



King's Research Portal

DOI: 10.1136/jnnp-2020-323100

Document Version Peer reviewed version

Link to publication record in King's Research Portal

Citation for published version (APA):

Turner, M. R., & Al-Chalabi, A. (2020). REM sleep physiology and selective neuronal vulnerability in amyotrophic lateral sclerosis. *Journal of Neurology, Neurosurgery and Psychiatry*, *91*(7), 789-790. https://doi.org/10.1136/jnnp-2020-323100

Please note that where the full-text provided on King's Research Portal is the Author Accepted Manuscript or Post-Print version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version for pagination, volume/issue, and date of publication details. And where the final published version is provided on the Research Portal, if citing you are again advised to check the publisher's website for any subsequent corrections.

General rights

Copyright and moral rights for the publications made accessible in the Research Portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognize and abide by the legal requirements associated with these rights.

- •Users may download and print one copy of any publication from the Research Portal for the purpose of private study or research.
- •You may not further distribute the material or use it for any profit-making activity or commercial gain •You may freely distribute the URL identifying the publication in the Research Portal

If you believe that this document breaches copyright please contact librarypure@kcl.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.

Download date: 28. Dec. 2024

REM sleep physiology and selective neuronal vulnerability in amyotrophic lateral sclerosis		
Turner MR ¹		
Al-Chalabi A ²		
¹ Nuffield Department of Clinical Neurosciences, University of Oxford, Oxford, UK		
² Maurice Wohl Clinical Neuroscience Institute, Department of Basic and Clinical Neuroscience, King's		
College London, UK		
Correspondence:	Prof Martin Turner	Prof Ammar Al-Chalabi
	West Wing Level 6	Maurice Wohl Clinical Neuroscience Institute
	John Radcliffe Hospital	5 Cutcombe Road
	Oxford	London
	OX3 9DU	SE5 9RX
	martin.turner@ndcn.ox.ac.uk	ammar.al-chalabi@kcl.ac.uk

Word count: 564

Refs: 19

Letter

The widespread and relentless progression of skeletal muscle weakness secondary to motor neuronal degeneration in amyotrophic lateral sclerosis (ALS) is all the more striking in the *relative* preservation of those motor neurons subserving oculomotor function and continence. The molecular and broader physiological basis for selective neuronal vulnerability in ALS remains a subject of intense study and speculation. We note significant similarities with the pattern of muscle involvement associated with rapid eye movement (REM) sleep, raising the possibility of shared motor networks and so novel avenues for study.

The stage of normal sleep associated with REM involves a temporary but profound state of motor paralysis during which there is, by definition, preservation of eye movements, and also continence. Respiration enters a more wakeful pattern of activity with the REM sleep state (1) and the observation that respiratory insufficiency is typically a late-stage feature is consistent with its relative sparing in ALS, so that the increased respiratory activity noted in healthy REM sleep is compatible with the hypothesis of shared motor networks. Eventual diaphragmatic weakness is associated with shorter duration of REM sleep in ALS (2), and there is additional pathological extension to involve potentially REM-controlling brainstem areas in ALS, with Bunina bodies and TDP-43 inclusions (both with high specificity for ALS) found respectively within the locus coeruleus (3) and in the reticular formation of severely paralysed individuals (4). MRI studies have revealed progressive brainstem medullary morphometric atrophy in ALS (5), and more widespread cortical influences on the patterngenerating circuits of the pre-Bötzinger complex have been postulated (6), which might independently increase respiratory network vulnerability in more advanced ALS.

Spontaneous middle ear muscle activity is also found in REM sleep (7), with hearing-associated musculature also being spared in ALS (although mildly abnormal stapedial reflexes were noted in a sub-group of patients with bulbar involvement, presumably reflecting a greater brainstem pathological burden (8, 9)). Onuf's nucleus is part of the somatic cell column, and partial denervation

in the external anal sphincter and Onuf motor cells is observed early in ALS (10). Despite such early involvement, incontinence is not a feature of ALS. Similar findings exist with regard to the cardiac oesophageal sphincter, and the abductor muscles of the larynx, though reflux and stridor are not a feature of the disease, in keeping with the observation that REM sleep-active neuronal groups are relatively spared.

The neuronal circuitry governing REM sleep involves glutamatergic neurons of the sublaterodorsal (SLD) nucleus in the region of the pontine tegmentum (11), and inhibitory hyperpolarization of ventromedial medullary and spinal cord lower motor neurons by glycinergic neurons (12). GABA-ergic projections from the tegmental area may exert an important control over the REM-atonia neurons of the SLD, inhibiting their activity in wakefulness (13). A consistent pathological hallmark of the periand early symptomatic phases of ALS is increased cortical excitability (14), which has been linked across a range of experimental platforms to a relative reduction of inhibitory versus excitatory interneuronal influences ((15, 16)), including brainstem interneuronal circuits (17), but also potential spinal inhibitory influences (18). The observation that REM sleep appears to reversibly disable the motor pathways preferentially targeted by ALS, while preserving functions that are relatively spared, may be further evidence for an imbalance of inhibitory versus excitatory interneuronal influences at the heart of ALS pathogenesis. Therapeutic strategies specifically targeting its restoration and biomarkers based on such phenomena are worthy of focused study (19).

References

- 1. Peever J, Fuller PM. The Biology of REM Sleep. Current biology: CB. 2017;27(22):R1237-r48.
- 2. Arnulf I, Similowski T, Salachas F, Garma L, Mehiri S, Attali V, et al. Sleep disorders and diaphragmatic function in patients with amyotrophic lateral sclerosis. American journal of respiratory and critical care medicine. 2000;161(3 Pt 1):849-56.
- 3. Iwanaga K, Wakabayashi K, Honma Y, Takahashi H. Amyotrophic lateral sclerosis: occurrence of Bunina bodies in the locus ceruleus pigmented neurons. Clinical neuropathology. 1997;16(1):23-6.
- 4. Hayashi K, Mochizuki Y, Takeuchi R, Shimizu T, Nagao M, Watabe K, et al. Clinicopathological characteristics of patients with amyotrophic lateral sclerosis resulting in a totally locked-in state (communication Stage V). Acta Neuropathol Commun. 2016;4(1):107.
- 5. Bede P, Chipika RH, Finegan E, Li Hi Shing S, Chang KM, Doherty MA, et al. Progressive brainstem pathology in motor neuron diseases: Imaging data from amyotrophic lateral sclerosis and primary lateral sclerosis. Data Brief. 2020;29:105229.
- 6. Pattinson KT, Turner MR. A wider pathological network underlying breathlessness and respiratory failure in amyotrophic lateral sclerosis. The European respiratory journal. 2016;47(6):1632-4.
- 7. Pessah MA, Roffwarg HP. Spontaneous middle ear muscle activity in man: a rapid eye movement sleep phenomenon. Science (New York, NY). 1972;178(4062):773-6.
- 8. Shimizu T, Hayashida T, Hayashi H, Kato S, Tanabe H. Stapedial reflex in amyotrophic lateral sclerosis. Journal of neurology, neurosurgery, and psychiatry. 1996;60(5):544-8.
- 9. Canale A, Albera R, Lacilla M, Canosa A, Albera A, Sacco F, et al. Acoustic reflex patterns in amyotrophic lateral sclerosis. Eur Arch Otorhinolaryngol. 2017;274(2):679-83.
- 10. Kihira T, Yoshida S, Yoshimasu F, Wakayama I, Yase Y. Involvement of Onuf's nucleus in amyotrophic lateral sclerosis. Journal of the neurological sciences. 1997;147(1):81-8.
- 11. Lu J, Sherman D, Devor M, Saper CB. A putative flip-flop switch for control of REM sleep.

 Nature. 2006;441(7093):589-94.

- 12. Arrigoni E, Chen MC, Fuller PM. The anatomical, cellular and synaptic basis of motor atonia during rapid eye movement sleep. J Physiol. 2016;594(19):5391-414.
- 13. Xi MC, Morales FR, Chase MH. Evidence that wakefulness and REM sleep are controlled by a GABAergic pontine mechanism. Journal of neurophysiology. 1999;82(4):2015-9.
- 14. Vucic S, Nicholson GA, Kiernan MC. Cortical hyperexcitability may precede the onset of familial amyotrophic lateral sclerosis. Brain: a journal of neurology. 2008;131(Pt 6):1540-50.
- 15. Van den Bos MAJ, Higashihara M, Geevasinga N, Menon P, Kiernan MC, Vucic S. Imbalance of cortical facilitatory and inhibitory circuits underlies hyperexcitability in ALS. Neurology. 2018;91(18):e1669-e76.
- 16. Turner MR, Kiernan MC. Does interneuronal dysfunction contribute to neurodegeneration in amyotrophic lateral sclerosis? Amyotrophic lateral sclerosis: official publication of the World Federation of Neurology Research Group on Motor Neuron Diseases. 2012;13(3):245-50.
- 17. Cengiz B, Ercan MB, Iskender M, Kuruoglu HR. Brainstem reflex excitability changes in patients with amyotrophic lateral sclerosis. Muscle & nerve. 2017;56(5):925-9.
- 18. Pauvert V, Pierrot-Deseilligny E, Rothwell JC. Role of spinal premotoneurones in mediating corticospinal input to forearm motoneurones in man. J Physiol. 1998;508 (Pt 1):301-12.
- 19. Edmond EC, Stagg CJ, Turner MR. Therapeutic non-invasive brain stimulation in amyotrophic lateral sclerosis: rationale, methods and experience. Journal of neurology, neurosurgery, and psychiatry. 2019;90(10):1131-8.