, $\chi^2 = 9.84, p = .002$; HC <
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41 CHR-T, $\chi^2 = 6, p = .014$), physical neglect (HC < CHR – T, $\chi^2 = 17.55, p < .0001$; HC < CHR-
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43 T, $\chi^2 = 8.07, p = .005$), emotional abuse (HC < CHR-NT, $\chi^2 = 27.62, p < .0001$; HC < CHR-T,
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45 $\chi^2 = 19.25, p < .0001$) and emotional neglect (HC < CHR-NT, $\chi^2 = 39.29, p < .0001$; HC <
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47 CHR-T, $\chi^2 = 20.29, p < .0001$), (sTable 3).
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50 51 **Bullying**

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53 Bullying experiences were more severe in the CHR-NT group than in the HC group ($\chi^2 =$
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55 $9.86, p = .002$), (sTable 3).
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60 *Facial emotion recognition, adverse childhood experiences and transition to psychosis*

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3 **Logistic regression analyses performed on the CHR sample only revealed a significant**
4 **increase of transition risk with increasing number of misattributions of happy to angry**
5 **faces ($\beta= 0.1$, $SE=0.03$; $p=0.006$). No other significant associations between transition to**
6 **psychosis and FAR ability were found.**
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11 12 13 *Discussion*

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15 To our knowledge, this is the largest study to date that has examined the relationship between
16 FAR ability and ACE in CHR individuals. We found that emotional abuse **and** lack of adult
17 social support in childhood were significantly associated with poor FAR ability in CHR
18 participants. The experience of the death of a parent in childhood was significantly associated
19 with poor FAR in both CHR and HC. In addition, the number of happy to angry misattributions
20 was related to the incidence of later transition to psychosis.
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30 Our **first** and **second** hypotheses were partially confirmed. Several associations between FAR
31 ability and ACE were found. These were statistically significant in the CHR group but not in
32 HC. This might suggest the possible presence of resilience mechanisms in HC or a possible
33 interaction between adverse childhood experiences and other factors, such as genetic
34 vulnerability⁵², in CHR individuals leading to compromised FAR ability. **The latter would**
35 **further support the integrated sociodevelopmental-cognitive model**³⁸. However, the HC
36 group was smaller than the CHR one and we cannot exclude that other associations would have
37 been evident in the HC group if the sample had been as large as the CHR one. Yet, having
38 experienced the death of a parent during childhood was associated with poor FAR ability in
39 both the clinical and non-clinical groups. Early bereavement is considered as one of the most
40 severe life events⁵³ and has been associated with several adverse outcomes, including a higher
41 risk of developing mental and physical illness⁵⁴⁻⁵⁷. Interestingly, Fernández-Alcántara et al⁵⁸ did
42 not find a significant association between the experience of the death of a parent and emotion
43 recognition; however participants included in that study experienced parental loss after age 18.
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60 The fact that we did find a significant association suggests that age of parental loss may be a

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3 key variable influencing social cognitive processes. If parental loss happens during the early
4 developmental period, before the age of 17, it may have a significant impact on the ability to
5 recognize emotions in others. To confirm this result, future studies should investigate this
6 association looking at different age spans.
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11 Other forms of adverse childhood experiences were associated with poorer performance on the
12 FAR task in the CHR group. Thus, in the CHR group alone, emotional abuse was associated to
13 a worse global FAR ability. Both emotional abuse and having experienced the death of a parent
14 were associated with worse recognition of neutral faces. Lack of adult social support was
15 associated with worse recognition of angry faces. Generalized difficulties in FAR have been
16 widely reported in children with trauma antecedents⁵⁹ and these difficulties seem to remain
17 stable in adulthood^{43,60}. This is in line with other studies which have reported that living in a
18 neglected environment has an impact on the accurate recognition of others' emotions^{37,61,62}.

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20 When considering the CHR-NT and CHR-T groups separately, the larger CHR-NT group seems
21 to be driving the significant results seen in the CHR group as a whole. Interestingly, in the
22 CHR-T group but not in the CHR-NT nor in the HC one, emotional abuse was associated with
23 worse total and neutral emotion recognition. This strengthens our previous findings³² and
24 confirms that emotional abuse seems to be an important risk factor for the sub-group who go on
25 to develop psychosis. Except for bullying, associations between ACE and FAR ability that are
26 statistically significant in the CHR-NT group are not significant in the CHR-T group. There are
27 at least two possible explanations for these negative findings. Firstly, it is possible that these
28 ACE are actually not related to the development of psychosis. Secondly, the CHR-T group is
29 relatively smaller compared to the CHR-NT group hence the lack of significant results might be
30 due to lack of statistical power. **Post-hoc power analyses performed to test this hypothesis
31 confirmed low statistical power (19-38%) in these categories (sTable 5).**

32
33 The frequency of bullying in the CHR group was associated with an overall better DFAR total
34 score (in both CHR-NT and CHR-T) and better recognition of frightened expressions (CHR-
35 NT). **Previous studies investigating ACE, highlighted how bullying, compared to other
36 ACE, seems to have a distinct association both with psychosis⁶³ and with FAR⁶⁴.** Bullying
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3 usually happens in a school environment and therefore in middle and late childhood⁶⁵. **On a**
4 **speculative level**, the fact that this usually happens later in life might have resulted in a milder
5 impact on the FAR skills that develop early in life as a result of the child relationship with the
6 main caregivers⁶⁶. The significant relationship between bullying and increased ability to
7 recognize frightened faces might be interpreted as the result of increased interpersonal
8 sensitivity associated with the bullying **experience**⁶⁷ or as a protective mechanism.

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18 Contrary to our **third** hypothesis, FAR ability was not significantly different in CHR
19 individuals and HC. This was true for both overall accuracy and for each individual emotion.
20 Although previous studies have reported differences in the ability to recognize facial emotions
21 between CHR and HC ^{6,8,68}, others found no differences between CHR and HC^{14,16,69}, or
22 between CHR-T and CHR-NT⁷. These inconsistent findings could, at least in part, be attributed
23 to the use of different tasks to measure the FAR ability. **For example, other large studies**
24 **investigating FAR in the CHR population (e.g. Addington et al.²¹ and Amminger et al.⁸)**
25 **did not use a degraded-face task. In these studies, to increase task difficulty, response time**
26 **was limited. In the present study, while there was no time limit and participants were**
27 **instructed to be as accurate as possible, the stimulus was degraded. Although both type of**
28 **studies manipulated the paradigm to increase task difficulty, one by manipulating time**
29 **and the other one by manipulating the quality of the stimulus, the fact that this was done**
30 **in different ways could have had an impact on the observed results. Inconsistencies could**
31 **be also due to** lack of adjustment for confounding variables (such as IQ⁶⁸), or the use of small
32 samples.

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51 Our **fourth** hypothesis was **also only** partially confirmed. Although no significant differences
52 were found in the **accuracy** rate between groups, the number of errors from happy to angry
53 were associated with subsequent transition to psychosis. This suggests that individuals who go
54 on to develop psychosis are more likely to interpret happy degraded faces as angry. While this
55 finding warrants replication in another CHR study, it would be in line with data from studies

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3 which have used other methods, such as virtual reality or imaging, to study mechanisms
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5 underlying paranoid ideation⁷⁰ and psychosis risk⁶⁹, and with the notion that psychosis involves
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7 the attribution of salience to non-salient stimuli⁷¹.
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10 11 *Strengths and limitations*

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13 This study has several strengths. Firstly, this is the first study investigating the relationship
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15 between FAR and adverse childhood experiences in CHR individuals, and sample size was
16
17 large. Secondly, the fact that the sample was recruited in 11 different centers in and outside
18
19 Europe also suggests that results are likely to be generalizable. Finally, the emotion recognition
20
21 task used has **been previously used in a number of studies investigating emotion**
22
23 **recognition in psychiatric and non-psychiatric populations^{19,42-44}** and the data analysis
24
25 minimised the potential confounding effects of to age, sex, IQ, and ethnicity.
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29 This study has a number of limitations. Firstly, the size of the HC group did not match that of
30
31 the CHR sample, which may have reduced our power to detect statistically significant
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33 associations within the HC group. Secondly, ACE were assessed retrospectively, hence the
34
35 recall might have been subjected to bias⁷². **Thirdly**, as adverse childhood experiences might
36
37 lead to other changes, for example in stress-induced hormones and neurotransmitters⁷³, these in
38
39 turn might have conferred greater likelihood of social cognition/emotion recognition difficulties.
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41 These possible associations remain to be tested in future studies. **Fouthly, we could not**
42
43 **establish causality between ACE and FAR ability. It is indeed possible that, for some, but**
44
45 **not all ACE (e.g. loss of a parent), impaired FAR could preceed and be related to ACE⁷⁴.**
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47 **Fifty, our results, especially the emotion-specific associations, could be specific to our**
48
49 **sample and the type of ACE experinced by our partecipants. Finally, study samples with**
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51 **and without DFAR information were significantly different in terms of age, ethnicity,**
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53 **group status and IQ (Table s1). This could have had an impact on the results.**
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3 *Future studies*
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5 **To further clarify the underlying mechanisms, future studies should investigate the**
6 **relationship and possible mediating effect of other variables, such as genetic information.**
7 **This might help understanding some of the inconsistencies found in studies investigating**
8 **FAR. Genetic information has been collected and will be analysed as part of the EUGEI**
9 **study.**
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18 *Conclusions*
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20 Adverse childhood experiences are associated with emotional processing in adult life,
21 particularly in individuals at CHR of psychosis. These findings could inform the delivery of
22 therapeutic interventions aimed at the social cognition sequelae of early adversity.
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3 **Acknowledgments:** We would like to thank all participants who took part in the study.
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6

7 **Conflict of Interest:** G. Sachs is president of the Austrian Society of
8
9 Neuropsychopharmacology and Biological Psychiatry, which is partially financed by the
10
11 support from pharmaceutical companies. G. Sachs received consulting fees and/or honoraria for
12
13 speeches within the last three years from Angelini, AOP Orphan, Alkermes, Janssen, Lundbeck,
14
15 Pfizer.
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20 **Funding/Support:** The European Network of National Schizophrenia Networks Studying
21
22 Gene-Environment Interactions (EU-GEI) Project is funded by grant agreement HEALTH-F2-
23
24 2010-241909 (Project EU-GEI) from the European Community's Seventh Framework
25
26 Programme. Additional support was provided by a Medical Research Council Fellowship to M
27
28 Kempton (grant MR/J008915/1). S Tognin is supported by by a Brain and Behavior Young
29
30 Investigator award (NARSAD YI, 24786) and by a Maudsley Charity Grant (1510). G Modinos
31
32 is supported by a Sir Henry Dale Fellowship, jointly funded by the Wellcome Trust and the
33
34 Royal Society (#202397/Z/16/Z). B Nelson was supported by an NHMRC Senior Research
35
36 Fellowship (1137687). N Barrantes-Vidal was supported by the Ministerio de Ciencia,
37
38 Innovación e Universidades (PSI2017-87512-C2-1-R) and the Generalitat de Catalunya
39
40 (2017SGR1612 and ICREA Academia Award).
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Table 1. Socio-demographic and clinical characteristics

	HC (N=51)	CHR-NT (N=251)	CHR -T (N=58)	
Mean age in years (SD)	23.37 (3.98)	22.63 (4.89)	22.67 (4.86)	F(2,357)= .53, p=.59
Gender male, N (%)	27 (53%)	131 (52%)	33 (57%)	$\chi^2= .42$, p=.81
Mean IQ (SD)	110.44 (17.84) ^{a,b}	98.87 (17.5)	96.96 (14.48)	F(2,335)= 10.64, p<.0001
Ethnicity				
White, N (%)	33 (65%)	187 (75%)	39 (67%)	$\chi^2= 14.26$, p=.16
Ever employed, N (%)	48 (94%) ^{a,b}	190 (79%)	38 (70%)	$\chi^2= 9.59$, p=.008
CHR inclusion group, N (%)	Genetic	37 (17%)	12 (22%)	$\chi^2=.91$, p=.43
	Vulnerability			
	APS	200 (87%)	57 (91%)	$\chi^2=.78$, p=.5
	BLIPS	17 (8%)	5 (9%)	$\chi^2=.12$, p=.45

APS: attenuated psychotic symptoms; BLIPS: brief limited intermittent psychotic symptoms; CHR-NT: clinical high risk non transition; CHR-T: clinical high risk transition; HC: healthy controls.

^a statistically significant differences between HC and CHR-NT, after Bonferroni correction for multiple comparisons

^b statistically significant differences between HC and CHR-T, after Bonferroni correction for multiple comparisons

Table 2. DFAR task results across groups

DFAR task % of correct answers Mean (SD)	HC	CHR-NT	CHR-T	F (df), p
Total	75.7 (9.43)	76.52 (10.01)	73.76 (15.54)	F(2, 359)= 1.49, p=.23
Neutral	82.47 (15.31)	80.73 (16.04)	78.45 (16.89)	F(2, 359)= .87, p=.42
Happy	90.56 (9.47)	90.96 (11.52)	88.25 (19.2)	F(2, 359)= 1.05, p= .35
Frightened	60.54 (19.18)	61.01 (18.65)	60.56 (19.67)	F(2, 359)= .02, p=.98
Angry	69.24 (21.21)	73.38 (19.63)	67.78 (24.61)	F(2, 359)= 2.21, p=.11

HC: healthy controls; CHR-NT: clinical high risk non transition; CHR-T: clinical high-risk transition

Table 3. Relationship between adverse childhood experience variables and the DFAR task (adjusted results).

A

	CHR					HC				
	β	SE	p [†]	Exp(β)	95%CI	β	SE	p [†]	Exp(β)	95%CI
DFAR total										
Bullied frequency, p*=.02	.05	.02	.01	1.05	[1.01, 1.1]	.05	.03	.16	1.05	[.98, 1.12]
Death of a parent, p*=.067	-.07	.04	.08	.93	[.87,1.01]	-.18	.08	.02	.83	[.71, .97]
Taken into care, p*=0.015	-.06	.50	.21	.94	[.85,1.03]	.13	.13	.29	1.14	[.89, 1.47]
Lack of adult social support, *p=.015	-.03	.02	.15	.97	[.92, 1.01]	-.05	.06	.37	.95	[.85, 1.06]
Emotional abuse***, p*=.048	-.05	.03	.04	.95	[.9, .99]	-.07	.05	.16	.93	[.83, 1.03]
Neutral										
Death of a parent, p*=.015	-.12	.05	.01	.88	[.81, .98]	-.15	.14	.28	.86	[.66, 1.14]
Emotional abuse***, p*=0.1	-.07	.03	.03	.93	[.87, .99]	-.03	.08	.75	.97	[.83, 1.15]
Happy										
Bullied severely, p=.08	.04	.02	.11	1.04	[.98, 1.09]	.02	.03	.5	1.02	[.95, 1.1]
Death of a parent, p*=.057	-.08	.04	.06	.92	[.85, 1.01]	.14	.08	.09	1.15	[.98, 1.35]
Frightened										
Bullied frequently, p*=.029	.1	.45	.02	1.1	[1.02, 1.22]	.02	.09	.79	1.02	[.81, 1.1]
Angry										
Taken into care, p*=.033	-.12	.09	.18	.88	[0.73, 1.06]	-.27	.27	.33	.76	[.45, 1.31]
Lack of adult social support, p*=.001	-.09	.04	.02	.91	[.85, .99]	-.08	.13	.56	.92	[.71, 1.2]

B

DFAR total	CHR-NT					CHR-T				
	β	SE	p ⁺	Exp(B)	95%CI	β	SE	p ⁺	Exp(B)	95%CI
Bullied frequency, p*=.02	.05	.02	.004	1.05	[1.02, 1.09]	.3	.12	.02	1.35	[1.05, 1.72,]
Death of a parent, p*=.067	-.06	.03	.1	.94	[.88, 1.01]	-.08	.14	.59	.92	[.7, 1.22]
Taken into care, p*=0.015	-.09	.04	.03	.91	[.85, .99]	.4	.34	.25	1.49	[.76, 2.94]
Lack of adult social support, *p=.015	-.04	.02	.03	.96	[.92, .99]	.15	.13	.24	1.16	[.91, 1.49]
Emotional abuse***, p*=.048	-.01	.02	.45	.99	[.94, 1.03]	-.37	.13	.004	.69	[.53, .89]
Neutral										
Death of a parent, p*=.015	-.11	.06	.04	.89	[.8, .99]	-.07	.1	.48	.93	[.76, 1.14]
Emotional abuse***, p*=0.1	-.04	.04	.24	.96	[.89, 1.03]	-.27	.1	.006	.76	[.62, .92]
Happy										
Bullied severely, p=.08	.02	.02	.11	1.02	[.99, 1.06]	.13	.14	.36	1.14	[.86, 1.49]
Death of a parent, p*=.057	-.06	.03	.05	.94	[.88, 1]	-.1	.2	.61	.9	[.61, 1.33]
Frightened										
Bullied frequently, p*=.029	.11	.05	.02	1.12	[1.1, 1.22]	.27	.17	.1	1.3	[.95, 1.82]
Angry										
Taken into care, p*=.033	-.17	.08	.04	.84	[.71, .99]	.56	.46	.22	1.75	[.71, 4.35]
Lack of adult social support, p*=.001	-.10	.04	.01	.9	[.84, .98]	.14	.14	.30	1.15	[.88, 1.51]

*p value in univariate analyses

+p values after adjusting for gender, age, ethnicity, recruitment site, and IQ

HC: healthy controls; CHR-NT: clinical high risk non transition; CHR-T: clinical high-risk transition; CI: confidence interval; SE: standard error

B, standard error, expected B and p values are reported for all variables entered in the models. Interpretation of the exp(β): e.g. in the CHR group who experienced bullying, the exp(β) for the DFAR total is 1.05. This means that the DFAR total of the group who experienced bullying is 1.05 times higher than the one who did not experience bullying. In other words, there is a 5% increase in accuracy on the DFAR total in the group who did experience bullying.

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3 **APPENDIX**
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5 *** EU-GEI High Risk Study Group Author**
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7

8
9 Philip McGuire ¹
10

11 Lucia R. Valmaggia ²
12

13 Mathilde Antoniades¹
14

15 Maria Calem¹
16

17 Matilda Azis¹
18

19 Sara Pisani¹
20
21
22

23
24 Lieuwe de Haan ³
25

26 Mark van der Gaag ^{4,5}
27

28 Eva Velthorst ^{3,6}
29

30 Tamar C. Kraan ³
31

32 Daniella S. van Dam ³
33

34 Nadine Burger ⁵
35
36
37

38
39 Barnaby Nelson ⁷
40

41 Patrick McGorry⁷
42

43 G Paul Amminger⁷
44

45 Christos Pantelis ^{8,9}
46

47 Athena Politis ⁷
48

49 Joanne Goodall ⁷
50
51

52
53 Anita Riecher-Rössler ¹⁰
54

55 Stefan Borgwardt¹⁰
56

57 Charlotte Rapp¹⁰
58

59 Sarah Ittig¹⁰
60

1
2
3 Erich Studerus¹⁰
4

5 Renata Smieskova¹⁰
6
7

8
9 Rodrigo Bressan ¹¹
10

11 Ary Gadelha ¹¹
12

13 Elisa Brietzke ¹²
14

15 Gracielle Asevedo ¹¹
16

17 Elson Asevedo¹¹
18

19 Andre Zugman¹¹
20
21

22
23
24 Neus Barrantes-Vidal ¹³
25

26 Tecelli Domínguez-Martínez ¹⁴
27

28 Anna Racioppi¹⁵
29

30 Manel Monsonet¹⁵
31

32 Lídia Hinojosa¹⁵
33

34 Thomas R. Kwapil ¹⁶
35
36

37
38
39 Mathilde Kazes^{17,18}
40

41 Claire Daban ^{17,18}
42

43 Julie Bourgin^{17,18}
44

45 Olivier Gay^{17,18}
46

47 Célia Jantac^{17,18}
48

49 Marie-Odile Krebs^{17,18}
50
51

52
53 Dorte Nordholm, ¹⁹
54

55 Lasse Randers, ¹⁹
56

57 Kristine Krakauer ¹⁹
58

59 Louise Birkedal Glenthøj ¹⁹
60

1
2
3 Birte Glenthøj ¹⁹
4

5 Merete Nordentoft ¹⁹
6
7

8
9 Stephan Ruhrmann ²⁰
10

11 Dominika Gebhard ²⁰
12

13 Julia Arnhold ²¹
14

15 Joachim Klosterkötter ²⁰
16
17

18
19 Gabriele Sachs ²²
20

21 Iris Lasser ²²
22

23 Bernadette Winklbaur ²²
24
25
26

27
28 Philippe A Delespaul ^{23,24}
29

30 Bart P. Rutten ²³
31

32 Jim van Os ^{1,25}
33
34
35
36
37

38 **AFFILIATIONS**

39
40
41
42

- 43 1. Department of Psychosis Studies, Institute of Psychiatry, Psychology & Neuroscience,
44 King's College London, De Crespigny Park, Denmark Hill, London, United Kingdom
45 SE5 8AF.
46
47
- 48 2. Department of Psychology, Institute of Psychiatry, Psychology & Neuroscience, King's
49 College London, De Crespigny Park, Denmark Hill, 456 London, United Kingdom SE5
50 8AF.
51
52
- 53 3. AMC, Academic Psychiatric Centre, Department Early Psychosis, Meibergdreef 5, 1105
54 AZ Amsterdam, The Netherlands.
55
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 - 60
4. VU University, Faculty of Behavioural and Movement Sciences, Department of Clinical Psychology and EMGO+ Institute for Health and Care Research, van der Boechorststraat 1, 1081 BT Amsterdam, The Netherlands.
 5. Parnassia Psychiatric Institute, Department of Psychosis Research, Zoutkeetsingel 40, 2512 HN The Hague, The Netherlands.
 6. Icahn School of Medicine at Mount Sinai, department of Psychiatry, 1425 Madison Ave, New York, NY 10029.
 7. Centre for Youth Mental Health, University of Melbourne, 35 Poplar Road (Locked Bag 10), Parkville, Victoria 485 3052, Australia; Orygen, The National Centre of Excellence in Youth Mental Health, The University of Melbourne, Australia.
 8. Center for Neuropsychiatric Schizophrenia Research (CNSR) and Center for Clinical Intervention and Neuropsychiatric Schizophrenia Research (CINS), University of Copenhagen, Mental Health Centre Glostrup, Denmark
 9. University of Copenhagen, Faculty of Health and Medical Sciences, Dept. of Clinical Medicine, Copenhagen, Denmark
 10. University of Basel, Basel, Switzerland.
 11. LiNC - Lab Interdisciplinar Neurociências Clínicas, Depto Psiquiatria, Escola Paulista de Medicina, Universidade Federal de São Paulo – UNIFESP.
 12. Depto Psiquiatria, Escola Paulista de Medicina, Universidade Federal de São Paulo – UNIFESP.
 13. Departament de Psicologia Clínica i de la Salut (Universitat Autònoma de Barcelona), Fundació Sanitària Sant Pere Claver (Spain), Spanish Mental Health Research Network (CIBERSAM).
 14. CONACYT-Dirección de Investigaciones Epidemiológicas y Psicosociales, Instituto Nacional de Psiquiatría Ramón de la Fuente Muñiz (México).
 15. Departament de Psicologia Clínica i de la Salut (Universitat Autònoma de Barcelona).
 16. Department of Psychology, University of Illinois at Urbana-Champaign (USA).

- 1
2
3 17. INSERM, IPNP UMR S1266, Laboratoire de Physiopathologie des Maladies
4 Psychiatriques, Université Paris Descartes, Université de Paris, CNRS, GDR3557-
5 Institut de Psychiatrie Paris, France.
6
7
- 8
9 18. Faculté de Médecine Paris Descartes, GHU Paris - Sainte-Anne, Service Hospitalo-
10 Universitaire, Paris, France.
11
12
- 13 19. Mental Health Center Copenhagen and Center for Clinical Intervention and
14 Neuropsychiatric Schizophrenia Research, CINS, Mental Health Center Glostrup, Mental
15 Health Services in the Capital Region of Copenhagen, University of Copenhagen.
16
17
- 18 20. Department of Psychiatry and Psychotherapy, University of Cologne, Cologne, Germany.
19
20
- 21 21. Psyberlin, Berlin, Germany.
22
23
- 24 22. Department of Psychiatry and Psychotherapy, Medical University of Vienna, Vienna,
25 Austria.
26
27
- 28 23. Department of Psychiatry and Neuropsychology, School for Mental Health and
29 Neuroscience, South Limburg 463 Mental Health Research and Teaching Network,
30 Maastricht University Medical Centre, P.O. Box 616, 6200 MD 464 Maastricht, The
31 Netherlands
32
33
- 34 24. Mondriaan Mental health Trust, P.O. Box 4436 CX Heerlen, The Netherlands
35
36
- 37 25. Department of Psychiatry, UMC Utrecht Brain Center, Utrecht University Medical
38 Centre, 3584 CG Utrecht, The Netherlands
39
40
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3 **Supplementary online content**
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6 **Title:** *Emotion recognition and adverse childhood experiences in individuals at clinical high*
7 *risk of psychosis*
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13 **Authors:** Tognin S, Catalan A, Modinos G, et al.
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16 sTable 1. Socio-demographic characteristics of the samples with/without DFAR data
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18 sTable 2. Adverse childhood experiences in the samples with/without DFAR data
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sTable 1. Socio-demographic characteristics of the samples with/without DFAR data

		DFAR missing N=51	DFAR N=360	
Status (N, %)	HC	16 (31%)	51 (14%)	$\chi^2= 9.72, p=.008$
	CHR-NT	28 (55%)	251 (70%)	
	CHR-T	7 (14%)	58 (16%)	
Gender (N, %)	Male	29 (56%)	191 (53%)	$\chi^2= .13, p=.71$
	Female	23 (44%)	169 (47%)	
Ethnicity (N, %)	White	29 (56%)	259 (72%)	$\chi^2= 17.95, p=.003$
Ever employed (N, %)	Yes	37 (71%)	276 (77%)	$\chi^2= .19, p=.67$
Age in years (mean, SD)		20.56 (4.49)	22.74 (4.76)	$t=-3.11, p=.002$
IQ (mean, SD)		106.95 (20.76)	100.27 (17.59)	$t=2.17, p=.030$

sTable 2. Adverse childhood experiences in the samples with/without DFAR data

N (%)	DFAR missing N=51	DFAR N=360	χ^2 value, p value
Bullied frequency	22 (46%)	169 (50%)	$\chi^2 = .29, p = .59$
Bullied severity	21 (45%)	122 (38%)	$\chi^2 = .77, p = .42$
CECA Death of a parent	3 (7%)	25 (7%)	$\chi^2 = .007, p = .93$
CECA Taken into care	2 (4%)	16 (5%)	$\chi^2 = .02, p = .89$
CECA Parental discordance	26 (54%)	188 (56%)	$\chi^2 = .04, p = .83$
CECA Lack of adult social support	18 (38%)	139 (41%)	$\chi^2 = .15, p = .7$
CECA Poverty ever	17 (35%)	110 (33%)	$\chi^2 = .13, p = .72$
CECA Cruelty 0-11 year	16 (33%)	79 (23%)	$\chi^2 = 2.28, p = .13$
CECA Cruelty 12-16 year	16 (34%)	87 (26%)	$\chi^2 = 1.48, p = .22$
CECA Violence 0-11 year	9 (19%)	82 (24%)	$\chi^2 = 6.86, p = .032$
CECA Violence 12-16 year	12 (25%)	75 (22%)	$\chi^2 = 3.01, p = .22$
CTQ Sexual abuse	15 (33%)	100 (30%)	$\chi^2 = .19, p = .66$
CTQ Physical neglect	20 (43%)	147 (43%)	$\chi^2 = 0, p = .99$
CTQ Physical abuse	16 (35%)	76 (22%)	$\chi^2 = 3.4, p = .06$
CTQ Emotional neglect	32 (70%)	241 (71%)	$\chi^2 = .05, p = .83$
CTQ Emotional abuse	26 (56%)	210 (62%)	$\chi^2 = .5, p = .48$

sTable 3. Adverse childhood experiences in the included samples

	HC	CHR-NT	CHR-T	χ^2 value, p value
N (%)	N= 51	N= 251	N= 58	
Bullied frequently	20 (39%)	128 (54%)	21 (41%)	$\chi^2= 5.66, p=.059$
Bullied severity	9 (20%) ^a	97 (43%)	16 (33%)	$\chi^2= 9.23, p=.01$
CECA death of a parent	2 (4%)	16 (7%)	7 (13%)	$\chi^2= 3.88, p=.14$
CECA Taken into care	2 (4%)	13 (5%)	1 (2%)	$\chi^2= 1.24, p=.54$
CECA Parental discordance	21 (41%) ^a	140 (60%)	27 (53%)	$\chi^2= 5.95, p=.051$
CECA lack of adult social support	9 (18%) ^{a,b}	102 (43%)	28 (55%)	$\chi^2= 16.1, p<.001$
CECA poverty ever	12 (23%)	77 (33%)	21 (41%)	$\chi^2= 3.62, p=.16$
CECA cruelty 0-11 year	4 (8%) ^{a,b}	60 (25%)	15 (29%)	$\chi^2= 8.42, p=.015$
CECA cruelty 12-16 year	4 (8%) ^b	66 (28%)	17 (33%)	$\chi^2= 10.65, p=.005$
CECA violence 0-11 year	3 (6%) ^a	69 (29%)	10 (20%)	$\chi^2= 13.21, p=.01$
CECA violence 12-16 year	6 (12%)	59 (25%)	10 (20%)	$\chi^2= 6.37, p=.17$
CTQ sexual abuse	6 (12%) ^{a,b}	159 (32%)	18 (33%)	$\chi^2= 8.66, p=.013$
CTQ physical neglect	9 (18%) ^{a,b}	114 (48%)	24 (44%)	$\chi^2= 15.66, p<.001$
CTQ physical abuse	6 (12%)	57 (24%)	13 (24%)	$\chi^2= 3.66, p=.16$
CTQ emotional neglect	17 (34%) ^{a,b}	184 (78%)	40 (74%)	$\chi^2= 39.64, p<.001$
CTQ emotional abuse	14 (28%) ^{a,b}	158 (67%)	38 (70%)	$\chi^2= 28.86, p<.001$

^a difference between HC and CHR-NT at $p<0.05$

^b difference between HC and CHR-T $p<0.05$

^c difference between CHR-NT and CHR-T at $p<0.05$

CHR-NT: clinical high risk non transition; CHR-T: clinical high risk non transition; HC: healthy controls;

Table 4. Emotion misattributions in the included samples

Misattributions	HC (N=51)	CHR-NT (N=251)	CHR-T (N=58)	F (df), p
Neutral as Happy	9.19 (10.48)	9.41 (9.57)	11.1 (11.1)	F(2, 359)= .74 , p= .48
Neutral as Frightened	1.71 (3.97)	2.94 (7.29)	2.9 (6.33)	F(2, 359)= .71 , p= .49
Neutral as Angry	6.62 (8.7))	6.92 (9.49)	7.32 (10.28)	F(2, 359)= .08 , p= .92
Happy as Neutral	7.23 (7.94)	6.77 (8.8)	6.25 (8.03)	F(2, 359)= .18 , p= .83
Happy as Frightened	1.22 (4.68)	1.44 (4.65)	2.59 (7.94)	F(2, 359)= 1.23 , p= .29
Happy as Angry	0.98 (2.9)	0.82(3.08) ^c	2.37 (6.28)	F(2, 359)= 4.03, p= .02
Frightened as Neutral	30.15 (16.28)	31.37 (16.77)	29.63 (16.87)	F(2, 359)= .32 , p= .73
Frightened as Happy	0.49 (2.1) ^b	1.49 (3.74)	2.59 (7.03)	F(2, 359)= 3.28 , p= .04
Frightened as Angry	8.7 (10.76)	6.12 (8.9)	6.8 (9.9)	F(2, 359)= 1.63 , p= .20
Angry as Neutral	16.67 (16.33)	15.33 (14.86)	20.26 (19.32)	F(2, 359)= 2.28 , p= .10
Angry as Happy	2.57 (4.54)	3.41 (6.75)	2.9 (7.33)	F(2, 359)= .41 , p= .66
Angry as Frightened	11.03 (13.67)	7.42 (10.03)	8.51 (13.38)	F(2, 359)= 2.25 , p=.11

^a statistically significant differences between HC and CHR-NT, after Bonferroni correction for multiple comparisons

^b statistically significant differences between HC and CHR-T, after Bonferroni correction for multiple comparisons

^c statistically significant differences between CHR-NT and CHR-T, after Bonferroni correction for multiple comparisons

sTable5. Post-doc power analyses

Variables significant in CHR-NT	Statistical Power
DFAR total – taken into care	20%
DFAR neutral – death of a parent	34%
DFAR frightened – bullied frequently	19%
DFAR angry – taken into care	38%

Post-doc power analyses to test the statistical power in the CHR-T group in relation to ACE variables which were significantly associated to FAR ability in the CHR-NT group.

Software: GRANMO: <https://www.imim.cat/ofertadeserveis/software-public/granmo/>