

King's Research Portal

DOI: [10.1007/JHEP02\(2022\)151](https://doi.org/10.1007/JHEP02(2022)151)

Document Version Publisher's PDF, also known as Version of record

[Link to publication record in King's Research Portal](https://kclpure.kcl.ac.uk/portal/en/publications/21974f00-9770-42da-a7ea-4e3843a9d374)

Citation for published version (APA): Lambert, N., Lipstein, A., Mouland, R., & Richmond, P. (2022). Five-dimensional path integrals for sixdimensional conformal field theories. Journal of High Energy Physics, 2022(2), Article 151. [https://doi.org/10.1007/JHEP02\(2022\)151](https://doi.org/10.1007/JHEP02(2022)151)

Citing this paper

Please note that where the full-text provided on King's Research Portal is the Author Accepted Manuscript or Post-Print version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version for pagination, volume/issue, and date of publication details. And where the final published version is provided on the Research Portal, if citing you are again advised to check the publisher's website for any subsequent corrections.

General rights

Copyright and moral rights for the publications made accessible in the Research Portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognize and abide by the legal requirements associated with these rights.

•Users may download and print one copy of any publication from the Research Portal for the purpose of private study or research. •You may not further distribute the material or use it for any profit-making activity or commercial gain •You may freely distribute the URL identifying the publication in the Research Portal

Take down policy

If you believe that this document breaches copyright please contact librarypure@kcl.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.

PUBLISHED FOR SISSA BY 2 SPRINGER

Received: *October 1, 2021* Revised: *January 21, 2022* Accepted: *February 2, 2022* Published: *February 17, 2022*

Five-dimensional path integrals for six-dimensional conformal field theories

N. Lambert,*^a* **A. Lipstein,***^b* **R. Mouland***^c* **and P. Richmond***^a*

- *^aDepartment of Mathematics, King's College London, London, WC2R 2LS, U.K.*
- *^bDepartment of Mathematical Sciences, Durham University, Durham, DH1 3LE, U.K.*
- *^cDepartment of Applied Mathematics and Theoretical Physics, University of Cambridge, Cambridge, CB3 0WA, U.K.*

E-mail: neil.lambert@kcl.ac.uk, arthur.lipstein@durham.ac.uk, r.mouland@damtp.cam.ac.uk, paul.richmond@kcl.ac.uk

Abstract: In this paper we derive Ward-Takahashi identities from the path integral of supersymmetric five-dimensional field theories with an SU(1*,* 3) spacetime symmetry in the presence of instantons. We explicitly show how $SU(1,3)$ is enhanced to $SU(1,3) \times U(1)$ where the additional $U(1)$ acts non-perturbatively. Solutions to such Ward-Takahashi identities were previously obtained from correlators of six-dimensional Lorentzian conformal field theories but where the instanton number was replaced by the momentum along a null direction. Here we study the reverse procedure whereby we construct correlation functions out of towers of five-dimensional operators which satisfy the Ward-Takahashi identities of a six-dimensional conformal field theory. This paves the way to computing observables in six dimensions using five-dimensional path integral techniques. We also argue that, once the instanton sector is included into the path integral, the coupling of the five-dimensional Lagrangian must be quantised, leaving no free continuous parameters.

KEYWORDS: Nonperturbative Effects, Conformal Field Theory, M-Theory

ArXiv ePrint: [2109.04829](https://arxiv.org/abs/2109.04829)

Contents

1 Introduction

Superconformal field theories in six dimensions play a fundamental role in our understanding of M-theory and play a central role in our understanding of quantum field theories in general through compactification to lower-dimensions. On the other hand, their precise formulation remains elusive because conventional Lagrangians with manifest six-dimensional superconformal symmetry do not exist. Despite this difficulty, it is possible to compute many observables in these theories like correlators of protected operators using holography $[1-4]$ $[1-4]$, conformal bootstrap methods [\[5–](#page-36-2)[10\]](#page-36-3), and chiral algebra conjectures [\[11,](#page-36-4) [12\]](#page-36-5).

Although it is not possible to write down a Lagrangian with six-dimensional superconformal symmetry, a more useful (and perhaps fundamental) definition of a Lagrangian is one which can be used to compute observables such as correlation functions using a path integral. Indeed a Lagrangian may only manifestly realise some subgroup of the symmetries of the full

quantum theory, as previously demonstrated by the ABJM theory for M2-branes [\[13\]](#page-36-6). In a recent series of papers we have constructed a class of five-dimensional Lagrangians with 12 or 24 superconformal symmetries and a non-Lorentzian $SU(1,3)$ spacetime symmetry $[14-16]$ $[14-16]$, studied their correlators [\[17\]](#page-37-0) and constructed explicit instanton solutions [\[18\]](#page-37-1). In this paper we make a proposal as to how these can be used to provide a path integral construction of correlators of six-dimensional superconformal field theories such as the (2*,* 0) theory associated to M5-branes.

In particular here, using path integral methods, we derive the conformal Ward-Takahashi identities for five-dimensional correlators in the presence of instanton operators. These are local disorder operators that correspond to changing the second Chern number of the gauge field around an insertion point (see also $[19–21]$ $[19–21]$). The existence of a conserved topological charge given by the instanton number (or more accurately the second Chern number of the gauge fields) leads to an additional U(1) symmetry but one under which all the fields in the Lagrangian are invariant. As we stated above the action has a non-trivial $SU(1,3)$ symmetry; however we will show that this symmetry is broken once we allow for non-trivial topological sectors, corresponding to the insertion of instanton operators. Nevertheless an $SU(1,3) \times U(1)$ symmetry can be restored in the quantum theory, with instanton operators charged under the U(1) factor. Thus the path integral defined using the five-dimensional Lagrangian yields an interacting theory with a manifest and non-trivial $SU(1,3) \times U(1)$ symmetry.

In our previous paper [\[17\]](#page-37-0) we studied the Ward-Takahashi identities for the symmetry group $SU(1,3) \times U(1)$ and showed that solutions to them can be obtained from a certain Fourier expansion of the correlators of a six-dimensional conformal field theory. In this way we showed that the instanton number can be used to encode the Kaluza-Klein momentum along an emergent sixth dimension. A novelty of this reduction is that we use the conformal symmetry of the six-dimensional theory to conformally compactify a null direction. As a result the Fourier expansion reproduces the full correlation functions of non-compact six-dimensional Minkowski space. In particular the $SU(1,3) \times U(1)$ symmetry arises as the subgroup of the conformal group SO(2*,* 6) that commutes with the Kaluza-Klein momentum operator.

This therefore leads to a natural proposal about how to go the other way and construct genuine six-dimensional correlators from the five-dimensional theory. However the key question is whether or not the resulting correlators can be identified with those of a sixdimensional Lorentzian conformal field theory. In this paper we discuss some necessary conditions for correlators of the theory to resum to produce six-dimensional correlators invariant under the full SO(2*,* 6). We also argue that once topologically non-trivial sectors of the theory are included the action is no longer single valued on the space of field configurations, unless the inverse coupling constant k is a discrete orbifold parameter, analogous to that of the ABJM theory.

The rest of this paper is organised as follows. In section [2](#page-4-0) we briefly review the Lagrangians described above and their symmetries. In section [3](#page-10-0) we allow for more general topologies of the gauge fields through so-called instanton operators and show how the SU(1*,* 3) is broken but then restored by finding a suitable representation of the instanton operators. We also show that once we consider this expanded configuration space we are required to restrict *k* to discrete values. In section [4](#page-25-0) we discuss how to construct correlation functions of a six-dimensional theory and in particular give some necessary conditions for these to satisfy the Ward-Takahashi identities of a Lorentzian six-dimensional conformal field theory. In section [5](#page-31-0) we give our conclusions and discussion on future directions. We also include two appendices.

2 The actions and their symmetries

In this first section, we review the five-dimensional Ω-deformed gauge theory first introduced in $[14]$ by a reduction of the $(2,0)$ theory, and recast its known spacetime symmetries $[15]$ in a language more useful for this paper. There are also $(1,0)$ versions of these actions where the fields further decompose into tensor and hyper multiplets and the supersymmetries are reduced by a half $[16]$. The form of the action and symmetries is similar but the hyper multiplet fields are allowed to take values in any representation of the gauge group. In the interests of not introducing additional notation we will not discuss them here since all the results in this paper extend directly to these theories too as the main tool we exploit is the SU(1*,* 3) symmetry of the action.

2.1 Review of five-dimensional Lagrangian model

Our starting point is a non-Abelian but non-Lorentzian gauge theory in five dimensions with arbitrary gauge group. We use the coordinates (x^-, x^i) on \mathbb{R}^5 , with $i, j, \dots = 1, \dots, 4$. In addition to its gauge field $A = (A_-, A_i)$, the theory has five scalar fields X^I , where $I, J, \dots = 6, \dots, 10$ and a real 32-component spinor Ψ of Spin(1,10). Finally, we also have a field $G_{ij} = -G_{ji}$ which is self-dual, $G_{ij} = \frac{1}{2}$ $\frac{1}{2}\epsilon_{ijkl}G_{kl}$. All of the fields X^I , Ψ and G_{ij} transform in the adjoint of the gauge group.

We choose a 32×32 real representation $\{\Gamma_0, \Gamma_1, \ldots, \Gamma_{10}\}$ of the $(1 + 10)$ -dimensional Clifford algebra with signature $(-, +, \ldots, +)$, and additionally define the combinations $\Gamma_{\pm} = (\Gamma_0 \pm \Gamma_5)/\sqrt{2}$ which project onto spinors of definite chirality under Γ_{05} . The fermion Ψ then satisfies $\Gamma_{012345}\Psi = -\Psi$.

The action of the theory is $S = \int dx^{-} d^4x \mathcal{L}$, with

$$
\mathcal{L} = \frac{k}{4\pi^2} \text{tr} \left\{ \frac{1}{2} F_{-i} F_{-i} - \frac{1}{2} \hat{D}_i X^I \hat{D}_i X^I + \frac{1}{2} \mathcal{F}_{ij} G_{ij} \right. \\ - \frac{i}{2} \bar{\Psi} \Gamma_+ D_- \Psi + \frac{i}{2} \bar{\Psi} \Gamma_i \hat{D}_i \Psi - \frac{1}{2} \bar{\Psi} \Gamma_+ \Gamma^I [X^I, \Psi] \right\},
$$
(2.1)

where $F = dA - iA \wedge A$ is the field strength of A, and D_-, D_i are adjoint gauge covariant derivatives for the gauge field A_-, A_i , i.e. $D_- = \partial_- - i[A_-, \cdot]$ and $D_i = \partial_i - i[A_i, \cdot]$. In terms of these more conventional objects, we have used the corresponding Ω-deformed objects,

$$
\hat{D}_i = D_i - \frac{1}{2} \Omega_{ij} x^j D_-,
$$
\n
$$
\mathcal{F}_{ij} = F_{ij} - \frac{1}{2} \Omega_{ik} x^k F_{-j} + \frac{1}{2} \Omega_{jk} x^k F_{-i},
$$
\n(2.2)

where Ω_{ij} is anti-self-dual and normalised as $\Omega_{ik}\Omega_{jk} = \delta_{ij}$. We also define $\hat{\partial}_i = \partial_--\frac{1}{2}\Omega_{ij}x^j\partial_$ for later use. We see that G_{ij} acts as a Lagrange multiplier, imposing the constraint that \mathcal{F}_{ij} is anti-self-dual, i.e. $\mathcal{F}_{ij}^+ = 0$, where $\mathcal{F}_{ij}^+ = \frac{1}{2}$ $\frac{1}{2}\left(\mathcal{F}_{ij}+\frac{1}{2}\right)$ $\frac{1}{2}\epsilon_{ijkl}\mathcal{F}_{kl}\Big).$

Note that in previous papers we have included a real variable *R* with dimensions of length with $\Omega_{ij}\Omega_{jk} = -R^{-2}\delta_{ij}$. However in the current paper we have chosen to absorb *R* into fields and coordinates. Details of this process, and therefore rules on how to reinstate this parameter, are straightforward and can be found in [\[22\]](#page-37-4). As is standard in more conventional Yang-Mills theories, one can perform simple field redefinitions to bring terms quadratic in derivatives to canonical normalisation, and in doing so introduce positive powers of *g* with $g^2 = 4\pi^2/k$ in front of all interaction terms. In this sense, one should think of this *g* as the coupling of the theory.

Let us comment on the origin of this theory [\[14\]](#page-36-7) in the case where the gauge group is $U(N_5)$. The AdS/CFT correspondence tells us that the worldvolume theory for a stack of N_5 M5-branes is dual to M-theory on an $AdS_7 \times S^4$ background. In analogy with the ABJM construction [\[13\]](#page-36-6) and following the geometric considerations of [\[23\]](#page-37-5), one first considers AdS_7 as a timelike circle fibration $S^1 \hookrightarrow AdS_7 \to \tilde{\mathbb{CP}}^3$ over the non-compact complex projective space $\tilde{\mathbb{CP}}^3$. One can then write down a non-Abelian action describing the reduction along the fibre of a stack of M5-branes at fixed $\tilde{\mathbb{CP}}^3$ radius. The geometry suggests such a theory should possess eight real supercharges, and it does. Finally, one takes the embedding radius to infinity, effectively sending the stack of M5-branes to the boundary of AdS7. This boundary is described by the metric

$$
ds^{2} = -2 dx^{+} \left(dx^{-} - \frac{1}{2} \Omega_{ij} x^{i} dx^{j} \right) + dx^{i} dx^{i} , \qquad (2.3)
$$

with $x^+ \in (-\pi, \pi)$ identified as the coordinate along the fibre along which we have reduced. This metric is of the same conformal class as six-dimensional Minkowski space, and so at the end of the day we have simply performed a conformal compactification of M5-branes on flat space.

Note, as we take the limit to the conformal boundary, certain terms in the action diverge. One is nonetheless able to utilise the technique first described in [\[24\]](#page-37-6) to propose the Lagrangian (2.1) to describe the boundary theory.

We can then use the geometry of the M5-brane embeddings to predict the symmetries of the theory. Since the metric [\(2.3\)](#page-5-0) is conformal to the six-dimensional Minkowski metric, any conformal field theory living on it should realise the full conformal algebra $\mathfrak{so}(2,6)$ as its spacetime symmetries. However, the reduction along the x^+ direction breaks $\mathfrak{so}(2,6)$ to the maximal subalgebra $\mathfrak{h} = \mathfrak{su}(1,3) \oplus \mathfrak{u}(1)$ commuting with translations along x^+ .

Next, the theory has a manifest $SO(5)$ R-symmetry rotating the scalars X^I , corresponding simply in the M5-brane picture to rotations in the directions transverse to the branes.

Finally, the circle reduction breaks only one quarter of the superconformal symmetries, and so we can expect the theory to have 24 real supercharges. This is indeed the case, with 8 realised as rigid supersymmetries, and the remaining 16 as conformal supersymmetries [\[14\]](#page-36-7). In the models obtained from (1*,* 0) superconformal field theories one finds half as many

supersymmetries and the R-symmetry is SU(2) corresponding to a suitable replacement of the S^5 factor.

2.2 Spacetime symmetry algebra

Let us now review the spacetime symmetry structure of the theory in more detail.^{[1](#page-6-1)} The subalgebra $\mathfrak{h} \subset \mathfrak{so}(2,6)$ is spanned by the generators $\mathcal{B} = \{P_-, P_i, B, C^{\alpha}, T, M_{i+}, K_+\}$ along with central element P_+ , which is simply the generator of translations along the x^+ direction along which we have reduced. The other generators have the following action on the five-dimensional coordinates (x^-, x^i)

- $\{P_-, P_i\}$ are five translations, which form a non-Abelian subalgebra,
- ${B, C^{\alpha}}$, $\alpha = 1, 2, 3$, form a $\mathfrak{u}(1) \oplus \mathfrak{su}(2)$ subalgebra of four rotations in the x^i directions,
- *T* is a Lifshitz scaling, under which *x* [−] scales twice as quickly as *x i* ,
- ${M_{i+}, K_{+}}$ are 'special' transformations, which play much the same role as special conformal transformations in the conformal algebra.

A subset of the commutation relations of the algebra is

$$
[M_{i+}, P_j] = -\delta_{ij} P_+ - \frac{1}{2} \Omega_{ij} T - 2\delta_{ij} B + \Omega_{ik} \eta_{jk}^{\alpha} C^{\alpha}, \qquad [T, P_-] = -2P_-,
$$

\n
$$
[T, K_+] = 2K_+, \qquad [P_-, P_i] = 0,
$$

\n
$$
[K_+, P_-] = -2T, \qquad [P_-, M_{i+}] = P_i,
$$

\n
$$
[M_{i+}, M_{j+}] = -\frac{1}{2} \Omega_{ij} K_+, \qquad [K_+, P_i] = -2M_{i+},
$$

\n
$$
[T, P_i] = -P_i, \qquad [K_+, M_{i+}] = 0,
$$

\n
$$
[T, M_{i+}] = M_{i+}, \qquad [P_i, P_j] = -\Omega_{ij} P_-. \qquad (2.4)
$$

The rotations B, C^{α} form an $\mathfrak{u}(1) \oplus \mathfrak{su}(2)$ subalgebra;

$$
[B, C^{\alpha}] = 0, \qquad [C^{\alpha}, C^{\beta}] = -\varepsilon^{\alpha\beta\gamma} C^{\gamma}.
$$
 (2.5)

In particular these generate all rotations in the four-dimensional plane that leave Ω_{ij} invariant. The remaining brackets are neatly summarised by noting that the 'scalar' generators $S = P_-, T, K_+$ are inert under the rotation subgroup, i.e. $[S, B] = [S, C^{\alpha}] = 0$, while the 'one-form' generators $W_i = P_i, M_{i+}$ transform as

$$
[W_i, B] = -\frac{1}{2} \Omega_{ij} W_j, \qquad [W_i, C^{\alpha}] = \frac{1}{2} \eta_{ij}^{\alpha} W_j. \qquad (2.6)
$$

If we for a moment exclude the central element P_+ , then the elements of β form a (somewhat unconventional) basis for $\mathfrak{su}(1,3)$. In fact, the centrally extended algebra h can be realised as simply $\mathfrak{h} = \mathfrak{su}(1,3) \oplus \mathfrak{u}(1)$, with basis $\{P_-, P_i, \tilde{B}, C^{\alpha}, T, M_{i+}, K_+\}$ for the $\mathfrak{su}(1,3)$ factor and P_+ for the $\mathfrak{u}(1)$ factor. Here, we have $\tilde{B} = B + \frac{1}{2}$ $\frac{1}{2}P_{+}$. However, it will be more convenient for geometric reasons to continue to use B rather than \tilde{B} , and thus refrain from making this direct sum decomposition of h manifest.

 $1¹A$ more comprehensive review can be found in [\[22\]](#page-37-4).

2.3 Realisation on coordinates and fields

Let us now investigate how these symmetries are realised by the Lagrangian (2.1) . There is a little nuance here regarding what we should expect. We interpret *S* as describing *N* M5-branes reduced along the direction $x^+ \in (-\pi, \pi)$. Let us first suppose, as in a standard Kaluza-Klein reduction, that in doing this reduction we have truncated the spectrum of the theory maximally; in other words, the theory *S* describes only the zero modes on the x^+ interval. We know then that such modes will fall into representation of $\mathfrak h$ in which P_+ is represented trivially (i.e. it annihilates everything); in other words, representations of $\mathfrak{su}(1,3)$. Thus, we expect *S* to admit an $\mathfrak{su}(1,3)$ spacetime symmetry.

Conversely, just as five-dimensional maximal super-Yang-Mills is conjectured to in fact describe *all* modes of a spatial compactification of M5-branes through the inclusion of local operators with non-zero instanton charge [\[25,](#page-37-7) [26\]](#page-37-8), we also propose that our action *S* should describe all modes of the *x* ⁺ conformal compactification. Modes with non-zero charge under P_{+} are expected to be realised only when the configuration space is extended to allow for isolated singular points, around which one measures non-zero instanton number.

What we will show first is that if we disallow such configurations, then the theory does indeed admit an $\mathfrak{su}(1,3)$ spacetime symmetry. It will already be clear however at this point that something goes wrong when the configuration space is extended. We will indeed show below that in this case we precisely recover modes with non-trivial charge under P_+ , and thus the spacetime symmetry algebra is extended to h.

So let us first describe the $\mathfrak{su}(1,3)$ spacetime symmetry of the theory, as first discussed in [\[15\]](#page-36-9), which is valid when the gauge field is regular throughout \mathbb{R}^5 . Our first step is to define some action of $\mathfrak{su}(1,3)$ on coordinates and fields. As a spacetime symmetry, $\mathfrak{su}(1,3)$ admits a representation in terms of vector fields on \mathbb{R}^5 . Given some $G \in \mathfrak{su}(1,3)$, we have corresponding vectors fields *G∂*, with

$$
(P_{-})_{\partial} = \partial_{-},
$$

\n
$$
(P_{i})_{\partial} = \frac{1}{2} \Omega_{ij} x^{j} \partial_{-} + \partial_{i},
$$

\n
$$
(B)_{\partial} = -\frac{1}{2} \Omega_{ij} x^{i} \partial_{j},
$$

\n
$$
(C^{\alpha})_{\partial} = \frac{1}{2} \eta_{ij}^{\alpha} x^{i} \partial_{j},
$$

\n
$$
(T)_{\partial} = 2x^{-} \partial_{-} + x^{i} \partial_{i},
$$

\n
$$
(M_{i+})_{\partial} = \left(\frac{1}{2} \Omega_{ij} x^{-} x^{j} - \frac{1}{8} x^{j} x^{j} x^{i}\right) \partial_{-} + x^{-} \partial_{i} + \frac{1}{4} (2 \Omega_{ik} x^{k} x^{j} + 2 \Omega_{jk} x^{k} x^{i} - \Omega_{ij} x^{k} x^{k}) \partial_{j},
$$

\n
$$
(K_{+})_{\partial} = \left(2(x^{-})^{2} - \frac{1}{8} (x^{i} x^{i})^{2}\right) \partial_{-} + \left(\frac{1}{2} \Omega_{ij} x^{j} x^{k} x^{k} + 2x^{-} x^{i}\right) \partial_{i}.
$$

\n(2.7)

Let us set up our conventions for $SU(1,3)$ transformations. Given any $g = e^{\epsilon G} \in SU(1,3)$, and any point $x \in \mathbb{R}^5$, we can denote by $xg \in \mathbb{R}^5$ the point sitting at a finite distance ϵ along the integral curve of G_{∂} starting at *x*. Then, we have for $g_1, g_2 \in SU(1,3), x(g_1g_2) = (xg_1)g_2$, and so $SU(1,3)$ admits a natural right action on \mathbb{R}^5 . In this way, we can consider $SU(1,3)$ orbits on our spacetime. For infinitesimal G , the leading order term in xq can be read off

from [\(2.7\)](#page-7-1), while the finite form of *xg* for *g* generated by each of the basis generators can be found in [\[22\]](#page-37-4).

Next, we consider how some generic field in the theory, which we denote by φ , transforms under $SU(1,3)$. Under an active $SU(1,3)$ transformation *g*, we have

$$
x \longrightarrow x
$$

\n
$$
\varphi(x) \longrightarrow \varphi'(x) = g\varphi(x) := \mathcal{R}_g(xg^{-1})\varphi(xg^{-1}),
$$
\n(2.8)

where \mathcal{R}_g is some (generically spacetime-dependent) matrix acting on any indices of φ , and satisfying $\mathcal{R}_{g_2}(xg_1)\mathcal{R}_{g_1}(x) = \mathcal{R}_{g_1g_2}(x)$. Taking g then to act only on fields, so that for instance $g(\partial_i \varphi(x)) = \partial_i (g\varphi(x))$, we have that $(g_1g_2)\varphi(x) = g_1 (g_2\varphi(x))$.

For *G* infinitesimal, we can write to leading order^{[2](#page-8-0)} $g\varphi(x) = \varphi(x) + \delta_G\varphi(x)$, where $\delta_G \varphi(x) = -G_\partial \varphi(x) - r_G(x) \varphi(x)$. $r_G(x)$ is a matrix acting on any indices of $\varphi(x)$, and satisfying $[r_{G_1}, r_{G_2}] + (G_1)_{\partial} r_{G_2} - (G_1)_{\partial} r_{G_1} = r_{[G_1, G_2]}$ for any $G_1, G_2 \in \mathfrak{su}(1, 3)$. Then, the variations δ_G form a representation of $\mathfrak{su}(1,3)$, i.e. $[\delta_{G_1}, \delta_{G_2}] = \delta_{[G_1, G_2]}$.

The general form of the $r_G(x)$ can be deduced by defining a notion of primaries and descendants of $\mathfrak{su}(1,3)$ [\[17\]](#page-37-0). In particular, primaries are annihilated at the origin by the special transformations M_{i+}, K_+ , with descendants generated by the action of P_-, P_i . A primary operator is entirely captured by a Lifshitz scaling dimension Δ and representations $r[B], r[C^{\alpha}]$ under the rotation subalgebra. Explicitly, then, such a primary transforms under $\mathfrak{su}(1,3)$ as

$$
\delta_{P_{-}}\varphi(x) = -(P_{-})_{\partial}\varphi(x),
$$

\n
$$
\delta_{P_{i}}\varphi(x) = -(P_{i})_{\partial}\varphi(x),
$$

\n
$$
\delta_{B}\varphi(x) = -(B)_{\partial}\varphi(x) - r_{\varphi}[B]\varphi(x),
$$

\n
$$
\delta_{C^{\alpha}}\varphi(x) = -(C^{\alpha})_{\partial}\varphi(x) - r_{\varphi}[C^{\alpha}]\varphi(x),
$$

\n
$$
\delta_{T}\varphi(x) = -(T)_{\partial}\varphi(x) - \Delta\varphi(x),
$$

\n
$$
\delta_{M_{i+}}\varphi(x) = -(M_{i+})_{\partial}\varphi(x) - \left(\frac{1}{2}\Delta\Omega_{ij}x^{j} + 2x^{i}r_{\varphi}[B] - \Omega_{ik}\eta_{jk}^{\alpha}x^{j}r_{\varphi}[C^{\alpha}]\right)\varphi(x),
$$

\n
$$
\delta_{K_{+}}\varphi(x) = -(K_{+})_{\partial}\varphi(x) - \left(2\Delta x^{-} + 2x^{i}x^{i}r_{\varphi}[B] - x^{i}x^{j}\Omega_{ik}\eta_{jk}^{\alpha}r_{\varphi}[C^{\alpha}]\right)\varphi(x).
$$
\n(2.9)

The gauge field A, scalars X^I and fermions Ψ do indeed fall into representations of $\mathfrak{su}(1,3)$ and thus transform as in [\(2.8\)](#page-8-1) for some non-trivial variations δ_G . Hence, these fields can be reorganised (albeit somewhat non-trivially) to be written in terms of such $\mathfrak{su}(1,3)$ primaries [\[22\]](#page-37-4). Full details of the infinitesimal transformations of fields can be found in appendix \overline{A} , while their finite transformations are also known [\[22\]](#page-37-4), but will not be needed here.

The Lagrange multiplier G_{ij} is a little different. The variation $\delta_G G_{ij}$ depends not only on G_{ij} but also the field strength *F* of the gauge field, at least for $G = M_{i+1}, K_{+}$. Thus, one should really regard (A, G_{ij}) sitting in a single representation. Further, the algebra of variations δ_G only closes on G_{ij} on-shell; more specifically, it closes only on the constraint surface $\mathcal{F}_{ij} = -\star \mathcal{F}_{ij}$.

²In our conventions, δ_G acts on fields only, so that for instance $\delta_G(x^i\partial_i\varphi(x)) = x^i\partial_i(\delta_G\varphi(x)).$

Finally, it is notationally convenient to introduce a trivial variation δ_{P_+} , acting as $\delta_{P_+} X^I = 0$, $\delta_{P_+} \Psi = 0$, $\delta_{P_+} A = 0$ and $\delta_{P_+} G_{ij} = 0$. Then, the $\{\delta_G\}_{G \in \mathcal{B} \cup \{P_+\}}$ generate a representation of \mathfrak{h} with P_+ trivially represented, and we have $[\delta_{G_1}, \delta_{G_2}] = \delta_{[G_1, G_2]}$ for all $G_1, G_2 \in \mathfrak{h}$, with brackets as given in (2.4) – (2.6) .

2.4 Variation of the Lagrangian

We have shown that the full field content of the theory falls into representations of \mathfrak{h} (at least on the constraint surface, in the case of G_{ij}) under the variations δ_G . We reiterate, these are indeed representations of $\mathfrak{su}(1,3) \subset \mathfrak{h}$, as P_+ is trivially represented: $\delta_{P_+}\varphi = 0$ on all fields $\varphi = A, X^I, \Psi, G_{ij}$. Further, the Lagrangian [\(2.1\)](#page-4-2) transforms in a representation^{[3](#page-9-1)} of $\mathfrak{su}(1,3) \subset \mathfrak{h}$.

So let us state the variation of the Lagrangian \mathcal{L} . In addition to the trivial $\delta_{P_+}\mathcal{L}=0$, for $G \in \{P_-, P_i, B, C^{\alpha}, T\}$ we find

$$
-\delta_{P_{-}}\mathcal{L} = \partial_{-}\mathcal{L},
$$

\n
$$
-\delta_{P_{i}}\mathcal{L} = \partial_{-}\left(\frac{1}{2}\Omega_{ij}x^{j}\mathcal{L}\right) + \partial_{i}\mathcal{L},
$$

\n
$$
-\delta_{B}\mathcal{L} = \partial_{i}\left(\frac{1}{2}\Omega_{ij}x^{j}\mathcal{L}\right),
$$

\n
$$
-\delta_{C^{\alpha}}\mathcal{L} = \partial_{i}\left(-\frac{1}{2}\eta_{ij}^{I}x^{j}\mathcal{L}\right),
$$

\n
$$
-\delta_{T}\mathcal{L} = \partial_{-}\left(2x^{-}\mathcal{L}\right) + \partial_{i}\left(x^{i}\mathcal{L}\right),
$$
\n(2.10)

and hence with suitable boundary conditions on the 4-sphere S^4_{∞} at infinity, we have δ ^{*G*}*S* = 0. More care must be taken, however, in the case of *G* ∈ { M ^{*i*+}*,* K ⁺}. We find^{[4](#page-9-2)}

$$
-\delta_{M_{i+}}\mathcal{L} = \star \left(dx^{i} \wedge \left(\frac{k}{8\pi^{2}} \text{tr} \left(F \wedge F \right) \right) \right) + \partial_{-} \left[\left(\frac{1}{2} \Omega_{ij} x^{-} x^{j} - \frac{1}{8} x^{j} x^{j} x^{i} \right) \mathcal{L} - \frac{k}{16\pi^{2}} x^{i} \text{tr}(X^{I} X^{I}) \right] + \partial_{j} \left[\frac{1}{4} \left(2 \Omega_{ik} x^{k} x^{j} + 2 \Omega_{jk} x^{k} x^{i} - \Omega_{ij} x^{k} x^{k} + 4 x^{-} \delta_{ij} \right) \mathcal{L} - \frac{k}{8\pi^{2}} \Omega_{ij} \text{tr}(X^{I} X^{I}) \right], - \delta_{K_{+}}\mathcal{L} = \star \left(d \left(x^{i} x^{i} \right) \wedge \left(\frac{k}{8\pi^{2}} \text{tr} \left(F \wedge F \right) \right) \right) + \partial_{-} \left[\left(2 \left(x^{-} \right)^{2} - \frac{1}{8} (x^{i} x^{i})^{2} \right) \mathcal{L} - \frac{k}{8\pi^{2}} x^{i} x^{i} \text{tr}(X^{I} X^{I}) \right] + \partial_{i} \left[\left(\frac{1}{2} \Omega_{ij} x^{j} x^{k} x^{k} + 2 x^{-} x^{i} \right) \mathcal{L} + \frac{k}{4\pi^{2}} \Omega_{ij} x^{j} \text{tr}(X^{I} X^{I}) \right]. \tag{2.11}
$$

³This is slightly non-trivial, since the algebra does *not* close off-shell on G_{ij} . However, the corresponding anomalous extension to the symmetry algebra [\(A.9\)](#page-34-1) is parameterised by an additional variation $\bar{\delta}_{ij}$, which explicitly annihilates the Lagrangian. Thus, the algebra does close on $\mathcal L$ off-shell.

⁴Here and throughout, we take \star to denote the Hodge star with respect to the Euclidean metric on \mathbb{R}^5 , which satisfies $\star^2 = 1$ on all forms, and $(\star d \star \omega)_{\alpha_1...\alpha_{p-1}} = \partial^{\beta} \omega_{\alpha_1...\alpha_{p-1}\beta}$ for generic *p*-form ω .

If we require that the gauge field *A* is globally defined and regular everywhere, then we can write

$$
dx^{i} \wedge \left(\frac{k}{8\pi^{2}} \text{tr}\left(F \wedge F\right)\right) = d\left(\frac{k}{8\pi^{2}} x^{i} \text{tr}\left(F \wedge F\right)\right),
$$

$$
d\left(x^{i} x^{i}\right) \wedge \left(\frac{k}{8\pi^{2}} \text{tr}\left(F \wedge F\right)\right) = d\left(\frac{k}{8\pi^{2}} x^{i} x^{i} \text{tr}\left(F \wedge F\right)\right),
$$
(2.12)

and hence, in both cases, $\delta_G \mathcal{L}$ is a total derivative, and for suitable boundary conditions on S^4_{∞} we have $\delta_G S = 0$.

3 Instantons

We have now seen that the theory described by Lagrangian (2.1) does indeed possess an $\mathfrak{su}(1,3)$ spacetime symmetry when the gauge field A is regular throughout \mathbb{R}^5 . It would therefore be reasonable to propose that the theory describes only the zero modes of the compactification on $x^+ \in (-\pi, \pi)$, since it admits a symmetry under h in which nothing is charged under P_{+} . To move beyond this, we now instead consider a broader configuration space for the theory.

3.1 Instantons and classical symmetry breaking

Our task now is to broaden the class of spaces we allow our theory to live on, in an effort to introduce non-trivial topological sectors of the configuration space. Let us now and for the remainder of this paper specialise to gauge group $G = SU(N_c)$.

It is clear that all principal $SU(N_c)$ bundles $P \to \mathbb{R}^5$ are trivialisable. Consider instead however removing a set of points $\{x_a\}_{a=1}^M$ and considering principal bundles over $M_5 = \mathbb{R}^5 \setminus \{x_a\}_{a=1}^M$. Such bundles are then characterised by the integral of the second Chern class over small 4-spheres surrounding each of the x_a , which are quantised as

$$
n_a = \frac{1}{8\pi^2} \int_{S_a^4} \text{tr}\left(F \wedge F\right) \in \mathbb{Z},\tag{3.1}
$$

with S_a^4 denoting a small 4-sphere surrounding the puncture at x_a . We then call each pair (x_a, n_a) an *instanton insertion*, with $x_a \in \mathbb{R}^5$ the instanton insertions's *position*, and $n_a \in \mathbb{Z}$ its *charge*. We could also in principle consider allowing for non-zero instanton number on S^4_{∞} , but we instead consider only configurations with

$$
\frac{1}{8\pi^2} \int_{S^4_{\infty}} \text{tr}\left(F \wedge F\right) = 0. \tag{3.2}
$$

Since the finite $SU(1,3)$ transformations generated by M_{i+} and K_{+} move the point at infinity [\[22\]](#page-37-4), this is chosen as a convenience, rather than a restriction.

Note then that since d tr $(F \wedge F) = 0$ throughout M_5 , we have

$$
0 = \frac{1}{8\pi^2} \int_{S^4_{\infty}} \text{tr}\,(F \wedge F) = \sum_{a=1}^M \frac{1}{8\pi^2} \int_{S^4_a} \text{tr}\,(F \wedge F) = \sum_{a=1}^M n_a \,. \tag{3.3}
$$

Thus, the data of the bundle is contained within the set of instanton insertions $\{(x_a, n_a)\}_{a=1}^M$, with the x_a distinct and the n_a summing to zero. Necessarily, M_5 must now be covered in a number of patches, on each of which *A* is defined. One can however consider a limit of such an open cover, such that *A* is now globally defined and regular except along 1-dimensional strings where it is singular. These strings, which are analogous to the Dirac string, extend between the insertions x_a . Then, the integral of the Chern-Simons 3-form on any S^3 through which such a string is threaded is quantised, ensuring that (3.1) is satisfied. Gauge field configurations with precisely this form were found in [\[18\]](#page-37-1), but we will not need their details here.

We are now able to extend our field content back to the whole of \mathbb{R}^5 , so long as we allow for particular singular behaviour of the field strength *F*. We in particular have

$$
d\left(\frac{1}{8\pi^2}\text{tr}\left(F\wedge F\right)\right) = d^5x \sum_{a=1}^{M} n_a \delta^{(5)}(x - x_a). \tag{3.4}
$$

Such configurations with maximal symmetry about the points x_a will behave as

$$
\frac{1}{8\pi^2}\text{tr}\left(F\wedge F\right)\sim -\frac{n_a}{6\pi^2} \star d\left(\frac{1}{|x-x_a|^3}\right)\,,\tag{3.5}
$$

as we approach $|x - x_a| \to 0$, where here $|x|^2 = (x^-)^2 + x^i x^i$. However, we more generally only expect the pullback to the S^4 surrounding x_a to behave as

$$
\frac{1}{8\pi^2} \text{tr}\left(F \wedge F\right)\Big|_{S^4} \sim n_a \Omega_4\,,\tag{3.6}
$$

as we approach $|x-x_a| \to 0$, where Ω_4 encodes angular dependence, and satisfies $\int_{S^4} \Omega_4 = 1$. Thus, the components of $\frac{1}{8\pi^2}$ tr $(F \wedge F)\Big|_{S^4}$ in Cartesian coordinates on \mathbb{R}^5 go as $|x - x_a|^{-4}$ as we approach $|x - x_a| \to 0$.

Explicit examples of such configurations on $S⁴$ can be constructed by suitable stereographic projection from corresponding configurations on \mathbb{R}^4 . The minimal such construc-tion [\[21\]](#page-37-3), in which the SU(2) BPST instanton of size ρ is mapped to S^4 , corresponds to $n_a = \pm 1$, with $\rho = 1$ producing the spherically symmetric result [\(3.5\)](#page-11-0). More generally, one can in principle relate^{[5](#page-11-1)} any SU(N_c) *n*-instanton configuration on \mathbb{R}^4 , parameterised by $4nN_c$ moduli and captured by the ADHM construction [\[27\]](#page-37-9), to a corresponding configuration on *S* ⁴ by stereographic projection. While we will not require any of the finer details of such constructions, it is important to emphasise that just specifying instanton insertions $\{(x_a, n_a)\}\)$ does *not* fix the boundary behaviour of the gauge field *A* in a neighbourhood of the points ${x_a}$, but rather specifies that such behaviour belongs to a particular continuous family of instanton profiles.

Further, note that configurations defined over \mathbb{R}^5 which feature an arbitrary number of instanton insertions at points x_a , as well as vanishing flux on S^4_{∞} as in [\(3.2\)](#page-10-3), were found in [\[18\]](#page-37-1). Such configurations additionally satisfy the constraint $\mathcal{F}_{ij} + \frac{1}{2}$ $\frac{1}{2}\varepsilon_{ijkl}\mathcal{F}_{kl} = 0$ imposed by G_{ij} .

⁵Note that generically some moduli that are physical on \mathbb{R}^4 can become gauge redundancies on S^4 — for instance, the three gauge orientation moduli of the single SU(2) instanton.

So, we now take the configuration space of our theory to be extended to a disjoint union of subspaces, on each of which we specify instanton insertions $\{(x_a, n_a)\}\$. Note, for the sake of later notational convenience, we allow for any of the *n^a* to be zero, in which case *F* can be smoothly extended to *xa*.

It is crucial to note that $SU(1,3)$ still admits an action on this extended configuration space. In particular, the form of *gA* ensures that

$$
d\left(\frac{1}{8\pi^2}\text{tr}\left(F[A] \wedge F[A]\right)\right) = d^5x \sum_{a=1}^{M} n_a \delta^{(5)}(x - x_a)
$$

$$
\implies d\left(\frac{1}{8\pi^2}\text{tr}\left(F[gA] \wedge F[gA]\right)\right) = d^5(xg^{-1}) \sum_{a=1}^{M} n_a \delta^{(5)}(xg^{-1} - x_a)
$$

$$
= d^5x \sum_{a=1}^{M} n_a \delta^{(5)}(x - x_a g), \qquad (3.7)
$$

and hence if *A* has instanton insertions $\{(x_a, n_a)\}_{a=1}^M$, the transformed field gA has instanton insertions $\{(x_a g, n_a)\}_{a=1}^M$.

Let us now return to the $\mathfrak{su}(1,3)$ variation of the Lagrangian. We find now that in the presence of instanton insertions, the variation of \mathcal{L} under M_{i+}, K_{+} is no longer a total derivative, and the action is no longer invariant. We find

$$
\delta_{M_{i+}}\mathcal{L} = k \sum_{a=1}^{M} n_a x_a^i \delta^{(5)}(x - x_a) + \star d(\dots) ,
$$

$$
\delta_{K_{+}}\mathcal{L} = k \sum_{a=1}^{M} n_a x_a^i x_a^i \delta^{(5)}(x - x_a) + \star d(\dots) ,
$$
 (3.8)

and hence, for suitable boundary conditions on S^4_{∞} , we have

$$
\delta_{M_{i+}}S = k \sum_{a=1}^{M} n_a x_a^i ,
$$

$$
\delta_{K_+}S = k \sum_{a=1}^{M} n_a x_a^i x_a^i .
$$
 (3.9)

Thus, we find that the classical action is no longer invariant under $SU(1,3)$. However, we note that the variation of the action is *local* to the punctures $\{x_a\}$. It is precisely this fact that allows for a recasting of the classical non-invariance of *S* as a symmetry *deformation* in the quantum theory.

However, before exploring this we finally note the transformation of the action under the finite transformations generated by M_{i+} and K_{+} , which are found by exponentiating the infinitesimal results [\(3.9\)](#page-12-0).

Again let $\varphi = A, X^I, \Psi, G_{ij}$ be shorthand for the set of fields of the theory, and suppose that the gauge field *A* has insertions $\{(x_a, n_a)\}_{a=1}^M$. Then, we find

$$
S[e^{\epsilon^i M_{i+1}} \varphi] = S[\varphi] - ik \sum_{a=1}^M n_a \log \left(\frac{\overline{\mathcal{M}_{\epsilon}(x_a)}}{\mathcal{M}_{\epsilon}(x_a)} \right), \qquad (3.10)
$$

where given some 4-vector α^i , we define

$$
\mathcal{M}_{\alpha}(x) = \left(1 - \frac{1}{2}\Omega_{ij}\alpha^{i}x^{j} + \frac{1}{16}\alpha^{i}\alpha^{i}x^{j}x^{j}\right) - \frac{i}{4}\left(\alpha^{i}\alpha^{i}x^{-} + 2\alpha^{i}x^{i}\right)
$$

= 1 + z(x, (0, \alpha^{i})) - z(x, 0) - z(0, (0, \alpha^{i})) - \frac{i}{4}\alpha^{i}\alpha^{i}z(x, 0), (3.11)

and we have the complex distance

$$
z(x_1, x_2) = \left(x_1^- - x_2^- + \frac{1}{2}\Omega_{ij}x_1^ix_2^j\right) + \frac{i}{4}(x_1^i - x_2^i)(x_1^i - x_2^i) = -\bar{z}(x_2, x_1).
$$
 (3.12)

Equivalently, we can write

$$
\exp\left(iS[e^{\epsilon^i M_{i+1}}\varphi]\right) = e^{iS[\varphi]} \prod_{a=1}^M \left(\frac{\overline{\mathcal{M}_{\epsilon}(x_a)}}{\mathcal{M}_{\epsilon}(x_a)}\right)^{k n_a}.
$$
\n(3.13)

Similarly, we find

$$
S[e^{\epsilon K_+}\varphi] = S[\varphi] - ik \sum_{a=1}^{M} n_a \log \left(\frac{1 - 2\epsilon \bar{z}(x_a, 0)}{1 - 2\epsilon z(x_a, 0)} \right), \qquad (3.14)
$$

or equivalently,

$$
\exp\left(iS[e^{\epsilon K_{+}}\varphi]\right) = e^{iS[\varphi]} \prod_{a=1}^{M} \left(\frac{1 - 2\epsilon\bar{z}(x_a,0)}{1 - 2\epsilon z(x_a,0)}\right)^{k n_a}.
$$
\n(3.15)

Note that the multiplicative factors appearing on the right hand side of [\(3.13\)](#page-13-1) and [\(3.15\)](#page-13-2) generically have branch points. This suggests that there may exist closed loops in configurations space, around which e^{iS} picks up a non-trivial phase. The existence of such loops would thus signal a failure of single-valuedness of e^{iS} as a functional on configuration space. This will be explored in section [3.6.](#page-21-0)

3.2 Quantum recovery

We now consider the fate of our $\mathfrak{su}(1,3)$ symmetry in the corresponding quantum theory. Despite the non-invariance of the action, we find a set of Ward-Takahashi identities satisfied by all correlation functions of the theory.

Such identities are of the usual form, in particular involving the divergence of some vector current; the Noether current for the respective symmetry. The derivation of such *local* Ward-Takahashi identities and corresponding currents is left until section [3.4.](#page-17-0) We first derive the corresponding *global* identities — also obtainable by integrating their local counterparts over \mathbb{R}^5 — directly, so as to elucidate the quantum recovery of the theory's symmetries most straightforwardly.

First suppose we forbid instanton insertions, and define the configuration space of the theory to have globally regular field strength *F*. We can then formally define correlation functions of operators $\Phi^{(1)}, \ldots, \Phi^{(N)}$ by the path integral

$$
\langle \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) \rangle = \int D\varphi \, \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) e^{iS[\varphi]}, \qquad (3.16)
$$

where, as above, we use φ to denote the fields X^I, A, Ψ, G_{ij} of the theory, and the $\Phi^{(a)}$ are generically composite functions of φ and their derivatives. The partition function is $\mathcal{Z} = \langle 1 \rangle$.

Symmetries are then realised by Ward-Takahashi identities for correlations functions. Under some SU(1,3) transformation *g*, we have transformed fields $\varphi' = g\varphi$. Making use of the fact that $S[\varphi'] = S[\varphi]$, and assuming $D\varphi' = D\varphi$, we have

$$
\langle \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) \rangle = \int D\varphi \, \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) e^{iS[\varphi]}
$$

$$
= \int D\varphi' \, \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) e^{iS[\varphi']}
$$

$$
= \int D\varphi \, \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) e^{iS[\varphi]}
$$

$$
= \langle \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) \rangle, \qquad (3.17)
$$

where viewing $\Phi[\varphi]$ as a composite function of the fields φ , we have $\Phi' = \Phi[\varphi']$. This is the global Ward-Takahashi identity for the symmetry *g*. We can equivalently write the infinitesimal form,

$$
\sum_{a=1}^{N} \left\langle \Phi^{(1)}(x_1) \dots \delta_G \Phi^{(a)}(x_a) \dots \Phi^{(N)}(x_N) \right\rangle = 0, \qquad (3.18)
$$

for each $G \in \mathfrak{su}(1,3)$.

Let us now consider what changes when we allow for instanton insertions. The configuration space of the theory is now the disjoint union of subspaces on which we specify instanton insertions $\{(x_a, n_a)\}\$. Hence, in calculating the correlation function of a set of operators Φ_a , we must also specify which of these subspaces we perform the path integral over. Further, within each of these subspaces we encounter a number of zero modes, undamped by the path integral. The bosonic zero modes correspond simply to the space of gauge-inequivalent instantonic gauge field configurations as discussed above, while we also generically expect fermionic zero modes in each such background. We should therefore also specify gauge field and fermionic boundary conditions in a neighbourhood of each *xa*.

This leads us to define

$$
\left\langle \Phi^{(1)}(x_1)\dots\Phi^{(N)}(x_N) \right\rangle_{\{(x_a,n_a),q_a\}} := \int_{\{(x_a,n_a),q_a\}} D\varphi \, \Phi^{(1)}(x_1)\dots\Phi^{(N)}(x_N) e^{iS[\varphi]}.
$$
 (3.19)

Here, the path integral is performed only over configurations φ with instanton insertions $\{(x_a, n_a)\}_{a=1}^N$. We additionally include formal multi-indices q_a which specify asymptotic field behaviour in a neighbourhood of the *xa*, corresponding to the bosonic and fermionic instanton moduli as mentioned above, and about which we will have more to say in section [3.3.](#page-15-0) Note, the operator insertion points are the same as the instanton insertion points, denoted *xa*. This is done without loss of generality, since we allow for any of the operators $\Phi^{(a)}$ to be the identity operator 1, and we allow any of the n_a to vanish.

Next, consider some SU(1*,* 3) transformation *g*, with corresponding transformed fields $\varphi'(x) = g\varphi(x)$. If φ has instanton insertions $\{(x_a, n_a)\}$, then by [\(3.7\)](#page-12-1) we have that $g\varphi$ has instanton insertions $\{(x_aq, n_a)\}\$. It is important to note that this then induces a right group

action of SU(1,3) on the boundary data q_a . In particular, if the fields φ have instanton insertions $\{(x_a, n_a)\}\$ with boundary data q_a , we can define $q_a g$ as the boundary data of $g\varphi$ near *xag*. Hence, again assuming no non-trivial Jacobian factor, we have

$$
\int_{\{(x_a g^{-1}, n_a), q_a g^{-1}\}} D\varphi = \int_{\{(x_a, n_a), q_a\}} D\varphi'.
$$
\n(3.20)

Then, consider in particular *g* lying in the subgroup of $SU(1,3)$ generated by $\{P_-, P_i, B, C^{\alpha}, T\}$, for which we additionally have $S[\varphi'] = S[\varphi]$. We have then

$$
\langle \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) \rangle_{\{(x_a g^{-1}, n_a), q_a g^{-1}\}} = \int_{\{(x_a g^{-1}, n_a), q_a g^{-1}\}} D\varphi \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) e^{iS[\varphi]}
$$

\n
$$
= \int_{\{(x_a, n_a), q_a\}} D\varphi' \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) e^{iS[\varphi]}
$$

\n
$$
= \int_{\{(x_a, n_a), q_a\}} D\varphi' \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) e^{iS[\varphi']}
$$

\n
$$
= \int_{\{(x_a, n_a), q_a\}} D\varphi \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) e^{iS[\varphi]}
$$

\n
$$
= \langle \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) \rangle_{\{(x_a, n_a), q_a\}}, \qquad (3.21)
$$

which is a generalisation of (3.17) .

We now consider the rest of $SU(1,3)$. The only difference here is that we no longer necessarily have $S[\varphi'] = S[\varphi]$. First consider $g = \exp(\epsilon^i M_{i+})$. Then, we have

$$
\langle \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) \rangle_{\{(x_a g^{-1}, n_a), q_a g^{-1}\}} \n= \int_{\{(x_a g^{-1}, n_a), q_a g^{-1}\}} D\varphi \, \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) e^{iS[\varphi]} \n= \int_{\{(x_a, n_a), q_a\}} D\varphi' \, \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) e^{iS[\varphi]} \n= \prod_{a=1}^N \left(\frac{\overline{\mathcal{M}_{-\epsilon}(x_a)}}{\mathcal{M}_{-\epsilon}(x_a)} \right)^{k n_a} \langle \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) \rangle_{\{(x_a, n_a), q_a\}}.
$$
\n(3.22)

Following the same steps, for $g = \exp(\epsilon K_+)$ we have

$$
\langle \Phi^{(1)'}(x_1) \dots \Phi^{(N)'}(x_N) \rangle_{\{(x_a g^{-1}, n_a), q_a g^{-1}\}}\n= \prod_{a=1}^N \left(\frac{1 + 2\epsilon \bar{z}(x_a, 0)}{1 + 2\epsilon z(x_a, 0)} \right)^{k n_a} \langle \Phi^{(1)}(x_1) \dots \Phi^{(N)}(x_N) \rangle_{\{(x_a, n_a), q_a\}}.
$$
\n(3.23)

Hence, through [\(3.22\)](#page-15-1) and [\(3.23\)](#page-15-2) we find that in the quantum theory, we still have global Ward-Takahashi identities corresponding to M_{i+}, K_{+} . But these identities are deformed from the naive result [\(3.17\)](#page-14-0), which holds only in an absence of instanton insertions.

3.3 An alternative perspective, and instanton operators

Before moving on to find the more general local counterparts to these Ward-Takahashi identities, let us describe an equivalent but nonetheless useful notation we may use to denote instanton insertions in the quantum theory. This reformulation, in terms of *instanton operators*,

will in particular allow for a compact infinitesimal form of (3.21) – (3.23) , while also making contact with previous work in Lorentzian Yang-Mills theories in five dimensions [\[19–](#page-37-2)[21\]](#page-37-3).

In the previous section, we chose to introduce the notion of instanton insertions in the quantum theory by specifying the path integration domain. At least at a formal level, we could instead have expanded the space of operators in the theory. Let us denote by $\Phi_n(x)$ a local operator which, in addition to carrying some representation of SU(1*,* 3), also carries charge under the U(1) topological current $tr(F \wedge F)$. In detail, such an operator satisfies

$$
\left\langle \left(\frac{1}{8\pi^2} \int_{S^4(x)} \text{tr}(F \wedge F) \right) \Phi_n(x) \dots \right\rangle = \left\langle n\Phi_n(x) \dots \right\rangle \tag{3.24}
$$

for some $n \in \mathbb{Z}$, where here $S^4(x)$ is a 4-sphere surrounding x, sufficiently small such that it does not enclose any other insertions.

However, if we are now to reproduce the path integral manipulations that lead to the Ward-Takahashi identities (3.21) – (3.23) , we need some prescription for how such topologically charged operators are constructed in practise in terms of the fields of the theory.

In analogy with monopole operators appearing in three-dimensional gauge theory [\[28\]](#page-37-10), one can construct the operators Φ_n through the introduction of disorder operators known as instanton operators $[19-21]$ $[19-21]$. Then, the inclusion in the path integral of some instanton operators $\mathcal{I}_n^{\{q\}}(x)$ is defined in terms of our previous notation by

$$
\int D\varphi \; \mathcal{I}_{n_1}^{\{q_1\}}(x_1) \dots \mathcal{I}_{n_N}^{\{q_N\}}(x_N) \Big(\dots \Big) = \int_{\{(x_a, n_a), q_a\}} \Big(\dots \Big) \tag{3.25}
$$

In particular, this path integral vanishes identically unless $\sum_{a} n_a = 0$.

It is natural at this point to say a little more about the formal index q , and in particular its interpretation in canonical quantisation. In some quantisation of the theory, $\mathcal{I}_n^{\{q\}}$ is the creation operator of an instanton-particle. Precisely what state is created is specified by the index *q*. In a pure gauge theory, this index would correspond to the physical (as opposed to gauge-redundant) collective coordinates of an *n*-instanton on $S⁴$ in $SU(N_c)$, which although complicated are accessible by virtue of the ADHM construction [\[27\]](#page-37-9). However, in a theory with fermions such as the theory considered here, we generically have fermion zero modes in an instanton background, giving rise to a degenerate ground state. Thus, in acting with $\mathcal{I}_n^{\{q\}}$ on the vacuum, we need the index *q* to specify which of these vacuum states is created. The full classification of these fermion zero modes, and thus a precise formulation of the index q, has been achieved for the case of a single $SU(N_c)$ instanton [\[20\]](#page-37-11), providing $\mathcal{I}_{\pm 1}^{\{q\}}$ $\mathcal{L}^{(q)}_{\pm 1}$. A more general treatment remains an important open problem in five-dimensional gauge theory. For the purposes of this paper, it is sufficient to assume the existence of such a complete formulation.

The SU(1, 3) transformation properties of $\mathcal{I}_n^{\{q\}}$ are then induced by that of the spacetime and boundary data *q*, with $\mathcal{I}_n^{\{q\}'}(x) = g\mathcal{I}_n^{\{q\}}(x) := \mathcal{I}_n^{\{qg^{-1}\}}(xg^{-1})$. The change in path integral measure (3.20) is hence recast simply as

$$
\int D\varphi \, \mathcal{I}_{n_1}^{\{q_1\}'}(x_1) \mathcal{I}_{n_2}^{\{q_2\}'}(x_2) \dots \mathcal{I}_{n_N}^{\{q_N\}'}(x_N) \Big(\dots \Big) = \int D\varphi' \, \mathcal{I}_{n_1}(x_1) \mathcal{I}_{n_2}(x_2) \dots \mathcal{I}_{n_N}(x_N) \Big(\dots \Big) \, . \tag{3.26}
$$

With such a formulation in place, we can now build an operator carrying instanton charge, as

$$
\Phi_n(x) = \mathcal{I}_n^{\{q\}}(x)\Phi(x) \tag{3.27}
$$

where $\Phi = \Phi[\varphi]$ is once again simply some composite function of the fields φ and their derivatives.

Then, $\Phi_n(x)$ transforms in a representation of SU(1,3), which is a tensor product of the representations of $\mathcal{I}_n^{\{q\}}$ and $\Phi(x)$. Given some $g \in SU(1,3)$, we have $\Phi'_n(x) = g\Phi_n(x)$ $\mathcal{I}_n^{\{qg^{-1}\}}(xg^{-1})(g\Phi)(x) = \Phi_n(x) + \epsilon \delta_G \Phi_n(x)$ where $g = e^{\epsilon G}$. In particular, $\delta_G \Phi_n(x)$ as always takes the form $\delta_G \Phi_n(x) = -G_\partial \Phi_n(x) - r_G(x) \Phi_n(x)$ for differential operator G_∂ and matrix *r*_{*G*}(*x*), and defines a representation of $\mathfrak{su}(1,3)$. Note, we define, for instance, $\partial_i \mathcal{I}_n^{\{q\}}(x)$ by requiring $\langle \partial_i \mathcal{I}_n^{\{q\}} \rangle = \partial_i \langle \mathcal{I}_n^{\{q\}} \rangle$. Further, as with fields, for the sake of later notations convenience we trivially define $\delta_{P_+} \mathcal{I}_n^{\{q\}}(x) = 0$, so that Φ_n sits in a representation of $\mathfrak{h} = \mathfrak{u}(1) \oplus \mathfrak{su}(1,3)$ in which P_+ is trivially represented.

We can then reproduce each of the Ward-Takahashi identity derivations of the previous section, with for instance the manipulation from the first to second line of (3.21) being now of the form [\(3.26\)](#page-16-0). We thus arrive at simply

$$
\langle \Phi_{n_1}^{(1)'}(x_1) \dots \Phi_{n_N}^{(N)'}(x_N) \rangle = \langle \Phi_{n_1}^{(1)}(x_1) \dots \Phi_{n_N}^{(N)}(x_N) \rangle, \qquad (3.28)
$$

when *g* lies in the subgroup of $SU(1,3)$ generated by $\{P_-, P_i, B, C^{\alpha}, T\}$, or infinitesimally,

$$
\sum_{a=1}^{N} \left\langle \delta_G \Phi_{n_a}^{(a)}(x_a) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle = 0.
$$
 (3.29)

For transformations generated by the remaining two generators $G = M_{i+1}$, K_{+} we have

$$
\langle \Phi_{n_1}^{(1)'}(x_1) \dots \Phi_{n_N}^{(N)'}(x_N) \rangle = \prod_{a=1}^N \left(\frac{\overline{\mathcal{M}_{-\epsilon}(x_a)}}{\mathcal{M}_{-\epsilon}(x_a)} \right)^{k n_a} \langle \Phi_{n_1}^{(1)}(x_1) \dots \Phi_{n_N}^{(N)}(x_N) \rangle, \tag{3.30}
$$

and

$$
\langle \Phi_{n_1}^{(1)'}(x_1)\dots \Phi_{n_N}^{(N)'}(x_N)\rangle = \prod_{a=1}^N \left(\frac{1+2\epsilon\bar{z}(x_a,0)}{1+2\epsilon z(x_a,0)}\right)^{kn_a} \langle \Phi_{n_1}^{(1)}(x_1)\dots \Phi_{n_N}^{(N)}(x_N)\rangle, \qquad (3.31)
$$

respectively. These then have the infinitessimal forms

$$
\sum_{a=1}^{N} \left\langle \left(\delta_{M_{i+}} + i k n_a x_a^i \right) \left(\Phi_{n_a}^{(a)}(x_a) \right) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle = 0,
$$
\n
$$
\sum_{a=1}^{N} \left\langle \left(\delta_{K_+} + i k n_a x_a^i x_a^i \right) \left(\Phi_{n_a}^{(a)}(x_a) \right) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle = 0.
$$
\n(3.32)

3.4 Local Ward-Takahashi identities

Having now seen that symmetry is restored in the quantum theory, in which Ward-Takahashi identities are deformed in the presence of instanton operators, let us now present the much more general *local* Ward-Takahashi identities. These will in particular determine the corresponding Noether currents.

We derive the identities following the standard procedure. We consider the variation of correlation functions under a broader class of transformations, in which the $\mathfrak{su}(1,3)$ variations are allowed to vary locally according to some function $\epsilon(x)$. Note however that $\epsilon(x)$ must still be approximately constant in a neighbourhood of the points x_a , to ensure that the resulting transformations still map into the extended configuration space. Then, taking the functional derivative with respect to $\epsilon(x)$ of the resulting expression, for each $G \in \mathfrak{su}(1,3)$ we arrive at

$$
-i\left\langle \mathcal{W}_G(x)\prod_{a=1}^N \Phi_{n_a}^{(a)}(x_a) \right\rangle = \star \sum_{a=1}^N \delta^{(5)}(x-x_a) \left\langle \delta_G \Phi_{n_a}^{(a)}(x_a) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle. \tag{3.33}
$$

Note, we have for simplicity restricted to operators $\Phi_{n_a}^{(a)}$ that depend only on the fields A, X^I, Ψ and not their derivatives. More generally, one would find additional terms one the right-hand side of the form *∂* (contact term).

For $G \in \{P_-, P_i, B, C^{\alpha}, T\}$, the top forms \mathcal{W}_G are given by

$$
\mathcal{W}_G = d \star J_G, \qquad (3.34)
$$

for Noether currents J_G . Once again, the story is different for M_{i+}, K_+ , for which we find

$$
\mathcal{W}_{M_{i+}} = d \star J_{M_{i+}} + x^i d \left(\frac{k}{8\pi^2} \text{tr} \left(F \wedge F \right) \right)
$$

= $d \star J_{M_{i+}} + k \star \sum_{a=1}^N n_a x_a^i \delta^{(5)} (x - x_a),$ (3.35)

and

$$
\mathcal{W}_{K_{+}} = d \star J_{K_{+}} + x^{i} x^{i} d\left(\frac{k}{8\pi^{2}} \text{tr}\left(F \wedge F\right)\right)
$$

$$
= d \star J_{K_{+}} + k \star \sum_{a=1}^{N} n_{a} x_{a}^{i} x_{a}^{i} \delta^{(5)}(x - x_{a}). \tag{3.36}
$$

The explicit forms of the Noether currents J_G can be found in appendix **B**.

It is natural then to reorganise terms in (3.33) for $G = M_{i+}, K_+$, to bring the set of Ward-Takahashi identities to a more familiar form. We have

$$
- i d \star \left\langle J_G(x) \prod_{a=1}^N \Phi_{n_a}^{(a)}(x_a) \right\rangle = \star \sum_{a=1}^N \delta^{(5)}(x - x_a) \left\langle \tilde{\delta}_G \Phi_{n_a}^{(a)}(x_a) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle, \tag{3.37}
$$

where the new variations $\tilde{\delta}_G$ act as

$$
\begin{aligned}\n\tilde{\delta}_G \Phi_n(x) &= \delta_G \Phi_n(x) \quad \text{for } G \in \{P_-, P_i, B, C^\alpha, T\}, \\
\tilde{\delta}_{M_{i+}} \Phi_n(x) &= \delta_{M_{i+}} \Phi_n(x) + iknx^i \Phi_n(x), \\
\tilde{\delta}_{K_+} \Phi_n(x) &= \delta_{K_+} \Phi_n(x) + iknx^i x^i \Phi_n(x).\n\end{aligned} \tag{3.38}
$$

Then, by integrating (3.37) over \mathbb{R}^5 and taking suitable boundary conditions on S^4_{∞} , we recover the global Ward-Takahashi identities [\(3.29\)](#page-17-1) and [\(3.32\)](#page-17-2), written compactly in terms of the $\tilde{\delta}_G$ as

$$
\sum_{a=1}^{N} \left\langle \tilde{\delta}_G \Phi_{n_a}^{(a)}(x_a) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle = 0.
$$
 (3.39)

Let us summarise our findings so far. The classical theory admitted an $SU(1,3)$ spacetime symmetry in the absence of instanton insertions. The corresponding infinitesimal variation of fields is denoted δ_G for each $G \in \mathfrak{su}(1,3)$, which form a representation of $\mathfrak{su}(1,3)$ when acting on the gauge field *A* and matter fields X^I , Ψ . We extended this to include a variation δ_{P_+} that acts trivially on all fields $\delta_{P_+}\varphi = 0$, and in this way realised the $\{\delta_G\}$ as a representation of \mathfrak{h} , with brackets $[\delta_{G_1}, \delta_{G_2}] = \delta_{[G_1, G_2]}$ as in (2.4) – (2.6) .

We found that this symmetry was broken in the classical theory in the presence of instanton operators. However, this breaking is local to the instanton insertion points x_a , and thus the resulting Ward-Takahashi identities in the quantum theory could nonetheless be written in the standard form (3.37) in terms of Noether currents J_G . Integrating these local identities over \mathbb{R}^5 , we recovered the infinitesimal form of the global Ward-Takahashi identities [\(3.29\)](#page-17-1)–[\(3.32\)](#page-17-2).

The Ward-Takahashi identities (3.37) are written not in terms of our original variations *δ_G*, but instead in terms of variations $\tilde{\delta}_G$, which we have defined for each $G \in \mathcal{B}$ ${P_-, P_i, B, C^\alpha, T, M_{i+}, K_+}$. In particular, they differ from the δ_G for $G = M_{i+}, K_+$ when acting on operators carrying non-zero instanton charge, as in [\(3.38\)](#page-18-2).

We are then lead to ask: are the $\{\tilde{\delta}_G\}_{G \in \mathcal{B}}$ the generators of a representation of $\mathfrak{su}(1,3)$ under commutation, like the δ_G are? The answer is in fact *no*. In particular, we find a *single* commutator that does not close on $\mathfrak{su}(1,3)$, which is

$$
[\tilde{\delta}_{M_{i+}}, \tilde{\delta}_{P_j}] \Phi_n(x) = \left(-\frac{1}{2} \Omega_{ij} \tilde{\delta}_T - 2 \delta_{ij} \tilde{\delta}_B + \Omega_{ik} \eta_{jk}^I \tilde{\delta}_{C^\alpha} - ik \,\delta_{ij} n \right) \Phi_n(x) \,. \tag{3.40}
$$

Suppose however that we define a new variation $\tilde{\delta}_{P_+}$ that acts as

$$
\tilde{\delta}_{P_+}\Phi_n(x) = ikn\,\Phi_n(x). \tag{3.41}
$$

Equivalently, we have that no fields in the theory are charged under $\tilde{\delta}_{P_+}$, but instanton operators transform as $\tilde{\delta}_{P_+} \mathcal{I}_n^{\{q\}}(x) = i k n \mathcal{I}_n^{\{q\}}(x)$. Then, we have

$$
[\tilde{\delta}_{M_{i+}}, \tilde{\delta}_{P_j}] \Phi_n(x) = \left(-\delta_{ij} \tilde{\delta}_{P_+} - \frac{1}{2} \Omega_{ij} \tilde{\delta}_T - 2 \delta_{ij} \tilde{\delta}_B + \Omega_{ik} \eta^I_{jk} \tilde{\delta}_{C^{\alpha}} \right) \Phi_n(x).
$$
 (3.42)

Then, by direct comparison with the algebra [\(2.4\)](#page-6-2), we find quite remarkably that the full set of variations $\{\tilde{\delta}_G\}_{\mathcal{B}\cup\{P_+\}}$ *do* generate a representation of h, with the operator Φ_n now carrying charge ikn under $\tilde{\delta}_{P_+}$. In other words, the operator Φ_n carries Kaluza-Klein momentum in an emergent sixth dimension.

We can then organise our space of operators into primaries and descendants of \mathfrak{h} [\[17\]](#page-37-0). In particular, if Φ_n is a primary operator then we have

$$
\tilde{\delta}_{P_{+}}(\mathcal{I}_{n}\Phi) = i k n (\mathcal{I}_{n}\Phi) ,
$$
\n
$$
\tilde{\delta}_{P_{-}}(\mathcal{I}_{n}\Phi) = -(P_{-})_{\partial} (\mathcal{I}_{n}\Phi) ,
$$
\n
$$
\tilde{\delta}_{P_{i}}(\mathcal{I}_{n}\Phi) = -(P_{i})_{\partial} (\mathcal{I}_{n}\Phi) ,
$$
\n
$$
\tilde{\delta}_{B}(\mathcal{I}_{n}\Phi) = -(B)_{\partial} (\mathcal{I}_{n}\Phi) - r_{\Phi}[B](\mathcal{I}_{n}\Phi) ,
$$
\n
$$
\tilde{\delta}_{C^{\alpha}}(\mathcal{I}_{n}\Phi) = -(C^{\alpha})_{\partial} (\mathcal{I}_{n}\Phi) - r_{\Phi}[C^{\alpha}](\mathcal{I}_{n}\Phi) ,
$$
\n
$$
\tilde{\delta}_{T}(\mathcal{I}_{n}\Phi) = -(T)_{\partial} (\mathcal{I}_{n}\Phi) - \Delta(\mathcal{I}_{n}\Phi) ,
$$
\n
$$
\tilde{\delta}_{M_{i+}}(\mathcal{I}_{n}\Phi) = -(M_{i+})_{\partial} (\mathcal{I}_{n}\Phi) - (\frac{1}{2}\Delta\Omega_{ij}x^{j} - iknx^{i} + 2x^{i}r_{\Phi}[B] - \Omega_{ik}\eta_{jk}^{\alpha}x^{j}r_{\Phi}[C^{\alpha}]) (\mathcal{I}_{n}\Phi) ,
$$
\n
$$
\tilde{\delta}_{K_{+}}(\mathcal{I}_{n}\Phi) = -(K_{+})_{\partial} (\mathcal{I}_{n}\Phi) - (2\Delta x^{-} - iknx^{i}x^{i} + 2x^{i}x^{i}r_{\Phi}[B] - x^{i}x^{j}\Omega_{ik}\eta_{jk}^{\alpha}r_{\Phi}[C^{\alpha}]) (\mathcal{I}_{n}\Phi) ,
$$
\n(3.43)

for scaling dimension Δ and spin $\{r_{\Phi}[B], r_{\Phi}[C^{\alpha}]\}.$

We can now extend the local Ward-Takahashi identity to read once again

$$
- i d \star \left\langle J_G(x) \prod_{a=1}^N \Phi_{n_a}^{(a)}(x_a) \right\rangle = \star \sum_{a=1}^N \delta^{(5)}(x - x_a) \left\langle \tilde{\delta}_G \Phi_{n_a}^{(a)}(x_a) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle, \tag{3.44}
$$

which now holds for all $G \in \mathcal{B} \cup \{P_+\}$, where we define

$$
J_{P_+} = -\frac{k}{8\pi^2} \star \text{tr}(F \wedge F) \ . \tag{3.45}
$$

It is indeed straightforward to see that for $G = P_+$, [\(3.44\)](#page-20-1) is satisfied trivially. Further, (3.44) holds for all $G \in \mathfrak{h}$ with $J_{G_1+G_2} = J_{G_1} + J_{G_2}$ and $J_{[G_1,G_2]} = \tilde{\delta}_{G_1} J_{G_2} - \tilde{\delta}_{G_2} J_{G_1}$ for all $G_1, G_2 \in \mathfrak{h}$. Integrating over \mathbb{R}^5 , we once again arrive at the global identities

$$
\sum_{a=1}^{N} \left\langle \tilde{\delta}_G \Phi_{n_a}^{(a)}(x_a) \prod_{b \neq a} \Phi_{n_b}^{(b)}(x_b) \right\rangle = 0, \qquad (3.46)
$$

which hold for all $G \in \mathfrak{h}$. We can equivalently write this in its finite form, as

$$
\langle \Phi_{n_1}^{(1)'}(x_1) \dots \Phi_{n_N}^{(N)'}(x_N) \rangle = \langle \Phi_{n_1}^{(1)}(x_1) \dots \Phi_{n_N}^{(N)}(x_N) \rangle , \qquad (3.47)
$$

where in this expression, $\Phi'_n(x) = \exp(\tilde{\delta}_G)\Phi_n(x)$. The explicit forms of these finitelytransformed operators can be found in [\[22\]](#page-37-4). Using these forms, it is in particular straightforward to then see that (3.47) reproduces the results (3.28) , (3.30) and (3.31) .

3.5 General solution to Ward-Takahashi identities

The algebra h, its representations and the solutions of the resulting Ward-Takahashi identities [\(3.46\)](#page-20-3) have already been studied extensively [\[17\]](#page-37-0). Thus we can readily apply those results here. For instance, if we consider a pair of scalar operators $\Phi_{n_1}^{(1)}, \Phi_{n_2}^{(2)}$ of the theory with scaling dimensions Δ_1, Δ_2 and instanton charge n_1, n_2 , respectively, the resulting 2-point function is fixed up to an overall constant. It is given by

$$
\langle \Phi_{n_1}^{(1)}(x_1)\Phi_{n_2}^{(2)}(x_2)\rangle = \delta_{\Delta_1,\Delta_2}\delta_{0,n_1+n_2}d(\Delta_1,n_1)\frac{1}{(z_{12}\bar{z}_{12})^{\Delta_1/2}}\left(\frac{z_{12}}{\bar{z}_{12}}\right)^{n_1},\tag{3.48}
$$

for some constant $d(\Delta_1, n_1)$, and $z_{12} = z(x_1, x_2) = -\bar{z}_{21}$ as defined in [\(3.12\)](#page-13-3).

One can then continue to find the general solution at *N*-points. This takes a form familiar from regular conformal field theory: a pre-factor which solves the inhomogeneous Ward-Takahashi identities, multiplied by an undetermined function *H* of su(1*,* 3) invariant combinations of coordinates. Explicitly, we have [\[17\]](#page-37-0)

$$
\langle \Phi_{n_1}^{(1)}(x_1) \dots \Phi_{n_N}^{(N)}(x_N) \rangle
$$

= $\delta_{0,n_1 + \dots + n_N} \left[\prod_{a < b}^N (z_{ab}\bar{z}_{ab})^{-\alpha_{ab}/2} \left(\frac{z_{ab}}{\bar{z}_{ab}} \right)^{(n_a - n_b)/N} \right] H \left(\frac{|z_{ab}| |z_{cd}|}{|z_{ac}| |z_{bd}|}, \frac{z_{ab} z_{bc} z_{ca}}{\bar{z}_{ab} \bar{z}_{bc} \bar{z}_{ca}} \right),$ (3.49)

where $z_{ab} = z(x_a, x_b)$. The constants $\alpha_{ab} = \alpha_{ba}$ satisfy $\sum_{b \neq a} \alpha_{ab} = \Delta_a$ for each $a = 1, \ldots, N$, and for a suitable choice of the function *H* can be taken to be

$$
\alpha_{ab} = \frac{1}{N-2} (\Delta_a + \Delta_b) - \frac{1}{(N-1)(N-2)} \sum_{a=1}^{N} \Delta_a \tag{3.50}
$$

for all $N > 3$.

The full set^{[6](#page-21-1)} of $\mathfrak{su}(1,3)$ -invariant objects fall into two categories; the familiar crossratios $|z_{ab}||z_{cd}|/|z_{ac}||z_{bd}|$ of which there are $N(N-3)/2$, and the more novel phases $z_{ab}z_{bc}z_{ca}/\bar{z}_{ab}\bar{z}_{bc}\bar{z}_{ca}$, of which there are $(N-1)(N-2)/2$. In particular, even at $N=3$ there is a single invariant phase, and thus in contrast to regular conformal field theory, the 3-point function is fixed only up to a function of one variable.

3.6 The quantisation of *k*

A necessary requirement that the Lagrangian [\(2.1\)](#page-4-2) gives rise to a well-defined quantum field theory is that $e^{iS[\varphi]}$ is a single-valued functional on the theory's configuration space. Such a constraint can have deep and subtle implications, especially in a theory with non-trivial topological sectors. Take for instance the three-dimensional Abelian Chern-Simons theory, whose action S_{CS} is not gauge invariant in the presence of monopole fluxes, and thus fails to be single-valued on configuration space — defined to be the space of fields modulo gauge transformations. Nonetheless, $e^{iS_{\text{CS}}}$ remains single-valued even in the presence of monopole fluxes, *provided* that the Chern-Simons level is quantised in the integers.

In this section, we will prove a comparable result for the theory defined by Lagrangian (2.1) . In detail, we will prove the following claim:

Take the configuration space to be a union over sectors of arbitrary instanton insertions. Then, a necessary condition such that $e^{iS[\varphi]}$ is single-valued on this configuration space is that $k \in \frac{1}{2}$ $rac{1}{2}\mathbb{Z}.$

 6 A proof that this list is exhaustive can be found in [\[22\]](#page-37-4).

Our proof is constructive, and in particular does not provide a complete picture of the global properties of the action as a functional on configuration space.

Let us outline the steps taken to demonstrate the claim. We will first define a oneparameter family of fields configurations $\varphi_{\gamma}, \gamma \in \mathbb{R}$. In particular, we demonstrate explicitly that this one-parameter family of configurations in fact defines a closed loop in configuration space; in other words, it satisfies $\varphi_{\gamma+2\pi} = \varphi_{\gamma}$, and so in particular $\varphi_{2\pi} = \varphi_0$.

Next, we will compute an explicit expression for $\exp(iS[\varphi_{\gamma}])$ as a function of $\exp(iS[\varphi_0])$. Using this, we find examples of closed loops such that

$$
\exp\left(iS[\varphi_{2\pi}]\right) = e^{4\pi ki} \exp\left(iS[\varphi_0]\right) \tag{3.51}
$$

Thus, $e^{iS[\varphi]}$ is generically a multi-valued functional on configuration space. Note, the construction of a loop satisfying [\(3.51\)](#page-22-0) will necessarily require that the configurations φ ^{*γ*} have non-trivial instanton insertions, and thus this phase ambiguity only arises when we allow for such non-trivial topological sectors. Hence, we find that for this particular loop in configuration space, $e^{iS[\varphi]}$ is single-valued only for $k \in \frac{1}{2}$ $\frac{1}{2}\mathbb{Z}$, thus proving the claim.

So let us now explicitly construct the closed loop in configuration space. Note that we have already computed the finite variation of the action under SU(1*,* 3) transformations, in particular finding rather suggestive forms [\(3.13\)](#page-13-1) and [\(3.15\)](#page-13-2) for the transformations under M_{i+} and K_{+} , respectively. As such, we can utilise these results — and thus simplify our calculations here — by seeking a closed loop in configuration space that lies within the SU(1*,* 3) orbits.

Let us then consider the following one-parameter family of $SU(1,3)$ elements,

$$
h(\gamma) = \exp\left[\gamma \left(\frac{1}{2}K_{+} + P_{-}\right)\right].
$$
\n(3.52)

Making use of the expressions in appendix B of $[15]$, one can show that if we take the $\mathfrak{su}(1,3)$ generators to lie in the fundamental representation, then $h(\gamma + 2\pi) = h(\gamma)$. Thus, $h(\gamma)$ defines closed loop in the fundamental representation of SU(1,3), of period 2π .

Next we want to consider the finite transformation of coordinates and fields under the SU(1,3) transformation $h(\gamma)$. Once again, we can make our lives easier by utilising known results. First, we can use the Baker-Campbell-Hausdorff formula to show that for all $\gamma \in (-\pi/2, \pi/2)$,

$$
h(\gamma) = \exp\left[\frac{1}{2}(\tan \gamma) K_+\right] \exp\left[(\sin \gamma \cos \gamma) P_-\right] \exp\left[\log(\sec \gamma) T\right]
$$

$$
= \exp\left[\log(\cos \gamma) T\right] \exp\left[(\sin \gamma \cos \gamma) P_-\right] \exp\left[\frac{1}{2}(\tan \gamma) K_+\right].
$$
(3.53)

Thus, we can compute the finite variation of coordinates and fields under $h(\gamma)$ by performing successive finite transformations under elements generated purely by *T, P*[−] and *K*+.

First let us consider the coordinates, for which the finite transformations under $e^{\epsilon T}$, $e^{\epsilon P_-}$ and e^{tK_+} can be found in appendix A of [\[22\]](#page-37-4). Then, we find

$$
\left(xh^{-1}(\gamma)\right)^{-} = \frac{\cos 2\gamma x^{-} - \frac{1}{2}\sin 2\gamma \left(1 - \left((x^{-})^{2} + \frac{1}{16}|\vec{x}|^{4}\right)\right)}{(\cos \gamma + \sin \gamma x^{-})^{2} + \frac{1}{16}\sin^{2}\gamma|\vec{x}|^{4}}
$$

$$
\left(xh^{-1}(\gamma)\right)^{i} = \frac{\cos \gamma x^{i} + \frac{1}{4}\sin \gamma (4x^{-}x^{i} - |\vec{x}|^{2}\Omega_{ij}x^{j})}{(\cos \gamma + \sin \gamma x^{-})^{2} + \frac{1}{16}\sin^{2}\gamma|\vec{x}|^{4}},
$$
(3.54)

which are valid for all $\gamma \in \mathbb{R}$. Note then that we do indeed have $xh^{-1}(\gamma + 2\pi) = xh^{-1}(\gamma)$, and so in particular $xh^{-1}(2\pi) = x$.

Let us now consider the orbits in configuration space generated by $h(\gamma)$. We take some starting configuration $\varphi = \{A, X^I, \Psi, G_{ij}\}$, and then consider the new configuration $h(\gamma)\varphi$ obtained by transforming by the $SU(1,3)$ element $h(\gamma)$. Explicitly,

$$
h(\gamma)\varphi = \{h(\gamma)A_-, h(\gamma)A_i, h(\gamma)X^I, h(\gamma)\Psi, h(\gamma)G_{ij}\}.
$$
\n(3.55)

The form of $h(\gamma)\varphi$ is then found by exponentiating the known infinitesimal variation of each field under $(\frac{1}{2})$ $\frac{1}{2}\delta_{K_{+}} + \delta_{P_{-}}$), as given in appendix [A.](#page-32-0) However, we should be cautious: it is a priori not clear that we have $h(\gamma + 2\pi)\varphi = h(\gamma)\varphi$, as for instance fields may lie in a projective representation of SU(1*,* 3).

It is straightforward to see that this is not the case for the gauge field $A = (A_-, A_i)$ and scalars X^I . For the gauge field, we can simply write down the form of the transformed fields, which take the standard form

$$
(h(\gamma)A_{-})(x) = \left[\partial_{-}(xh^{-1}(\gamma))\right]A_{-}(xh^{-1}(\gamma)) + \left[\partial_{-}(xh^{-1}(\gamma))\right]A_{i}(xh^{-1}(\gamma))
$$

$$
(h(\gamma)A_{i})(x) = \left[\partial_{i}(xh^{-1}(\gamma))\right]A_{-}(xh^{-1}(\gamma)) + \left[\partial_{i}(xh^{-1}(\gamma))\right]A_{j}(xh^{-1}(\gamma)) . \quad (3.56)
$$

Then the 2π periodicity of $xh(\gamma)^{-1}$ as seen in [\(3.54\)](#page-23-0) ensures that we do indeed have $h(\gamma + 2\pi)A = h(\gamma)A$. Since our task is to exhibit a closed path in configuration space under which the action is not invariant it is enough to set the scalars and Fermions to zero, since if X^I , $\Psi = 0$ then $h(\gamma)X^I$, $h(\gamma)\Psi = 0$ for all γ .

Finally, we need to address the Lagrange multiplier field G_{ij} . As previously mentioned, the infinitesimal variation of G_{ij} under the generators of $SU(1,3)$ is somewhat subtle, and in particular we do not know a closed form for its finite variation. However, we can work around this issue in the following way. Our ultimate aim is to compute $S[h(\gamma)\varphi]$ as a function on $S[\varphi]$. Now recall, the Lagrangian [\(2.1\)](#page-4-2) depends on G_{ij} only through the term $G_{ij}\mathcal{F}_{ij} = G_{ij}\mathcal{F}_{ij}^+$, with $\mathcal{F}_{ij}^+ = \frac{1}{2}$ $\frac{1}{2}\left(\mathcal{F}_{ij}+\frac{1}{2}\right)$ $\frac{1}{2}\varepsilon_{ijkl}\mathcal{F}_{kl}$. So let us suppose that the gauge field *A* of our starting configuration φ satisfies $\mathcal{F}_{ij}^+ = 0$, and thus $S[\varphi]$ is independent of G_{ij} . Then, crucially, the constraint $\mathcal{F}_{ij}^+ = 0$ is an $SU(1,3)$ invariant: for all $g \in SU(1,3)$, if *A* satisfies $\mathcal{F}_{ij}^+ = 0$ then so does the transformed field *gA*. Hence, we have that for all γ , $S[h(\gamma)\varphi]$ is independent of G_{ii} .

Thus, making contact with the language of the above proof outline, let us define the starting configuration $\varphi_0 = \{A, X^I = 0, \Psi = 0, G_{ij}\}\$, while the orbit in configuration space is defined to be

$$
\varphi_{\gamma} = \{h(\gamma)A, X^{I} = 0, \Psi = 0, G_{ij}\}.
$$
\n(3.57)

In particular, we do not transform G_{ij} . Then, we have already shown that $\varphi_{\gamma+2\pi} = \varphi_{\gamma}$ as desired.

Finally, we are ready to compute $S[\varphi_\gamma]$. First note that, following the discussion above, the fact that the configuration φ satisfies the constraint $\mathcal{F}^+_{ij} = 0$ ensures that we have $S[\varphi_{\gamma}] = S[h(\gamma)\varphi]$. We can then leverage the factorisation [\(3.53\)](#page-22-1) along with the finite K_{+} transformation (3.15) to simply write down

$$
\exp\left(iS[\varphi_{\gamma}]\right) = e^{iS[\varphi]} \prod_{a=1}^{N} u_{\gamma}(x_a; n_a), \qquad (3.58)
$$

where

$$
u_{\gamma}(x;n) = \left(\frac{\cos\gamma - \sin\gamma\,\bar{z}(x,0)}{\cos\gamma - \sin\gamma\,z(x,0)}\right)^{kn},\qquad(3.59)
$$

and the configuration φ_{γ} has instanton insertions $\{(x_a, n_a)\}_{a=1}^N$. We remind the reader that $z(x_1, x_2)$ is defined in (3.12) .

We can now ask what happens as we pass from $\gamma = 0$ through to $\gamma = 2\pi$. Then, for all $x = (x^-, \vec{x})$ with $\vec{x} \neq \vec{0}$, we find that as we pass from $\gamma = 0$ to $\gamma = 2\pi$, the combination $(\cos \gamma - \sin \gamma \bar{z}(x, 0))$ encircles the origin of the complex plane clockwise precisely once. Thus, we have

$$
u_{\gamma+2\pi}(x;n) = e^{4\pi k n i} u_{\gamma}(x;n).
$$
\n(3.60)

Conversely, if $\vec{x} = \vec{0}$, then $u_{\gamma}(x; n) = 1$ for all γ , and thus trivially $u_{\gamma+2\pi}(x; n) = u_{\gamma}(x; n)$.

Suppose first then that all instanton insertions $\{(x_a, n_a)\}\$ of the starting configuration φ lie away from the spatial origin, i.e. $\vec{x}_a \neq \vec{0}$ for all $a = 1, \ldots, N$. Then, we have

$$
\exp\left(iS[\varphi_{2\pi}]\right) = \exp\left(4\pi ki \sum_{a=1}^{N} n_a\right) e^{iS[\varphi]} = e^{iS[\varphi]},\tag{3.61}
$$

since $\sum_{a} n_a = 0$. Something special happens, however, if any of the instanton insertions lie at the spatial origin. In particular, taking a single insertion at the origin with charge -1 , we find

$$
\exp\left(iS[\varphi_{2\pi}]\right) = e^{4\pi ki}e^{iS[\varphi]},\tag{3.62}
$$

as promised in the outline above. More generally, if the sum of the charges of all instanton insertions at the origin is $m \in \mathbb{Z}$, the relevant phase factor is $e^{-4\pi k m i}$, and thus the above case is in this sense minimal. Thus, from (3.62) we find that a necessary condition such that $e^{iS[\varphi]}$ is single-valued on configuration space is that *k* takes values in the half-integers, $k \in \frac{1}{2}$ $\frac{1}{2}\mathbb{Z}.$

Next in section [4](#page-25-0) we will require $k \in \mathbb{Z}$ in order that the theory admits a six-dimensional interpretation. It is unclear whether any such novel interpretation holds when $k \in \{\frac{1}{2}, \frac{3}{2}$ $\frac{3}{2}, \dots \}$ or whether a more refined argument to the one above, perhaps by including fermions, can be made to exclude these cases.

4 Reconstructing six dimensions

We have seen that the five-dimensional path integral based on the Lagrangian (2.1) leads to a theory with an $SU(1,3) \times U(1)$ symmetry that acts non-trivially on a Kaluza-Klein-like tower of operators obtained by inserting instantons. Furthermore the associated Ward-Takahashi identities are naturally solved by the Fourier modes of a conformally compactified six-dimensional conformal field theory with the role of Kaluza-Klein momentum replace by instanton number. Thus we now would like to reconstruct the correlators of a six-dimensional theory from the five-dimensional path integral.

Owing to the 2π interval over which x^+ runs, such an interpretation requires the eigenvalues of P_+ to take discrete integer values [\[17\]](#page-37-0). This is indeed the case, so long as $k \in \mathbb{Z}$. Then, $\tilde{\delta}_{P_+} \Phi_n(x) = i k n \Phi_n(x)$, which precisely identifies $\mathcal{I}_n(x) \Phi(x)$ as the (kn) th Fourier mode of some six-dimensional operator. In particular, a choice of *k* = 1 allows for the realisation of the full spectrum of Fourier modes on the conformal compactification, while higher *k* corresponds to a \mathbb{Z}_k orbifold thereof.

We can now form a coherent state of Fourier modes, and so define the notion of a six-dimensional operator in our theory. We can then ask when such operators can be interpreted as those of a six-dimensional conformal field theory.

4.1 Constructing six-dimensional observables

Given a collection of local operators ${\{\Phi_n(x)\}}_{n\in\mathbb{Z}}$, we are lead to define six-dimensional operator

$$
\mathcal{O}(x^+, x^-, x^i) := \sum_{n \in \mathbb{Z}} e^{-iknx^+} \Phi_n(x^-, x^i), \tag{4.1}
$$

for some new coordinate x^+ . Then, we have

$$
\tilde{\delta}_{P_+}\mathcal{O}(x^+,x^-,x^i) = -\frac{\partial}{\partial x^+}\mathcal{O}(x^+,x^-,x^i)\,,\tag{4.2}
$$

and so P_+ is identified as translations along an emergent sixth dimension.^{[7](#page-25-2)}

Indeed, it is straightforward to go a step further, and show that for generic $G \in \mathfrak{h}$, we have $\tilde{\delta}_G \mathcal{O}(x) = -G_{\partial}^{\text{6d}} \mathcal{O}(x) - r^{\text{6d}}(x) \mathcal{O}(x)$, where as usual r^{6d} acts on any indices of \mathcal{O} , while the six-dimensional vector fields G_{∂}^{6d} form precisely the algebra of conformal Killing vector fields of six-dimensional Minkowski space which commute with $(P_+)_\partial^{6d} = \partial_+$. Explicitly, these are

$$
(P_{+})^{\text{6d}}_{\partial} = \partial_{+},
$$

\n
$$
(P_{-})^{\text{6d}}_{\partial} = \partial_{-},
$$

\n
$$
(P_{i})^{\text{6d}}_{\partial} = \frac{1}{2} \Omega_{ij} x^{j} \partial_{-} + \partial_{i},
$$

\n
$$
(B)^{\text{6d}}_{\partial} = -\frac{1}{2} \Omega_{ij} x^{i} \partial_{j},
$$

⁷Note, the sign here is consistent with the convention used to define the $\tilde{\delta}_G$, since for instance we had $\tilde{\delta}_P \cdot \mathcal{O}(x) = -\partial_-\mathcal{O}(x).$

$$
(C^{I})_{\partial}^{6d} = \frac{1}{2} \eta_{ij}^{I} x^{i} \partial_{j},
$$

\n
$$
(T)_{\partial}^{6d} = 2x^{-} \partial_{-} + x^{i} \partial_{i},
$$

\n
$$
(M_{i+})_{\partial}^{6d} = x^{i} \partial_{+} + \left(\frac{1}{2} \Omega_{ij} x^{-} x^{j} - \frac{1}{8} x^{j} x^{j} x^{i}\right) \partial_{-} + x^{-} \partial_{i} + \frac{1}{4} (2 \Omega_{ik} x^{k} x^{j} + 2 \Omega_{jk} x^{k} x^{i} - \Omega_{ij} x^{k} x^{k}) \partial_{j},
$$

\n
$$
(K_{+})_{\partial}^{6d} = x^{i} x^{i} \partial_{+} + \left(2(x^{-})^{2} - \frac{1}{8} (x^{i} x^{i})^{2}\right) \partial_{-} + \left(\frac{1}{2} \Omega_{ij} x^{j} x^{k} x^{k} + 2x^{-} x^{i}\right) \partial_{i},
$$

\n(4.3)

as first derived in [\[15\]](#page-36-9).

Let us finally review how one can reconstruct operators defined on six-dimensional space with the standard Minkowski metric [\[17\]](#page-37-0). First, one must perform a Weyl rescaling in order to arrive at operators $\hat{\mathcal{O}}$,

$$
\hat{\mathcal{O}}(x) = \cos^{\hat{\Delta}}(x^{+}/2)\mathcal{O}(x)
$$

= $\cos^{\hat{\Delta}}(x^{+}/2) \sum_{n \in \mathbb{Z}} e^{-iknx^{+}} \Phi_{n}(x^{-}, x^{i}),$ (4.4)

where $\hat{\Delta}$ is the six-dimensional scaling dimension.^{[8](#page-26-0)} The prefactor corresponds to the non-trivial conformal factor relating the metric (2.3) to the Minkowski metric $ds_M²$, as $ds^2 = \cos^2(x^+/2)ds^2_{\text{M}}.$

One may then want to perform a coordinate transformation to standard coordinates \hat{x} of six-dimensional Minkowski space, such that $ds_M^2 = -2d\hat{x}^+d\hat{x}^- + d\hat{x}^i d\hat{x}^i$. These are related to the (x^+, x^-, x^i) by

$$
x^{+} = 2 \arctan\left(\frac{\hat{x}^{+}}{2}\right),
$$

\n
$$
x^{-} = \hat{x}^{-} - \frac{\hat{x}^{+} \hat{x}^{i} \hat{x}^{i}}{2(4 + (\hat{x}^{+})^{2})},
$$

\n
$$
x^{i} = \frac{4\left(\hat{x}^{i} + \frac{1}{2}\Omega_{ij}\hat{x}^{j}\hat{x}^{+}\right)}{4 + (\hat{x}^{+})^{2}}.
$$
\n(4.5)

Let us consider for example a scalar operator $\hat{\mathcal{O}}$ with six-dimensional scaling dimension Δ , for which this coordinate transformation is trivial. Following [\(4.1\)](#page-25-3), this should be built from modes Φ_n that are scalars of h, and which have Lifshitz scaling dimension $\Delta = \hat{\Delta}$. Then, we can write^{[9](#page-26-1)}

$$
\hat{\mathcal{O}}(\hat{x}) = \cos^{\Delta}(x^{+}/2)\mathcal{O}(x)
$$
\n
$$
= 2^{-\Delta} \left(e^{ix^{+}/2} + e^{-ix^{+}/2} \right)^{\Delta} \sum_{n \in \mathbb{Z}} e^{-iknx^{+}} \Phi_{n}(x^{-}, x^{i})
$$
\n
$$
= 2^{-\Delta} \sum_{n \in \mathbb{Z}} \sum_{l=0}^{\Delta} \binom{\Delta}{l} e^{i\Delta x^{+}/2 - i(kn+l)x^{+}} \Phi_{n}(x^{-}, x^{i})
$$
\n
$$
= 2^{-\Delta} \sum_{n \in \mathbb{Z}} \sum_{l=0}^{\Delta} \binom{\Delta}{l} \left(\frac{1 + i\hat{x}^{+}}{\sqrt{1 + (\hat{x}^{+})^{2}}} \right)^{\Delta - 2(kn+l)} \Phi_{n}(x^{-}(\hat{x}), x^{i}(\hat{x})). \tag{4.6}
$$

⁸Note, in general the operator $\hat{\mathcal{O}}$ constructed this way would not have definite eigenvalue under the six-dimensional dilatation. However, this can be guaranteed by ensuring that the $SU(1,3)$ representations of the Φ_n differ only by their charge under the central element P_+ .

⁹The binomial expansion from the second to third lines only holds for $\Delta \in \mathbb{Z}$.

Thus we have a way to construct six-dimensional operators out of five-dimensional ones. Furthermore in principle we can compute their correlation functions using the five-dimensional path integral viz.:

$$
\langle \hat{\mathcal{O}}^{(1)}(\hat{x}_1) \dots \hat{\mathcal{O}}^{(N)}(\hat{x}_N) \rangle = \cos^{\Delta_1}(x_1^+/2) \dots \cos^{\Delta_N}(x_N^+/2) \sum_{n_1, \dots, n_N \in \mathbb{Z}} e^{-ik(n_1 x_1^+ + \dots + n_N x_N^+)} \\
\times \langle \Phi_{n_1}^{(1)}(x_1^-, x_1^i) \dots \Phi_{n_N}^{(N)}(x_N^-, x_N^i) \rangle, \tag{4.7}
$$

again for six-dimensional scalars $\hat{\mathcal{O}}^{(a)}$ of scaling dimension $\hat{\Delta}_a = \Delta_a$. A generalisation to higher spin operators is conceptually straightforward, but we do not explore it here.

4.2 Topological Ward-Takahashi identities and the full conformal algebra

We have learnt that for $k \in \mathbb{Z}$, the theory (2.1) is able to describe Fourier modes on the x^+ interval of momentum kn for any $n \in \mathbb{Z}$. Such a mode Φ_n can in turn be in principle constructed by dressing a local operator of the theory with an instanton operator of charge *n*. Thus, for general $k \in \mathbb{Z}$, we may propose that the theory is a six-dimensional conformal field theory on the orbifold $\mathbb{R}^{1,5}/\mathbb{Z}_k$, where the \mathbb{Z}_k quotient acts on the x^+ interval as $x^+ \to x^+ + 2\pi/k$. This leads to a rather curious orbifold in terms of the familiar sixdimensional coordinates *x*ˆ. In general, such an orbifold breaks the full conformal algebra $\mathfrak{so}(2,6)$ precisely down to $\mathfrak{h} = \mathfrak{su}(1,3) \oplus \mathfrak{u}(1)$. We have shown that this is indeed the symmetry obeyed by the theory.

Then something special must happen at $k = 1$, where there is no orbifold. In particular, in this case the full six-dimensional conformal symmetry is not broken, and so the theory should realise the full $\mathfrak{so}(2,6)$. We learn that a necessary condition for the theory (2.1) to describe a six-dimensional conformal field theory is that its symmetries are further enhanced at strong coupling $(k = 1)$.

Let us briefly note that this enhancement is in some sense analagous with the ABJM theory for M2-branes, where it is the R-symmetry (rather than the spacetime symmetry) that is enhanced from $\mathfrak{su}(4) \oplus \mathfrak{u}(1)$ to $\mathfrak{so}(8)$ at strong coupling. Further details of this analogy can be found in [\[17,](#page-37-0) [22\]](#page-37-4).

So let us fix $k = 1$. Our aim now is to explore how this symmetry enhancement should happen. Once again, we will study the theory through the lens of correlation functions, and in particular the partial differential equations they satisfy. But first, let us make some comments on the use of five-dimensional operators Φ_n , which fall into representations of $\mathfrak{h} \subset \mathfrak{so}(2,6)$, as building blocks in the realisation of $\mathfrak{so}(2,6)$ representations.

One can consider the action of $\mathfrak{so}(2,6)$ on a six-dimensional operator $\hat{\mathcal{O}}$, which is easily translated to an action on the Weyl rescaled \mathcal{O} . This operator is then in turn decomposed as

$$
\mathcal{O}(x^+, x^-, x^i) = \sum_{n \in \mathbb{Z}} e^{-inx^+} \Phi_n(x^-, x^i)
$$
\n(4.8)

for Fourier modes Φ_n satisfying $\tilde{\delta}_{P_+} \Phi_n = in \Phi_n$. The fact that the Fourier expansion breaks $\mathfrak{so}(2,6) \to \mathfrak{h} = \mathfrak{su}(1,3) \oplus \mathfrak{u}(1)$ is precisely the statement that it is only the subalgebra \mathfrak{h} of infinitesimal transformations of O that act on each Fourier level independently; recall, h

is simply the maximal subalgebra that commutes with P_+ . This is in contrast to the rest of $\mathfrak{so}(2,6)$, which generically scramble up the Fourier modes. In other words, the Φ_n fall into representations of h. As previously mentioned, when $\hat{\mathcal{O}}$ is an $\mathfrak{so}(2,6)$ scalar primary of scaling dimension $\hat{\Delta}$, one can show that under h, the Φ_n must transform precisely as scalar primaries as defined with respect to h (i.e. $r[B] = 0, r[C^{\alpha}] = 0$), with Lifshitz scaling dimension Δ under *T* given simply by the original six-dimensional scaling dimension, $\Delta = \hat{\Delta}$, and of course P_+ momentum n .

We then turn to the question of how to identify the modes Φ_n of a given operator $\mathcal O$ we wish to reconstruct. The first task here is to deduce the required h representation of Φ_n given the six-dimensional quantum numbers of \mathcal{O} . This is conceptually straightforward, and in some cases practically immediate too; for instance, a scalar primary in six-dimensions is built of five-dimensional scalar primaries of h.

With this done, we must now look at the space of operators of the form $\Phi_n = \mathcal{I}_n^{\{q\}} \Phi$, where Φ is some composite of the fields φ , and classify them by their $\mathfrak h$ representations. To do so at general *n* is an open problem, which in a supersymmetric theory such as ours amounts to determining which supermultiplet the instanton operator $\mathcal{I}_n^{\{q\}}$ lies in. As previously mentioned, this has been solved in Lorentzian $SU(N_c)$ theories only for $n = \pm 1$ [\[20\]](#page-37-11), while no results currently exist for non-Lorentzian theories such as ours. Thus, tackling this issue is an important next step in our programme.

Supposing that we have successfully identified a class of five-dimensional operators in the correct h representations, we would now like to derive some criteria by which we can correctly organise them into a particular six-dimensional local operator. Thus, in the rest of this section we will derive further conditions that must be satisfied by the correlators of the Φ_n , which we expect to be crucial in identifying them precisely.

Note, our focus for the remainder of this section is on the reconstruction of *local* operators in six dimensions. It is clear however that one can also in principle reconstruct extended six-dimensional operators, including those extended along the *x* ⁺ interval. Such constructions — which will in particular be essential to the construction of defect operators in six-dimensional CFTs — are left to future work.

So suppose that we think we have correctly identified in our theory the Fourier modes $\Phi_n^{(a)}$ of some six-dimensional scalar primaries $\hat{\mathcal{O}}^{(a)}$ of scaling dimension $\hat{\Delta}_a$ under the sixdimensional dilatation. These $\Phi_n^{(a)}$ are then necessarily scalars of h of Lifshitz dimension $\Delta_a = \hat{\Delta}_a$, and so in turn will generically be some linear combination of dimension Δ_a scalar primaries of the form $\mathcal{I}_n^{\{q\}}\Phi$. We now want some purely five-dimensional criteria by which we can check whether we've chosen the $\Phi_n^{(a)}$ correctly. We know that the correlation functions $\langle \hat{\mathcal{O}}^{(1)} \hat{\mathcal{O}}^{(2)} \dots \hat{\mathcal{O}}^{(N)} \rangle$ satisfy the Ward-Takahashi identities of $\mathfrak{so}(2,6)$, while a priori we have only so far shown that the five-dimensional correlators $\langle \Phi_{n_1}^{(1)} \Phi_{n_2}^{(2)} \dots \Phi_{n_N}^{(N)} \rangle$ satisfy those corresponding to the subalgebra \mathfrak{h} . The additional criteria that the $\Phi_n^{(a)}$ must satisfy is that the six-dimensional correlators they resum to satisfy the full set of $\mathfrak{so}(2,6)$ identities. Let's see how this works in practise.

Suppose then we take the six-dimensional Ward-Takahashi identity for some $G \in \mathfrak{so}(2,6)$ that lies outside \mathfrak{h} . It is then conceptually straightforward to expand the $\hat{\mathcal{O}}^{(a)}$ in terms of the $\Phi_n^{(a)}$, and thus determine the corresponding equations satisfied by the correlators of

the $\Phi_n^{(a)}$. However, in contrast to identities [\(3.39\)](#page-19-0) corresponding to elements of h, this new identity will necessarily be a partial differential equation relating correlators with *different* Fourier mode numbers. From the perspective of the five-dimensional theory, these are non-trivial relations between correlators calculated in distinct topological sectors, and thus we refer to such identities as *topological Ward-Takahashi identities* (TWTIs).

It is instructive to look at a particular example of some $G \in \mathfrak{so}(2,6)$ that lies outside h. We consider the *N*-point function $\langle \hat{\mathcal{O}}^{(1)} \hat{\mathcal{O}}^{(2)} \dots \hat{\mathcal{O}}^{(N)} \rangle$. We know that this *N*-point function satisfies the Ward-Takahashi identities of $\mathfrak{so}(2,6)$, spanned by $\{P_\mu^{\text{6d}}, M_{\mu\nu}^{\text{6d}}, D^{\text{6d}}, K_\mu^{\text{6d}}\}$, with $\mu \in \{+, -, i\}$. Let us focus on the six-dimensional dilatation D^{6d} , which is not preserved by the Fourier decomposition;^{[10](#page-29-0)} in other words, $D^{6d} \notin \mathfrak{h}$. Our aim is to understand how the invariance of the six-dimensional *N*-point function under D^{6d} manifests in the correlators of the $\Phi_n^{(a)}$.

Let us now denote by $\delta_D \hat{\mathcal{O}}^{(a)}$ the infinitesimal variation of $\hat{\mathcal{O}}^{(a)}$ under D^{6d} . Explicitly, we have

$$
\tilde{\delta}_D \hat{\mathcal{O}}^{(a)}(\hat{x}) = -D_\partial \hat{\mathcal{O}}^{(a)}(\hat{x}) - \Delta_a \hat{\mathcal{O}}^{(a)}(\hat{x}) \tag{4.9}
$$

in terms of the vector field

$$
D_{\partial} = \hat{x}^{+} \hat{\partial}_{+} + \hat{x}^{-} \hat{\partial}_{-} + \hat{x}^{i} \hat{\partial}_{i}
$$

= $\sin(x^{+}) \partial_{+} + \left(x^{-} - \frac{1}{4} \sin(x^{+}) |\vec{x}|^{2}\right) \partial_{-} + \frac{1}{2} \left(\left(1 + \cos(x^{+})\right) x^{i} + \sin(x^{+}) \Omega_{ij} x^{j}\right) \partial_{i}.$
(4.10)

The variation of the Fourier modes $\Phi_n^{(a)}$ is then fixed by

$$
\delta_D \hat{\mathcal{O}}^{(a)} = \cos^{\Delta_a} \left(\frac{x^+}{2}\right) \sum_{n \in \mathbb{Z}} e^{-inx^+} \delta_D \Phi_n^{(a)}.
$$
\n(4.11)

Explicitly, we find

$$
\delta_D \Phi_n^{(a)} = -\frac{1}{2} \tilde{\delta}_T \Phi_n^{(a)} - \mathcal{D}_- \Phi_{n-1}^{(a)} - \mathcal{D}_+ \Phi_{n+1}^{(a)}, \qquad (4.12)
$$

where we define

$$
\mathcal{D}_{+}\Phi_{n}^{(a)} = \left(-\frac{1}{2}n + \frac{1}{4}\Delta_{a} + \frac{i}{8}|\vec{x}|^{2}\partial_{-} + \frac{1}{4}x^{i}\partial_{i} - \frac{i}{4}\Omega_{ij}x^{j}\partial_{i}\right)\Phi_{n}^{(a)}
$$

$$
\mathcal{D}_{-}\Phi_{n}^{(a)} = \left(+\frac{1}{2}n + \frac{1}{4}\Delta_{a} - \frac{i}{8}|\vec{x}|^{2}\partial_{-} + \frac{1}{4}x^{i}\partial_{i} + \frac{i}{4}\Omega_{ij}x^{j}\partial_{i}\right)\Phi_{n}^{(a)},
$$
(4.13)

while we can read off $\tilde{\delta}_T \Phi_n^{(a)} = \delta_T \Phi_n^{(a)}$ from [\(3.43\)](#page-20-4), as

$$
\tilde{\delta}_T \Phi_n^{(a)} = -(T)_{\partial} \Phi_n^{(a)} - \Delta_a \Phi_n^{(a)}.
$$
\n(4.14)

As expected, we see that variation under $D^{6d} \notin \mathfrak{h}$ mixes the Fourier modes of $\hat{\mathcal{O}}^{(a)}$.

¹⁰The five-dimensional Lifshitz scaling $T \in \mathfrak{h}$ is found as $T = D^{6d} - M_{+-}^{6d}$.

So now let us consider the Ward-Takahashi identity for D^{6d} , taking the form $\sum_{a} \langle \hat{O}^{(1)} \dots \hat{O}^{(a)} \dots \hat{O}^{(N)} \rangle = 0$. Then, expanding in modes and further using the fact that $\langle \Phi_{n_1}^{(1)} \dots \Phi_{n_N}^{(N)} \rangle$ is non-vanishing only for $n_1 + \dots + n_N = 0$, we find that this Ward-Takahashi identity for the $\hat{\mathcal{O}}^{(a)}$ is satisfied if and only if we have all of

$$
\sum_{a=1}^{N} \left\langle \Phi_{n_1}^{(1)}(x_1) \dots \tilde{\delta}_T \Phi_{n_a}^{(a)}(x_a) \dots \Phi_{n_N}^{(N)}(x_N) \right\rangle = 0, \qquad (4.15)
$$

$$
\sum_{a=1}^{N} \left\langle \Phi_{n_1}^{(1)}(x_1) \dots \mathcal{D}_- \Phi_{n_a-1}^{(a)}(x_a) \dots \Phi_{n_N}^{(N)}(x_N) \right\rangle = 0, \qquad (4.16)
$$

$$
\sum_{a=1}^{N} \left\langle \Phi_{n_1}^{(1)}(x_1) \dots \mathcal{D}_+ \Phi_{n_a+1}^{(a)}(x_a) \dots \Phi_{n_N}^{(N)}(x_N) \right\rangle = 0, \qquad (4.17)
$$

for all $n_1, \ldots, n_N = 1, \ldots, N$. Note, the first equation is trivially satisfied as a result of the P_+ Ward-Takahashi identity whenever $n_1 + \cdots + n_N \neq 0$, while the following two are similarly trivially satisfied whenever $n_1 + \cdots + n_N \neq \pm 1$, respectively.

Now, (4.15) is simply the Ward-Takahashi identity for $T \in \mathfrak{h}$, which is satisfied by correlators of the theory by virtue of the symmetries of the action, as we saw in section [3.](#page-10-0) In contrast, (4.16) and (4.17) are new. They are our first explicit examples of TWTIs, as they constitute a non-trivial relationship between correlation functions computed in different topological sectors of the theory. Thus, in order to verify the symmetry enhancement $SU(1,3) \times U(1) \rightarrow SO(2,6)$ at strong coupling, one must demonstrate that (4.16) and (4.17) , along with all other TWTIs arising from each of the other elements of $\mathfrak{so}(2,6)$ outside h, are satisfied.

As we have seen, the two equations (4.16) and (4.17) descend from the D^{6d} Ward-Takahashi identity in six dimensions. It is hopefully evident that one can take identical steps in order to derive further TWTIs that descend from other $G \in \mathfrak{so}(2,6)$ lying outside h, although we do not explore such other generators in detail here.

To better illustrate our construction let us investigate the implications of [\(4.16\)](#page-30-1) and [\(4.17\)](#page-30-2) at 2-points. Recall then, that the functional form of the five-dimensional 2-point function of scalar operators is entirely fixed purely by the WTIs for h to be

$$
\langle \Phi_n(x_1)\Phi_{-n}(x_2)\rangle = d(\Delta, n) \frac{1}{(z_{12}\bar{z}_{12})^{\Delta/2}} \left(\frac{z_{12}}{\bar{z}_{12}}\right)^n.
$$
 (4.18)

where the Φ_n have Lifshitz scaling dimension Δ . Then, the TWTIs [\(4.16\)](#page-30-1) and [\(4.17\)](#page-30-2) are satisfied precisely if the coefficients $d(\Delta, n)$ satisfy

$$
\left(n+\frac{\Delta}{2}\right)d(\Delta,n) - \left(n-\frac{\Delta}{2}+1\right)d(\Delta,n+1) = 0.
$$
\n(4.19)

Let us look in particular at the case $\Delta \in 2\mathbb{Z}$. We then find the general solution

$$
d(\Delta, n) = C_{+} \binom{n + \frac{\Delta}{2} - 1}{n - \frac{\Delta}{2}} + C_{-} \binom{-n + \frac{\Delta}{2} - 1}{-n - \frac{\Delta}{2}},
$$
\n(4.20)

for some constants C_+ , C_- , where we use the convention

$$
\begin{pmatrix}\n\alpha \\
n\n\end{pmatrix} = \begin{cases}\n\frac{\alpha(\alpha-1)\dots(\alpha-n+1)}{n!} & n > 0 \\
1 & n = 0 \\
0 & n < 0\n\end{cases}
$$
\n(4.21)

Thus, by imposing the TWTI for D^{6d} , we have determined all of the coefficients $d(\Delta, n)$ up to the two free variables $C_+, C_-.$

Note however that the six-dimensional 2-point function to which these five-dimensional correlators must resum depends on only a single overall normalisation. However, it turns out that [\(4.20\)](#page-30-3) provides the general solution to the full set of TWTIs. To understand this, note that the additional degree of freedom arises because the five-dimensional 2-point functions [\(4.18\)](#page-30-4) are the Fourier modes of a *Lorentzian* six-dimensional 2-point function. Crucially, such a 2-point function admits two different values — often parameterised by a suitable *i* prescription $[17, 29]$ $[17, 29]$ $[17, 29]$ — depending on the ordering of the two operators. Then, the first term in [\(4.20\)](#page-30-3) corresponds to one choice of ordering, while the second corresponds to the other.

The important point here is that Ward-Takahashi identities and their solutions are blind to such an ordering, and so will produce most generally a linear combination of all possible orderings. Instead, one must rely on the path integral formulation [\(3.19\)](#page-14-1), or else some other quantisation of the theory, to fix the ordering of operators. In particular if we choose the ordering corresponding to $C_$ = 0 then we find

$$
\langle \Phi_n(x_1) \Phi_{-n}(x_2) \rangle = C_+ \binom{n + \frac{\Delta}{2} - 1}{n - \frac{\Delta}{2}} \frac{1}{(z_{12} \bar{z}_{12})^{\Delta/2}} \left(\frac{z_{12}}{\bar{z}_{12}}\right)^n. \tag{4.22}
$$

As a consistency check, we can then use this result to deduce the 2-point function of the six-dimensional operator $\hat{\mathcal{O}} = \cos^{\Delta}(x^{+}/2)\mathcal{O}$, with $\mathcal O$ written in terms of the Φ_n as in (4.8) . As shown in [\[17\]](#page-37-0), upon performing the sum over modes explicitly with suitable *i* regularisation, we find

$$
\langle \hat{\mathcal{O}}(\hat{x}_1) \hat{\mathcal{O}}(\hat{x}_2) \rangle = \frac{(-4)^{-\Delta/2} C_+}{|\hat{x}_1 - \hat{x}_2|^{2\Delta}},\tag{4.23}
$$

which is precisely the correct form for a scalar 2-point function of a six-dimensional conformal field theory.

5 Conclusions and future directions

In this paper we have explored how the path integral based on five-dimensional Lagrangians with an $SU(1,3)$ spacetime symmetry can be used to reconstruct correlation functions of a six-dimensional conformal field theory. In particular we showed how by including non-trivial instanton sectors into the theory the $SU(1,3)$ symmetry of the action is expanded into $SU(1,3) \times U(1)$ non-perturbatively. We also saw how instanton operators can be used to construct towers of operators which can be identified with suitable Fourier modes of

six-dimensional operators. Furthermore we saw that once the instanton sectors are included we must restrict the inverse coupling constant $k \in \frac{1}{2}$ $\frac{1}{2}\mathbb{Z}$, thereby removing any continuous free parameters. We also explored how imposing the additional symmetries of $SO(2,6)$ that are not present in the five-dimensional theory can be used to constrain the construction of six-dimensional operators.

While still far from the complete story, we have found an encouraging correspondence between, on one hand, results derived directly from six-dimensional correlators, and on the other hand the allowed topological sectors of the five-dimensional theory (2.1) . These results support the claim that the path integral formulation [\(3.19\)](#page-14-1) or some refinement thereof will be successful in computing correlators in six-dimensional conformal field theory.

There are many outstanding issues to explore but let us highlight a few. It would be interesting to extend the analysis of section 4.2 to other $SO(2,6)$ Ward-Takahashi identities, and then importantly to extend the path integral methods of this paper to demonstrate that this full set is indeed satisfied at strong coupling. Furthermore our theories all enjoy significant supersymmetries which we have not exploited. In particular, the 24 real supercharges realised by the Lagrangian [\(2.1\)](#page-4-2) can be identified with the full set of supercharges in the six-dimensional (2*,* 0) superconformal algebra that are preserved under the x^+ reduction $[14]$, while generalisations with 12 supercharges corresponding to (1*,* 0) superconformal symmetry are also known [\[16\]](#page-36-8). Related to this are BPS bounds and superselection rules.

In addition it is clearly of interest to compute higher-point functions. In particular 4-point functions are not fixed by conformal symmetry and therefore encode non-trivial six-dimensional dynamics. Computing these should in principle be possible using the path integral methods we have described. Furthermore there has been great progress in the use of localisation techniques to calculate exact results in supersymmetric field theories in a variety of field theories. Our hope is that this can be applied to the Lagrangians discussed here to obtain concrete results for six-dimensional conformal field theories such as the enigmatic $(2,0)$ theory of M5-branes. In so doing we hope to open up a window into the microscopic physics of M-theory.

Acknowledgments

R. Mouland was supported by the STFC studentship ST10837 and David Tong's Simons Investigator grant, A. Lipstein by the Royal Society as a Royal Society University Research Fellowship holder, and P. Richmond by STFC grant ST/L000326/1.

A su**(1***,* **3) field variations**

It is the norm in Lorentzian theories for the action to be written in a manifestly Lorentzinvariant way, with the transformations of fields straightforward to write down. For our theory and its $\mathfrak{su}(1,3)$ spacetime symmetry, we do not have this luxury. The transformations of the fields of the theory can in principle be derived by trial and error. However, there turns out to be an elegant and useful way to derive them from a diffeomorphism-invariant

six-dimensional theory, to which the theory is subtly related. The full details of this construction can be found in [\[15\]](#page-36-9). Here we state the results in a notation more useful for this paper.

We consider a transformation generated by some $G \in \mathfrak{su}(1,3)$. Then, the components of the gauge field transform in a standard way,

$$
\delta_G A_- = -G_\partial A_- - (\partial_- G^-_\partial) A_- - (\partial_- G^i_\partial) A_i ,
$$

\n
$$
\delta_G A_i = -G_\partial A_i - (\partial_i G^-_\partial) A_- - (\partial_i G^j_\partial) A_j ,
$$
\n(A.1)

i.e. as (minus) the Lie derivative along the vector field *G∂*.

The scalar fields X^I also transform under the usual Lie derivative for scalars, except that they are also subject to a compensating Weyl rescaling for $G \in \{T, M_{i+}, K_+\}$. This Weyl factor is given by

$$
\omega := \frac{1}{4}\hat{\partial}_i G^i_{\partial} ,\qquad (A.2)
$$

which takes the values

$$
G = T \longrightarrow \omega = 1,
$$

\n
$$
G = M_{i+} \longrightarrow \omega = \frac{1}{2} \Omega_{ij} x^{j},
$$

\n
$$
G = K_{+} \longrightarrow \omega = 2x^{-},
$$
\n(A.3)

while vanishing for the remaining generators. Then, we have

$$
\delta_G X^I = -G_\partial X^I - 2\omega X^I. \tag{A.4}
$$

This is indeed entirely analogous to the familiar interpretation of usual conformal field theory as a gauge fixing of a theory with both diffeomorphism and Weyl invariance. There, like here, it is a coordinated combination of a diffeomorphism and Weyl rescaling which leaves the metric invariant, and thus forms a symmetry of the gauge fixed theory.

For the fermions, we find

$$
\delta_G \Psi = -G_\partial \Psi - \frac{1}{2} \omega \left(5 + \Gamma_{-+} \right) \Psi + \Omega_{ij} (\hat{\partial}_j \omega) \Gamma_+ \Gamma_i \Psi + \frac{1}{4} \Lambda^{ij} \Gamma_{ij} \Psi , \tag{A.5}
$$

where

$$
\Lambda^{ij} = (\hat{\partial}_j G^i_{\partial}) - \omega \delta_{ij} = -\Lambda^{ji}.
$$
\n(A.6)

Explicitly, we find that $\Lambda^{ij} = 0$ for $G \in \{P_-, P_i, T\}$, while for the remaining generators,

$$
G = B \longrightarrow \Lambda^{ij} = \frac{1}{2} \Omega_{ij},
$$

\n
$$
G = C^{\alpha} \longrightarrow \Lambda^{ij} = -\frac{1}{2} \eta_{ij}^{I},
$$

\n
$$
G = M_{i+} \longrightarrow \Lambda^{jk} = \frac{1}{2} \left(\Omega_{jk} x^{i} + \Omega_{ik} x^{j} - \Omega_{ij} x^{k} + \delta_{ik} \Omega_{jl} x^{l} - \delta_{ij} \Omega_{kl} x^{l} \right),
$$

\n
$$
G = K_{+} \longrightarrow \Lambda^{ij} = \frac{1}{2} \Omega_{ij} x^{k} x^{k} + \Omega_{ik} x^{k} x^{j} - \Omega_{jk} x^{k} x^{i}.
$$
 (A.7)

Then, when acting on the fields A, X^I, Ψ , we find that the $\{\delta_G\}$ with $G \in \mathcal{B} = \{P_-, P_i, B, \Psi_-\}$ $C^{\alpha}, T, M_{i+}, K_{+}$ are precisely the generators of a representation of $\mathfrak{su}(1,3)$.

We finally come to the Lagrange multiplier field G_{ij} , which arises in a more complicated fashion from the six-dimensional proxy theory. We find

$$
\delta_G G_{ij} = -k^{\alpha} \partial_{\alpha} G_{ij} - 4\omega G_{ij} - \left(\Lambda^{mi} G_{mj} - \Lambda^{mj} G_{mi}\right) + 2\left(\Omega_{im}(\hat{\partial}_m \omega)F_{-j} - \Omega_{jm}(\hat{\partial}_m \omega)F_{-i} + \varepsilon_{ijkl}\Omega_{km}(\hat{\partial}_m \omega)F_{-l}\right).
$$
 (A.8)

We note in particular that, in contrast the other fields, the algebra only closes on G_{ij} on the constraint surface $\mathcal{F}^+ = 0$. In particular, for each $G_1, G_2 \in \mathcal{B}$ we have $[\delta_{G_1}, \delta_{G_2}] G_{ij} =$ $\delta_{[G_1,G_2]}G_{ij}$ except for

$$
[\delta_{M_{i+}}, \delta_{M_{j+}}]G_{kl} = \delta_{[M_{i+}, M_{j+}]}G_{kl} + \bar{\delta}_{ij}G_{kl},
$$

\n
$$
[\delta_{M_{i+}}, \delta_{K+}]G_{jk} = \delta_{[M_{i+}, K+]}G_{jk} + 2x^l \bar{\delta}_{il}G_{jk},
$$
\n(A.9)

where

$$
\bar{\delta}_{ij} G_{kl} = 2 \left(\delta_{ik} \mathcal{F}_{jl}^{+} - \delta_{il} \mathcal{F}_{jk}^{+} - \delta_{jk} \mathcal{F}_{il}^{+} + \delta_{jl} \mathcal{F}_{ik}^{+} \right) . \tag{A.10}
$$

A discussion of the origin of this extension to the algebra can be found in [\[22\]](#page-37-4).

Note that we have $\mathcal{F}_{kl}\bar{\delta}_{ij}G_{kl}=0$, which ensures that $\bar{\delta}$ is a symmetry of the Lagrangian. Indeed, since G_{ij} appears only algebraically in \mathcal{L} , we have local symmetries $\epsilon(x)\overline{\delta}$ for any function $\epsilon(x)$, and thus we should think of $\overline{\delta}$ as generating an auxiliary gauge symmetry which become trivial on the constraint surface.

Finally, note that under Lifshitz scalings as generated by *T*, we have

$$
X^{I}(x^{-}, x^{i}) \longrightarrow \omega^{-2} X^{I}(\omega^{-2} x^{-}, \omega^{-1} x^{i}),
$$

\n
$$
A_{-}(x^{-}, x^{i}) \longrightarrow \omega^{-2} A_{-}(\omega^{-2} x^{-}, \omega^{-1} x^{i}),
$$

\n
$$
A_{i}(x^{-}, x^{i}) \longrightarrow \omega^{-1} X^{I}(\omega^{-2} x^{-}, \omega^{-1} x^{i}),
$$

\n
$$
G_{ij}(x^{-}, x^{i}) \longrightarrow \omega^{-4} G_{ij}(\omega^{-2} x^{-}, \omega^{-1} x^{i}),
$$

\n
$$
\Psi_{+}(x^{-}, x^{i}) \longrightarrow \omega^{-3} \Psi_{+}(\omega^{-2} x^{-}, \omega^{-1} x^{i}),
$$

\n
$$
\Psi_{-}(x^{-}, x^{i}) \longrightarrow \omega^{-2} \Psi_{-}(\omega^{-2} x^{-}, \omega^{-1} x^{i}),
$$

\n(A.11)

where we denote by Ψ_{\pm} the components of Ψ with definite chirality under $\Gamma_{-+} = \Gamma_{05}$, so that $\Gamma_{-+}\Psi_{\pm} = \pm \Psi_{\pm}$.

B Noether currents

The Noether currents J_G for $G \in \mathcal{B}$ were first derived in [\[15\]](#page-36-9), albeit without appreciation for *δ*-function subtleties due to instanton insertions. We state them here, in a way more consistent with the notation used in this paper. Note that we use J_G to denote a vector field and 1-form interchangeably, as the musical isomorphism with respect to the Euclidean metric on \mathbb{R}^5 that relates them is trivial.

Our expressions are written in terms of the Lagrangian,

$$
\mathcal{L} = \frac{k}{4\pi^2} \text{tr} \left\{ \frac{1}{2} F_{-i} F_{-i} - \frac{1}{2} \nabla_i X^I \nabla_i X^I + \frac{1}{2} \mathcal{F}_{ij} G_{ij} \right. \\ \left. - \frac{i}{2} \bar{\Psi} \Gamma_+ D_- \Psi + \frac{i}{2} \bar{\Psi} \Gamma_i \nabla_i \Psi - \frac{1}{2} \bar{\Psi} \Gamma_+ \Gamma^I [X^I, \Psi] \right\}. \tag{B.1}
$$

For $G \in \{P_-, P_i, B, C^I, T\}$ we find

$$
J_G^- = -(G_\partial)^-\mathcal{L} + \frac{k}{4\pi^2} \text{tr}\left[-\left(F_{-i} + \frac{1}{2}\Omega_{jk}x^k G_{ij}\right) \delta_G A_i - \frac{1}{2}\Omega_{ij}x^j (\hat{D}_i X^I) \delta_G X^I \right. \\
\left. + \frac{i}{2}\bar{\Psi}\left(\Gamma_+ + \frac{1}{2}\Omega_{ij}x^j \Gamma_i\right) \delta_G \Psi\right]
$$
\n
$$
J_G^i = -(G_\partial)^i \mathcal{L} + \frac{k}{4\pi^2} \text{tr}\left[\left(F_{-i} + \frac{1}{2}\Omega_{jk}x^k G_{ij}\right) \delta_G A_- - G_{ij} \delta_G A_j + (\hat{D}_i X^I) \delta_G X^I \right. \\
\left. - \frac{i}{2}\bar{\Psi}\Gamma_i \delta_G \Psi\right].
$$
\n(B.2)

Whereas for *Mi*⁺

$$
J_{M_{i+}}^- = \left(-\frac{k}{8\pi^2}x^i \star \text{tr}(F \wedge F)\right)^- - (M_{i+})_\partial^- \mathcal{L}
$$

+ $\frac{k}{4\pi^2} \text{tr}\left[\frac{1}{4}x^i X^I X^I - \left(F_{-i} + \frac{1}{2}\Omega_{jk}x^k G_{ij}\right) \delta_G A_i$
- $\frac{1}{2}\Omega_{ij}x^j (\hat{D}_i X^I) \delta_G X^I + \frac{i}{2}\bar{\Psi}\left(\Gamma_+ + \frac{1}{2}\Omega_{ij}x^j \Gamma_i\right) \delta_G \Psi\right]$

$$
J_{M_{i+}}^j = \left(-\frac{k}{8\pi^2}x^i \star \text{tr}(F \wedge F)\right)^j - (M_{i+})_\partial^j \mathcal{L}
$$

+ $\frac{k}{4\pi^2} \text{tr}\left[\frac{1}{2}\Omega_{ij}X^I X^I + \left(F_{-i} + \frac{1}{2}\Omega_{jk}x^k G_{ij}\right) \delta_G A_-$
- $G_{ij} \delta_G A_j + (\hat{D}_i X^I) \delta_G X^I - \frac{i}{2}\bar{\Psi} \Gamma_i \delta_G \Psi\right],$ (B.3)

and K_+

$$
J_{K_{+}}^{-} = \left(-\frac{k}{8\pi^{2}}x^{i}x^{i} \star \text{tr}\left(F \wedge F\right)\right)^{-} - (K_{+})_{\partial}^{-} \mathcal{L}
$$

+
$$
\frac{k}{4\pi^{2}} \text{tr}\left[\frac{1}{2}x^{i}x^{i}X^{I}X^{I} - \left(F_{-i} + \frac{1}{2}\Omega_{jk}x^{k}G_{ij}\right)\delta_{G}A_{i} - \frac{1}{2}\Omega_{ij}x^{j}(\hat{D}_{i}X^{I})\delta_{G}X^{I} + \frac{i}{2}\bar{\Psi}\left(\Gamma_{+} + \frac{1}{2}\Omega_{ij}x^{j}\Gamma_{i}\right)\delta_{G}\Psi\right]
$$

$$
J_{K_{+}}^{i} = \left(-\frac{k}{8\pi^{2}}x^{j}x^{j} \star \text{tr}\left(F \wedge F\right)\right)^{i} - (K_{+})_{\partial}^{i} \mathcal{L}
$$

+
$$
\frac{k}{4\pi^{2}} \text{tr}\left[\Omega_{ij}x^{j}X^{I}X^{I} + \left(F_{-i} + \frac{1}{2}\Omega_{jk}x^{k}G_{ij}\right)\delta_{G}A_{-} - G_{ij}\delta_{G}A_{j} + (\hat{D}_{i}X^{I})\delta_{G}X^{I} - \frac{i}{2}\bar{\Psi}\Gamma_{i}\delta_{G}\Psi\right]. \tag{B.4}
$$

Open Access. This article is distributed under the terms of the Creative Commons Attribution License [\(CC-BY 4.0\)](https://creativecommons.org/licenses/by/4.0/), which permits any use, distribution and reproduction in any medium, provided the original author(s) and source are credited.

References

- [1] F. Bastianelli, S. Frolov and A.A. Tseytlin, *Three point correlators of stress tensors in maximally supersymmetric conformal theories in* $D = 3$ *and* $D = 6$, *[Nucl. Phys. B](https://doi.org/10.1016/S0550-3213(99)00822-6)* **578** (2000) [139](https://doi.org/10.1016/S0550-3213(99)00822-6) [[hep-th/9911135](https://arxiv.org/abs/hep-th/9911135)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2Bhep-th%2F9911135)].
- [2] F. Bastianelli and R. Zucchini, *Three point functions for a class of chiral operators in maximally supersymmetric CFT at large N*, *[Nucl. Phys. B](https://doi.org/10.1016/S0550-3213(00)00023-7)* **574** (2000) 107 [[hep-th/9909179](https://arxiv.org/abs/hep-th/9909179)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2Bhep-th%2F9909179)].
- [3] B. Eden, S. Ferrara and E. Sokatchev, (2*,* 0) *superconformal OPEs in D* = 6*, selection rules and nonrenormalization theorems*, *JHEP* **11** [\(2001\) 020](https://doi.org/10.1088/1126-6708/2001/11/020) [[hep-th/0107084](https://arxiv.org/abs/hep-th/0107084)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2Bhep-th%2F0107084)].
- [4] G. Arutyunov and E. Sokatchev, *Implications of superconformal symmetry for interacting* (2*,* 0) *tensor multiplets*, *[Nucl. Phys. B](https://doi.org/10.1016/S0550-3213(02)00359-0)* **635** (2002) 3 [[hep-th/0201145](https://arxiv.org/abs/hep-th/0201145)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2Bhep-th%2F0201145)].
- [5] P.J. Heslop, *Aspects of superconformal field theories in six dimensions*, *JHEP* **07** [\(2004\) 056](https://doi.org/10.1088/1126-6708/2004/07/056) [[hep-th/0405245](https://arxiv.org/abs/hep-th/0405245)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2Bhep-th%2F0405245)].
- [6] C. Beem, M. Lemos, L. Rastelli and B.C. van Rees, *The* (2*,* 0) *superconformal bootstrap*, *[Phys.](https://doi.org/10.1103/PhysRevD.93.025016) Rev. D* **93** [\(2016\) 025016](https://doi.org/10.1103/PhysRevD.93.025016) [[arXiv:1507.05637](https://arxiv.org/abs/1507.05637)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1507.05637)].
- [7] L. Rastelli and X. Zhou, *Holographic Four-Point Functions in the* (2*,* 0) *Theory*, *[JHEP](https://doi.org/10.1007/JHEP06(2018)087)* **06** [\(2018\) 087](https://doi.org/10.1007/JHEP06(2018)087) [[arXiv:1712.02788](https://arxiv.org/abs/1712.02788)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1712.02788)].
- [8] P. Heslop and A.E. Lipstein, *M-theory Beyond The Supergravity Approximation*, *[JHEP](https://doi.org/10.1007/JHEP02(2018)004)* **02** [\(2018\) 004](https://doi.org/10.1007/JHEP02(2018)004) [[arXiv:1712.08570](https://arxiv.org/abs/1712.08570)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1712.08570)].
- [9] T. Abl, P. Heslop and A.E. Lipstein, *Recursion relations for anomalous dimensions in the* 6*d* (2*,* 0) *theory*, *JHEP* **04** [\(2019\) 038](https://doi.org/10.1007/JHEP04(2019)038) [[arXiv:1902.00463](https://arxiv.org/abs/1902.00463)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1902.00463)].
- [10] L.F. Alday, S.M. Chester and H. Raj, 6*d* (2*,* 0) *and M-theory at 1-loop*, *JHEP* **01** [\(2021\) 133](https://doi.org/10.1007/JHEP01(2021)133) [[arXiv:2005.07175](https://arxiv.org/abs/2005.07175)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A2005.07175)].
- [11] C. Beem, L. Rastelli and B.C. van Rees, W *symmetry in six dimensions*, *JHEP* **05** [\(2015\) 017](https://doi.org/10.1007/JHEP05(2015)017) [[arXiv:1404.1079](https://arxiv.org/abs/1404.1079)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1404.1079)].
- [12] S.M. Chester and E. Perlmutter, *M-Theory Reconstruction from* (2*,* 0) *CFT and the Chiral Algebra Conjecture*, *JHEP* **08** [\(2018\) 116](https://doi.org/10.1007/JHEP08(2018)116) [[arXiv:1805.00892](https://arxiv.org/abs/1805.00892)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1805.00892)].
- [13] O. Aharony, O. Bergman, D.L. Jafferis and J. Maldacena, *N* = 6 *superconformal Chern-Simons-matter theories, M2-branes and their gravity duals*, *JHEP* **10** [\(2008\) 091](https://doi.org/10.1088/1126-6708/2008/10/091) [[arXiv:0806.1218](https://arxiv.org/abs/0806.1218)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A0806.1218)].
- [14] N. Lambert, A. Lipstein and P. Richmond, *Non-Lorentzian M5-brane Theories from Holography*, *JHEP* **08** [\(2019\) 060](https://doi.org/10.1007/JHEP08(2019)060) [[arXiv:1904.07547](https://arxiv.org/abs/1904.07547)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1904.07547)].
- [15] N. Lambert, A. Lipstein, R. Mouland and P. Richmond, *Bosonic symmetries of* (2*,* 0) *DLCQ field theories*, *JHEP* **01** [\(2020\) 166](https://doi.org/10.1007/JHEP01(2020)166) [[arXiv:1912.02638](https://arxiv.org/abs/1912.02638)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1912.02638)].
- [16] N. Lambert and T. Orchard, *Non-Lorentzian Avatars of* (1*,* 0) *Theories*, [arXiv:2011.06968](https://arxiv.org/abs/2011.06968) [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A2011.06968)].
- [17] N. Lambert, A. Lipstein, R. Mouland and P. Richmond, *Five-Dimensional Non-Lorentzian Conformal Field Theories and their Relation to Six-Dimensions*, *JHEP* **03** [\(2021\) 053](https://doi.org/10.1007/JHEP03(2021)053) [[arXiv:2012.00626](https://arxiv.org/abs/2012.00626)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A2012.00626)].
- [18] N. Lambert, A. Lipstein, R. Mouland and P. Richmond, *Instanton worldlines in five-dimensional* Ω*-deformed gauge theory*, *JHEP* **09** [\(2021\) 086](https://doi.org/10.1007/JHEP09(2021)086) [[arXiv:2105.02008](https://arxiv.org/abs/2105.02008)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A2105.02008)].
- [19] N. Lambert, C. Papageorgakis and M. Schmidt-Sommerfeld, *Instanton Operators in Five-Dimensional Gauge Theories*, *JHEP* **03** [\(2015\) 019](https://doi.org/10.1007/JHEP03(2015)019) [[arXiv:1412.2789](https://arxiv.org/abs/1412.2789)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1412.2789)].
- [20] Y. Tachikawa, *Instanton operators and symmetry enhancement in 5d supersymmetric gauge theories*, *PTEP* **2015** [\(2015\) 043B06](https://doi.org/10.1093/ptep/ptv040) [[arXiv:1501.01031](https://arxiv.org/abs/1501.01031)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1501.01031)].
- [21] O. Bergman and D. Rodriguez-Gomez, *A Note on Instanton Operators, Instanton Particles,* and Supersymmetry, *JHEP* 05 [\(2016\) 068](https://doi.org/10.1007/JHEP05(2016)068) [[arXiv:1601.00752](https://arxiv.org/abs/1601.00752)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1601.00752)].
- [22] R. Mouland, *Non-Lorentzian supersymmetric models and M-theory branes*, Ph.D. Thesis, King's College London (2021) [[arXiv:2109.04416](https://arxiv.org/abs/2109.04416)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A2109.04416)].
- [23] C.N. Pope, A. Sadrzadeh and S.R. Scuro, *Timelike Hopf duality and type IIA* string solutions*, *[Class. Quant. Grav.](https://doi.org/10.1088/0264-9381/17/3/305)* **17** (2000) 623 [[hep-th/9905161](https://arxiv.org/abs/hep-th/9905161)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2Bhep-th%2F9905161)].
- [24] N. Lambert and R. Mouland, *Non-Lorentzian RG flows and Supersymmetry*, *JHEP* **06** [\(2019\)](https://doi.org/10.1007/JHEP06(2019)130) [130](https://doi.org/10.1007/JHEP06(2019)130) [[arXiv:1904.05071](https://arxiv.org/abs/1904.05071)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1904.05071)].
- [25] N. Lambert, C. Papageorgakis and M. Schmidt-Sommerfeld, *M5-Branes, D*4*-branes and Quantum* 5*D super-Yang-Mills*, *JHEP* **01** [\(2011\) 083](https://doi.org/10.1007/JHEP01(2011)083) [[arXiv:1012.2882](https://arxiv.org/abs/1012.2882)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1012.2882)].
- [26] M.R. Douglas, *On D* = 5 *super Yang-Mills theory and* (2*,* 0) *theory*, *JHEP* **02** [\(2011\) 011](https://doi.org/10.1007/JHEP02(2011)011) [[arXiv:1012.2880](https://arxiv.org/abs/1012.2880)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1012.2880)].
- [27] M.F. Atiyah, N.J. Hitchin, V.G. Drinfeld and Y.I. Manin, *Construction of Instantons*, *[Phys.](https://doi.org/10.1016/0375-9601(78)90141-X) Lett. A* **65** [\(1978\) 185](https://doi.org/10.1016/0375-9601(78)90141-X) [IN[SPIRE](https://inspirehep.net/search?p=find+J%20%22Phys.Lett.%2CA65%2C185%22)].
- [28] V. Borokhov, A. Kapustin and X.-k. Wu, *Topological disorder operators in three-dimensional conformal field theory*, *JHEP* **11** [\(2002\) 049](https://doi.org/10.1088/1126-6708/2002/11/049) [[hep-th/0206054](https://arxiv.org/abs/hep-th/0206054)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2Bhep-th%2F0206054)].
- [29] T. Hartman, S. Jain and S. Kundu, *Causality Constraints in Conformal Field Theory*, *[JHEP](https://doi.org/10.1007/JHEP05(2016)099)* **05** [\(2016\) 099](https://doi.org/10.1007/JHEP05(2016)099) [[arXiv:1509.00014](https://arxiv.org/abs/1509.00014)] [IN[SPIRE](https://inspirehep.net/search?p=find+EPRINT%2BarXiv%3A1509.00014)].