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1	Left	entorhinal	cortex and	object	recognition	(Running	Head)
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44	The present research explored the role of the medial temporal lobes in object memory in unique
45	patient MR, who has a selective lesion to her left lateral entorhinal cortex. Two experiments
46	explored recognition memory for object identity and object location in MR and matched
47	Controls. The results showed that MR had intact performance in an object location task (MR =
48	.70, Controls = .69, $t(6) = .06$ , $p > .05$ ), but was impaired in an object identity task (MR = .62,
49	Controls = .84, $t(6) = -4.12$ , $p < .05$ ). No differences in correct recollection or familiarity
50	emerged. These results suggest a differential role of the entorhinal cortex in object recognition
51	memory. The current research is therefore the first patient study to demonstrate the role of the
52	lateral entorhinal cortex in object identity recognition and suggests that current medial temporal
53	lobe theoretical models concerning both object and recognition memory need a theoretical re-
54	think to account for the contributions of the entorhinal cortex in these processes.
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56	Keywords: entorhinal cortex, recollection, familiarity, object recognition, memory
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#### 69 Introduction

70 A current issue within cognitive neuropsychological research concerns the role of the medial temporal lobes in both memory and perception. Mnemonic-perceptual theories have 71 72 suggested that the medial temporal lobes are involved in both these processes, with the 73 perirhinal cortex responsible for object identity recognition and the hippocampus being 74 interested in spatial perception [1], [2]. However, the role of the entorhinal cortex, which is situated between the hippocampus and perirhinal cortex, in both object recognition and spatial 75 perception remains little understood. This is partly due to the complete lack thus far of patients 76 with damage focal to the entorhinal cortex. Hence the present research aims to shed light onto 77 78 the role of the entorhinal cortex in these processes in a unique patient MR, who has a selective 79 lesion to her left entorhinal cortex.

80

81 Regarding object recognition, the two streams hypothesis proposes that different and distinct 'what' and 'where' pathways exist in the brain [3], [4]. Part of these 'what' and 'where' 82 83 streams ultimately reach the medial temporal lobes, where the information they contain is 84 bound into a single, unified representation by the hippocampus [5]. Although these 'what' and 'where' streams are initially perceptual, it is believed that they become endowed with 85 86 mnemonic information as they enter the medial temporal lobes. Given the location of the entorhinal cortex between the perirhinal cortex and the hippocampus, it has been speculated 87 that this area may also be involved in perceptual-mnemonic processes [6]. Relatedly, 88 89 subdivisions may also exist within the entorhinal cortex, where the lateral part has a 90 visuoperceptual role and the medial part has a visuospatial role [7], [8]. Furthermore, it has been found that lateralisation occurs for object encoding such that object identity encoding was 91 92 lateralised left, while spatial encoding was lateralised right within the medial temporal lobes 93 [9], [10].

95 As well as having a role in perception, the medial temporal lobes also underpin recognition memory and the subjective experiences which accompany successful retrieval, 96 97 namely recollection and familiarity, or 'remembering' and 'knowing'. Recollection involves 98 the retrieval of contextual information whereas familiarity is acontextual retrieval. Although 99 there is dispute whether these processes are independent or not, the evidence for these being a 100 dual process is strong at the brain level. Compelling fMRI evidence has demonstrated that the 101 hippocampus underpins recollection whereas the perirhinal cortex supports familiarity [5], 102 [11]. Patient studies have also been invaluable in supporting the dual-process model in finding 103 a clear double dissociation between remembering and knowing. [12 - 15].

104

At present, there has been no specific role suggested for the entorhinal cortex in any 105 106 theoretical recognition memory models, although recent research in healthy adults has 107 suggested that this area has a selective role in familiarity rather than recollection-based 108 judgements [13]. Recent research on patient MR, who has a selective lesion to her left 109 entorhinal cortex, demonstrated impaired familiarity for words but performed normally in the 110 recognition of non-words and faces [14]. Additionally, her recollection was unimpaired across these entire stimuli. Relatedly, recent research [15] has explored these processes in relation to 111 112 material type in the medial temporal lobes, finding that the rhinal cortices responded preferentially to object familiarity, but not to scenes or faces, whilst the hippocampus was not 113 114 material-specific but did respond to recollection for all category types. The aims of the present 115 research are therefore to investigate the role of the entorhinal cortex in object recognition and 116 associated subjective experiences, in MR and her Control group.

117

### 118 Method

The research gained ethical approval from the National Research Ethics Service and the
University of Roehampton Ethics Committee. All participants, including MR, gave written

121 informed consent. MR and eight Controls took part in the research. Control participants were 122 healthy females, all of whom had fluent English and were matched to MR on handedness, age, 123 years of education and a range of neuropsychological intelligence profiling, as detailed in 124 previous research [14]. All measures were tested using Crawford's modified *t*-test, which is 125 suitable for single case studies with a small control group [16] and all p > .05. Participants were 126 given monetary compensation for their travel and participation.

127

#### 128 Patient MR

129 MR's clinical profile is more fully described elsewhere [14]. Briefly, she is a right-130 handed female who was 51 years old at the time of testing. At the age of 36 she began to experience seizures, and MRI investigations identified a small cavernoma on her left 131 132 parahippocampal gyrus. To localise the lesion in relation to the entorhinal cortex, coronal 133 images were compared with published references to the delineation of the entorhinal cortex 134 [17] and, to exclude any atrophy of the mesial temporal structures, automated volumetric 135 assessment was performed according to previously described methods [18]. This found that the 136 volumes of MRs medial temporal lobes are in-line with aged-matched Controls and, despite 137 her cavernoma, there is no atrophy present in this area. Imaging analysis was only performed 138 for MR and is shown in Figure 1.

139

140 Experiment 1: object identity

Participants gave written informed consent and were given a verbal description of the experiment. Then, they were trained on the remember/know/guess paradigm, using instructions closely modelled on previous work [19]. This experiment was designed to explore object identity memory by manipulating the identity of objects from scenes. A practice block using stimuli from the SUN database [20] was given to participants so they could familiarise themselves with the demands of the experiment and to ensure they fully understood the method. 147 After finishing, participants were given the opportunity to ask any questions before proceeding148 to the experiment proper.

149

150 Here, memory was tested for objects embedded in different 48 3D scenes adapted from previous work [21]. Each scene was visually rich in colour, with shadows and lighting detail. 151 152 All scenes depicted a real-life environment, e.g. a kitchen. In a trial, participants were shown a fixation cross for 1000ms before being shown a scene for 10 seconds, followed by a pattern 153 154 mask of patchwork colours for 200ms. Following this scene presentation, an object was then 155 presented centrally on an olive-green background. In half of the trials, this object was taken 156 from the initial scene (true trial), and in the other half the object was not present in the initial scene (false trial). False trials used objects picked from the same practice trials of previous 157 158 research [21], which were not used in the present experiment. Participants were asked to decide 159 if the object was in the scene or not. If they agreed, they were then asked to make a 160 remember/know/guess decision. If the participant initially indicated that the object was not in 161 the scene, then the experiment skipped to the next trial. Presentation of trials was randomised and responses were collected via keyboard. After the experiment was completed, participants 162 163 were fully debriefed, thanked for their time, paid and dismissed.

164

## 165 Experiment 2: object location

The procedure was almost identical to that of Experiment 1 and took place on average almost 4 weeks after Experiment 1. However now, rather than the target object being presented in the centre of the screen, a true object's location was manipulated. Again, half of the trials were true (the object is presented in the same location as it was in the initial scene) and half false (the object is moved to a false alarm position.). Only the location of the object was manipulated in the present experiment to ensure that this task was only exploring objectlocation memory. Other than this manipulation, the trials were virtually the same as described in the object identity experiment. Participants were asked 'Was this object located here in the scene?', where key presses indicated a yes/no, and asked if they remember / know / guess if they answered 'yes'. The debrief, payment, thanking and dismissal was the same as previously.

1/0

### 177 **Results**

178 One participant was excluded from both experiments for floor performance. Results 179 from the two experiments were analysed using the proportion of hits minus false alarms for 180 overall recognition, remember, and know responses. Guesses were too low to analyse but all subjective measure results are included in Table 1. All results were analysed using Crawford's 181 182 modified *t*-test [16]. In line with other similar patient research [22], remember responses acted as an index of recollection, while a correction of independence was applied to know responses 183 [F = K(1-R)] in order to get an estimate of familiarity [23]. This correction of independence 184 185 assumes that recollection and familiarity are distinct, where recollection is proposed to be a categorical threshold process, while familiarity is a continuous signal-detection process [24]. 186 187 These data were also analysed without the correction of independence (hits minus false alarms).

188

#### 189 Experiment 1: object identity

Three trials were excluded from the analysis as the objects chosen may have been semantically linked to their respective scene, for example, a living room scene which contained a lamp had a different lamp shown as the false alarm object, which could therefore have raised the false alarm rate. All analyses are two-tailed unless otherwise stated.

194

The analysis on overall recognition performance (hits-false alarms) found that MR was significantly impaired in comparison to Controls (MR = .62, Controls = .84, t(6) = -4.12, p <.05, one-tailed). Additionally, analysing overall recognition memory using *d'*, based on signal detection theory [25], showed that MR was also impaired when compared to Controls (t(6) = - 199 3.11, p < .05). MR's response criterion was measured using the criterion location measure (C) 200 [14], which revealed that MR had no shift in response criterion when compared to Controls 201 (t(6) = 1.03, p > .05). Further analyses revealed no significant differences in recollection (MR 202 = .58, Controls = .63, t(6) = -.29, p > .05), nor in familiarity (MR = .10, Controls = .30, t(6) =203 -.75, p > .05, one-tailed).

204

205 Given MR's impairment in overall recognition performance, separate analyses on hits and false alarms were carried out to determine where the nature of her impairment was located. 206 These analyses found that, in terms of hits, MR was impaired in overall recognition (MR = .67, 207 208 Controls = .86, t(6) = -2.96, p < .05) but performed within normal range for recollection (MR = .63, Controls = .64, t(6) = -.06, p > .05) and familiarity (MR = .11, Controls = .30, t(6) = -209 210 .71, p > .05, one-tailed). The analyses on overall false alarms showed no differences between MR and the Controls (MR = .05, Controls = .02, t(6) = .94, p > .05), nor were any differences 211 212 found for recollection (MR = .05, Controls = .01, t(6) = 1.87, p > .05), and neither group made 213 any false know responses, hence familiarity rates are not calculated.

214

## 215 Experiment 2: object location

216 One trial was excluded from analysis as the location of the object coincided where a similar object was in the original scene. Analysis was the same as described in Experiment 1. 217 218 The results revealed that there were no significant differences between MR and the Controls 219 for overall recognition memory, (MR = .70, Controls = .69, t(6) = .06, p > .05). Her d' score 220 was also normal in this task when compared to Controls (t(6) = -.30, p > .05), as was her C 221 score (t(6) = -1.09, p > .05). However, MR had significantly lower recollection compared to 222 Controls, (MR = .33, Controls = .52, t(6) = -2.54, p < .05). There were no significant difference between MR and the Controls for the measure of familiarity (MR = .43, Controls = .25, t(6) = 223 224 .77, *p* > .05).

226 Given MR's impairment for overall remember responses, separate analyses on hits and 227 false alarms were carried out in order to explore this further. For hits, the analysis found that 228 MR was within normal range for recollection responses (MR = .42, Controls = .54, t(6) = -1.40, p > .05) and familiarity (MR = .50, Controls = .33, t(6) = 1.14, p > .05). Analysis of false 229 230 alarms found that MR's overall scores were normal (MR = .13, Controls = .07, t(6) = .80, p >231 .05), although the analysis revealed that MR gave significantly more false recollection responses (MR = .09, Controls = .02), t(6) = 3.27, p < .05) but had normal levels of false 232 233 familiarity (MR = 0, Controls = .03, t(6) = -.56, p > .05).

234

### 235 **Discussion**

The aim of the present research was to explore the role of the left entorhinal cortex in object recognition. The findings demonstrated that MR was impaired in recognising an object's identity, but had normal object location memory. The remaining results found that MR generally performed similarly to the Controls in terms of recollection and familiarity, although she did report significantly more false remember responses in the Where experiment. Her impairment therefore primarily only affects object identity recognition, while her spatial memory is spared.

243

Perceptual-mnemonic theories would suggest that the perirhinal cortex underpins object perception, whereas the hippocampus underpins spatial perception [1], [2]. As far as the authors are aware, no such perceptual-mnemonic theory has yet formally suggested a role of the entorhinal cortex in object processing, although there is existing neuroimaging evidence on healthy participants suggesting that it does have a role to play in object processing [9]. More recent work using a higher strength scanner has also broadly supported this notion [8], although the precise nature of the human entorhinal cortex has yet to be fully understood. Lastly, 251 research has found that object identity and object location processing is lateralised to the left 252 and right hemispheres, respectively [9 - 10]. Taken together, these findings on healthy 253 participants strongly suggest that the entorhinal cortex has a role in object perception. 254 Moreover, the present patient research implicates the entorhinal cortex as being part of the 'what' pathway, thus extending research on patients with perirhinal cortex damage who have 255 256 been found to be impaired in recognising an object's identity. Furthermore, this result is 257 supported by MR's imaging that demonstrates she has no atrophy within this area and that there 258 are no volumetric differences within her medial temporal lobe regions compared to age-259 matched Controls. As her impairment can be confidently deduced to the cavernoma on the 260 lateral part of her left entorhinal cortex, this strongly suggests that this area is integral for object 261 identity recognition.

262

263 The present research explores the first single case study on a patient with a selective lesion to the left entorhinal cortex in object recognition memory. Such populations offer 264 265 obvious localisation of function advantages in memory research, although caution must be taken not to over-interpret the present findings. Further investigation is required to solidify the 266 role of the entorhinal cortex. For example, it would be of interest to see how a patient with a 267 268 lesion to their right entorhinal cortex might perform in these object memory tasks, as this would 269 shed light on whether the crux of MR's object identity impairment is due to verbal labelling 270 processes that are left-dominant. A patient with such damage may therefore be unimpaired as 271 MR's performance suggests that object recognition is resolved by the left, and not the right, 272 hemisphere.

273

In sum, the present research has demonstrated for the first time a unique relationship between the entorhinal cortex and object identity but not object location memory. Furthermore, given the generally normal correct recollection and familiarity levels demonstrated in patient

277	MR, our results suggest that the role the entorhinal cortex has to play in object identity
278	recognition is based mainly on quantitative aspects of recognition memory. Furthermore, this
279	appears to be restricted to the lateral part of the left entorhinal cortex. These findings suggest a
280	theoretical re-think of the role of the entorhinal cortex in mnemonic-perceptual accounts of the
281	medial temporal lobes.
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**Figures and tables** (to be inserted by journal)

# **Table 1.**

409 Proportion of hits and false alarms for MR and Controls as a function of experiment type.

	Hits			False alarms		
	Remember	Know	Guess	Remember	Know	Guess
Exp. 1:						
MR	.63	.04	0	.05	0	0
Controls	.64 (.16)	.13 (.15)	.10 (.06)	.01 (.02)	0 (0)	.01 (.02)
Exp. 2:						
MR	.42	.29	.13	.09	0	.04
Controls	.54 (.08)	.15 (.07)	.08 (.08)	.02 (.02)	.03 (.05)	.02 (.03)
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Figure 1. T2-weighted coronal imaging of MRs cavernoma (white arrow, EC = entorhinal cortex; CS = collateral sulcus). Orientation of the coronal images is perpendicular to the longitudinal axis of the hippocampus and slice thickness is 2 mm. Following previous protocol [17], this series of images shows the landmarks used to identify the hippocampal and rhinal cortical areas. It would appear that MR's cavernoma and its surrounding hemosiderin halo (surrounding susceptibility artefact = black) covers the lateral part of the left entorhinal cortex, as in [7].