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# Exploring Interpretability in Deep Learning Prediction of Successful Ablation Therapy for Atrial Fibrillation

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8 **Keywords:** Atrial Fibrillation, Catheter Ablation, Medical Imaging, Cardiac Modelling, Deep  
9 Learning, Interpretability.

## 10 Abstract

11 **Background.** Radiofrequency catheter ablation (RFCA) therapy is the first-line treatment for atrial  
12 fibrillation (AF), the most common type of cardiac arrhythmia globally. However, the procedure  
13 currently has low success rates in dealing with persistent AF, with a reoccurrence rate of ~50% post-  
14 ablation. Therefore, deep learning (DL) has increasingly been applied to improve RFCA treatment for  
15 AF. However, for a clinician to trust the prediction of a DL model, its decision process needs to be  
16 interpretable and have biomedical relevance. **Aim.** This study explores interpretability in DL prediction  
17 of successful RFCA therapy for AF and evaluates if pro-arrhythmogenic regions in the left atrium (LA)  
18 were used in its decision process. **Methods.** AF and its termination by RFCA have been simulated in  
19 MRI-derived 2D LA tissue models with segmented fibrotic regions ( $n = 187$ ). Three ablation strategies  
20 were applied for each LA model: pulmonary vein isolation (PVI), fibrosis-based ablation (FIBRO) and  
21 a rotor-based ablation (ROTOR). The DL model was trained to predict the success of each RFCA  
22 strategy for each LA model. Three feature attribution (FA) map methods were then used to investigate  
23 interpretability of the DL model: GradCAM, Occlusions and LIME. **Results.** The developed DL model  
24 had an AUC (area under the receiver operating characteristic curve) of  $0.78 \pm 0.04$  for predicting the  
25 success of the PVI strategy,  $0.92 \pm 0.02$  for FIBRO and  $0.77 \pm 0.02$  for ROTOR. GradCAM had the  
26 highest percentage of informative regions in the FA maps (62% for FIBRO and 71% for ROTOR) that  
27 coincided with the successful RFCA lesions known from the 2D LA simulations, but unseen by the  
28 DL model. Moreover, GradCAM had the smallest coincidence of informative regions of the FA maps  
29 with non-arrhythmogenic regions (25% for FIBRO and 27% for ROTOR). **Conclusions.** The most  
30 informative regions of the FA maps coincided with pro-arrhythmogenic regions, suggesting that the  
31 DL model leveraged structural features of MRI images to identify such regions and make its prediction.  
32 In the future, this technique could provide a clinician with a trustworthy decision support tool.

33

## 34 1 Introduction

35 Atrial fibrillation (AF), the rapid, uncoordinated contraction of the atria, is a heart condition that affects  
36 33 million people worldwide - making it the most common type of cardiac arrhythmia globally (Hart

37 and Halperin, 2001; Chugh et al., 2014). Currently, the precise mechanisms of AF are unclear.  
38 However, there is evidence that ectopic electrical beats originating from the pulmonary veins (PVs)  
39 can trigger AF (Chen et al., 1999). The triggers can then generate re-entrant drivers (rotors) that sustain  
40 AF, and spatial fibrosis distributions in the left atria (LA) have been demonstrated to facilitate such  
41 drivers (Morgan et al., 2016; Roy et al., 2020). A common treatment for AF is radiofrequency catheter  
42 ablation (RFCA) therapy. RFCA involves using induced heat from a rapidly alternating current in a  
43 catheter to ablate (isolate or destroy) the arrhythmogenic area of atrial tissue that harbours triggers or  
44 rotors, thus restoring sinus rhythm and the mechanical function of the heart (Townsend and Sabiston,  
45 2001). Presently, the success rate of RFCA is ~70% for paroxysmal AF - which is relatively high  
46 (Oketani et al., 2012). However, the procedure is much less successful when dealing with persistent  
47 AF, which has a reoccurrence rate of ~75% post-intervention. Therefore, with the high reoccurrence  
48 rate of AF, there is a need for improvements within (Wang et al., 2017; Yubing et al., 2018).

49 Image-based computational modelling has been used to understand the structure-function relationship  
50 that determines re-entrant atrial drivers for AF with the aim of improving RFCA outcomes. As a result,  
51 computational methods have been introduced to improve RFCA outcomes, ultimately leading to the  
52 FIRM (Focal Impulse and Rotor Modulation) mapping, which locates rotational activity around a  
53 centre (rotor) from electroanatomical maps (Narayan et al., 2012a). The CONFIRM trial showed  
54 patients that underwent FIRM-guided ablation maintained a higher freedom of AF (AF termination in  
55 86% of patients) when compared to patients with conventional ablation strategy (AF termination in  
56 20% of patients) (Narayan et al., 2012b). However, the multicentre REAFFIRM trial did not show  
57 evidence that FIRM-guided ablation strategy is superior to pulmonary vein isolation (PVI) (Zhao et  
58 al., 2019).

59 With the recent rise of artificial intelligence (AI), machine and deep learning (DL) have been applied  
60 to patient medical imaging data and computational cardiac modelling with the aim to develop more  
61 effective RFCA treatments. The applications of AI include predicting AF reoccurrence post-RFCA and  
62 the origins of AF triggers and ablation (Kim et al., 2020; Liu et al., 2020; Firouznia et al., 2021; Roney  
63 et al., 2022). Furthermore, Luongo et al. have applied machine learning to predict AF ablation targets,  
64 but used 12-lead ECG data instead of medical imaging (Luongo et al., 2021). Other studies have also  
65 leveraged the power of AI in AF by using DL with ECG data to estimate atrial fibrosis and to classify  
66 AF from atrial flutter or tachycardia (Nagel et al., 2021; Rodrigo et al., 2022). Zolotarev et al. applied  
67 AI to identify AF drivers from multi-electrode mapping, with the AI model then validated using optical  
68 mapping; the results were comparable to the state-of-the-art with higher computational efficiency  
69 (Zolotarev et al., 2020). Popescu et al. applied DL for arrhythmic sudden death prediction from clinical  
70 and imaging data, which proved successful and achieved a concordance index of 0.83 and 0.74, and  
71 10-year integrated Brier score of 0.12 and 0.14, respectively (Popescu et al., 2022).

72 However, DL is limited by its black-box nature. This is an issue when considering the European  
73 Union's General Data Protection Regulation (GDPR), as any algorithmic decision used in patient care  
74 requires an explanation for transparency (Mourby et al., 2021). Moreover, clinicians have also argued  
75 that if AI can outperform human diagnosis, understanding the AI model's decision process will be  
76 beneficial in discovering new biological processes and furthering medical knowledge (Watson et al.,  
77 2019). Moreover, it will increase confidence in the AI-generated results, which means the clinicians  
78 are more likely to trust and leverage them. Hence, this has led to the growing field of deep learning  
79 interpretability for medical imaging analysis, where methods such as concept learning models, latent  
80 space interpretation and attribution maps have been applied to many medical fields (Salahuddin et al.,  
81 2022). Organisations have also expressed an interest in AI interpretability, e.g., the Avicenna Alliance  
82 (AA) and the Virtual Physiological Human Institute (VPHI). The AA and VPHI aims are to promote

83 the synergy of AI and in-silico modelling into healthcare, while providing policy makers and regulators  
 84 with directions towards applying these technologies safely in clinics, including AI interpretability  
 85 (Liesbet Geris et al., n.d.).

86 Muffoletto et al. were the first to apply DL to directly informing a clinician to treat AF using RFCA  
 87 therapy and developed a convolutional neural network (CNN) to predict suitable in-silico ablation  
 88 strategies for a given patient, using synthetic tissue-based atrial models with randomly distributed  
 89 fibrotic patches. The approach proved effective (79% accuracy) and illustrated the proof-of-concept  
 90 (Muffoletto et al., 2019). Ultimately, this led to the approach being applied to MRI-derived data to  
 91 predict the patient-specific optimal RFCA strategy. As a result, the developed CNN had a 100%  
 92 accuracy for classifying optimal fibrosis- (FIBRO) and rotor-based (ROTOR) strategies success and  
 93 33% accuracy for the PVI strategy (Muffoletto et al., 2021).

94 Currently, research into interpretability for DL-based AF management is very limited. For example,  
 95 one study by Alhusseini et al. used gradient-weighted class activation mapping (GradCAM) to show  
 96 that their feature attribution (FA) map closely replicated rules used by clinicians. However, only one  
 97 method was validated within this study, and a comparison with other methods was not investigated.  
 98 Furthermore, the study used spatial maps of the activation phase derived from electrocardiogram data  
 99 from a basket catheter. Hence, there has been no investigation into DL interpretability for models which  
 100 use medical imaging data to make explainable predictions for cardiac arrhythmias and anti-arrhythmic  
 101 treatments (Alhusseini et al., 2020).

102 In this study, we present a novel qualitative and quantitative comparison of established DL  
 103 interpretability methods for medical imaging and image-based cardiac modelling of RFCA, as well as  
 104 new quantitative metrics to assess interpretability of FA maps for the image-based cardiac models.

105

## 106 **2 Methods**

### 107 **2.1 Overview**

108 We propose a DL approach to 1) accurately predict the outcomes of RFCA therapy based on image-  
 109 based modelling and simulations and 2) interpret the decision process of the DL model. To achieve  
 110 this, standardised 2D LA models with patient-specific distributions of fibrosis were derived from late  
 111 gadolinium-enhanced (LGE) MR imaging data. Simulations of AF and its termination with three RFCA  
 112 strategies were performed, the DL model was applied to predict the success of each strategy, and the  
 113 RFCA simulation results were compared with DL interpretability maps to identify proarrhythmogenic  
 114 locations. Three established interpretability approaches were also compared qualitatively and  
 115 quantitatively to interpret the DL model's predictions.

### 116 **2.2 Data Acquisition and Pre-processing**

117 The datasets used in this study were derived from 122 LGE MRI patient scans: 86 datasets with spatial  
 118 resolution of  $0.625 \times 0.625 \times 0.625 \text{ mm}^3$  were acquired from the Atrial Segmentation Challenge at the  
 119 STACOM 2018 workshop (Xiong et al., 2021); additionally, 36 LGE MRI images were collected at  
 120 St. Thomas' Hospital London with resolution of  $1.3 \times 1.3 \times 4 \text{ mm}^3$  (specifically, 18 AF patients were  
 121 scanned both pre-and post-intervention) (Chubb et al., 2018).

122 Generating 2D LA models with fibrosis first required manual segmentation of patient LGE MRI data  
 123 to produce 3D patient-specific endocardial LA surface meshes. The LGE MRI image intensities were  
 124 then mapped to these models and the image intensity ratio thresholding technique was applied to  
 125 quantify and visualise LA fibrosis (Roy et al., 2020). Finally, the 3D LA fibrosis maps were unwrapped  
 126 using the LA standardised unfold mapping technique to produce models in the 2D LA disk format for  
 127 use as input to the DL network, as shown in Figure 1A (Williams et al., 2017; Qureshi et al., 2020).

128 Furthermore, to increase the size of the dataset, synthetic 2D LA disks were generated by weighted-  
 129 averaging of the patient datasets to vary the fibrosis distribution and PVs. The creation of synthetic  
 130 disks consisted of three steps. First, 65 MRI images were extracted from the STACOM 2018 dataset  
 131 and were each weighted by assigning a random weight (between 0 to 1) to all voxels of a given image;  
 132 the weighted-average of all images was thresholded (Case xA in Figure 1B). This number was chosen  
 133 as less than 65 would result in low variability in the synthetic tissues and more than 65 would result in  
 134 most of the synthetic tissues being covered in fibrosis. Supplementary Figure S1 illustrates that  
 135 selecting the 65 LA tissues in generating the synthetic LA tissues would result in a mean fibrotic tissue  
 136 percentage of approximately 50%. Thus, 65 corresponds to a folding point of this sigmoidal  
 137 dependence, and any number above 65 would lead to a majority of tissue being fibrotic. Then the  
 138 extracted fibrosis distribution was further augmented by applying one or multiple affine  
 139 transformations (translation, rotation and flipping). The fibrosis threshold value and the types of  
 140 transformation were randomly selected. Lastly, the PVs were varied by assigning one of 6 different  
 141 variants, which included changing PV size and position (Case xB in Figure 1B) (Muffoletto et al.,  
 142 2021). This resulted in a total of 199 synthetic 2D LA tissue models in addition to the 122 patient-  
 143 specific models, totalling 321 2D LA tissue models.

### 144 2.3 Atrial Tissue Modelling and AF simulation

145 Equation (1) represents the Fenton-Karma semi-physiological model, which consists of three ionic  
 146 currents representing the overall ion current in the electrical dynamics of atria cells;  $I_{fi}$  represents the  
 147 fast inward current  $Na^+$ ,  $I_{so}$  is the slow outward current  $K^+$  and  $I_{si}$  is the slow inward current  $Ca^+$   
 148 (Fenton and Karma, 1998):

$$149 \quad I_{ion} = I_{fi} + I_{so} + I_{si} \quad (1)$$

150 Equation (2) is the standard monodomain equation to describe electrical wave propagation.

$$151 \quad \frac{\partial V_m}{\partial t} = \nabla \cdot D \nabla V_m - \frac{I_{ion}}{C_m} \quad (2)$$

152  $V_m$  is the membrane potential,  $C_m$  is the membrane capacitance,  $D$  is a tensor that represents the  
 153 diffusion of the electrical coupling within tissue. Equation (2) with ion current determined in equation  
 154 (1) was solved using the forward Euler method with a finite-difference approximation of the Laplacian.  
 155 Therefore, equation (1) and equation (2) were solved using each 2D tissue disk as a spatial domain to  
 156 simulate electrical waves sustaining AF. Such waves in the form of rotors were generated using the  
 157 standard cross-field protocol at 28 ms into the simulation (Tobón et al., 2014). The numerical  
 158 integration steps were 0.01 ms time step and 0.3 mm spatial step. Additionally, healthy tissue had a  $D$   
 159 value of  $0.1 \text{ mm}^2 \text{ s}^{-1}$  to match the physiological value of healthy myocardium tissue. Fibrotic tissue  
 160 had  $D$  value of  $0.015 \text{ mm}^2 \text{ s}^{-1}$ .

161 The three ablation strategies were simulated to terminate persistent AF: PVI, FIBRO and ROTOR  
 162 strategies; details of the simulations have been published previously (Muffoletto et al., 2021). The  
 163 FIBRO strategy involved ablating the perimeter of the fibrotic tissue, while PVI consisted of ablating  
 164 the circumference of the PVs and ROTOR ablated the phase singularities of the electrical wave. The  
 165 ablation strategy was deemed successful for a tissue if AF was terminated within 2000 *ms* and less than  
 166 40% of the tissue was ablated (Muffoletto et al., 2021). Therefore, using the stated simulation pipeline,  
 167 the success of the three RFCA strategies was determined for AF simulations in the 2D LA tissues  
 168 (including patient MRI derived and synthetic data). Furthermore, since multiple strategies can be  
 169 successful/unsuccessful for a given 2D LA tissue, the classification task was multi-label.

## 170 2.4 Deep Learning

171 We employed the CNN with hyperparameters (parameters and number of convolutional and fully  
 172 connected layers) based on the study by Muffoletto et al. as the basis of our interpretability framework  
 173 (Muffoletto et al., 2021). The hyperparameters were tuned by Muffoletto et al. by performing 24  
 174 experiments which involved changing number of layers, filter size of convolutional layers and dropout  
 175 rate. The optimal hyperparameters were chosen by selecting the DL model with the highest average  
 176 accuracy across a 5-fold cross-validation. The CNN consisted of four convolutional layers of 32x32  
 177 filters, each followed by Rectified Linear Unit (ReLU) activation and max pooling with a pool size of  
 178 two. These are followed by three linear layers (2048, 128 and 3 units, respectively) and another ReLU  
 179 activation. A Dropout layer followed this at a rate of 0.8 and a sigmoid function (Paszke et al., 2019).  
 180 Since we address a multi-label classification problem (i.e., multiple ablation strategies), we modified  
 181 the loss function to be a mean-squared error tailored to perform multi-label classification for the three  
 182 ablation strategies (Figure 1).

$$183 \quad MSE(\mathbf{y}_{score}, \mathbf{y}) = \sum_{i=0}^N \frac{\mathbf{y}_{score}^i - \mathbf{y}^i}{N} \quad (3)$$

184 Equation (3) is the mean-squared error function formulation, where  $\mathbf{y}_{score}$  is the predicted class score  
 185 array and  $\mathbf{y}$  is the RFCA strategy success ground truth (where 1 = success and 0 = unsuccessful). Here,  
 186  $N$  represents the number of classes/strategies (three in this study) and  $i$  is the index of a class in the  
 187 class score array. To train and effectively test the CNN, a leave-one-out cross-validation was used  
 188 where the total dataset was split into two sets: a hold-out test set and training set. The training set was  
 189 then split into five folds, where four folds were used to train the CNN, and the last fold was used as a  
 190 validation set to select the optimal CNN model state (i.e. the model with the lowest loss during training)  
 191 (Raschka, 2018; Muffoletto et al., 2021). In total, there were 271 2D LA tissues in the leave-one-out  
 192 cross-validation dataset (96 MRI derived and 175 synthetic). Within each fold the DL model was  
 193 trained for 100 epochs using an ADAM optimiser with a learning rate of 1e-4 (Kingma and Ba, 2014).  
 194 For each fold, the optimal model was tested on the hold-out test set of 50 2D LA tissues (26 MRI  
 195 derived and 24 synthetic) from the total dataset to evaluate the DL model's performance. **Pre- and post-**  
 196 **ablation images were not split during cross-validation, as there was little similarity between the**  
 197 **respective fibrosis distributions (see Supplementary Materials Section 2 and Supplementary Figure**  
 198 **S2).**

## 199 2.5 Interpretability

200 Three popular local post-hoc interpretability methods were used to interpret the CNN's predictions -  
 201 GradCAM, occlusions and local interpretable model-agnostic explanations (LIME) (Zeiler and Fergus,  
 202 2014; Ribeiro et al., 2016; Selvaraju et al., 2017; Kokhlikyan et al., 2020). GradCAM and LIME were

203 chosen as they are widely used saliency maps in DL medical image analysis (Magesh et al., 2020;  
 204 Graziani et al., 2021; Patel et al., 2021; Mahapatra et al., 2022), while occlusions is one of the first  
 205 saliency map methods used for DL computer vision. Each method evaluates feature attribution using  
 206 different approaches: GradCAM uses gradient information, LIME uses an interpretable model within  
 207 a local space and the occlusions method uses perturbations.

208 The DL model state from the most accurate fold of the leave-one-out cross-validation was used to  
 209 produce the FA maps for the three methods on the hold-out test set. The GradCAM method was applied  
 210 to the last convolutional layer of the CNN. Each FA map was thresholded above the respective map's  
 211 average FA to highlight the most informative features. Three metrics were evaluated to quantitatively  
 212 analyse the informative regions of each FA map: Jacquard index (IoU), lesion percentage and non-  
 213 arrhythmogenic tissue (NAT) percentage. The IoU was evaluated by calculating IoU of the informative  
 214 regions of a FA map and lesions of a given ablation strategy. Lesion percentage was evaluated by  
 215 calculating the percentage of lesions of a given ablation strategy within the informative regions.

216 The motivation for analysing the lesion percentage was to determine if the DL model focused on  
 217 clinically relevant features. The number of the lesions (unseen by the DL model but known from  
 218 simulations – and known to clinicians when ablating a patient) found in a FA map's informative region  
 219 is a relevant metric, as such lesions are associated with arrhythmogenic regions in atrial tissue. Thus,  
 220 PVI lesions isolate the area of the initial arrhythmogenic triggers, FIBRO lesions aim to isolate the  
 221 fibrotic tissue border where AF reentrant drivers commonly reside, and ROTOR lesions directly target  
 222 such reentrant drivers. Therefore, the ability of DL model to predict lesion locations (again, without  
 223 seeing such lesions during training) should help the clinician to understand and trust these predictions.

224 Lastly, the NAT percentage was calculated by finding the percentage of healthy tissue (with no lesions  
 225 or fibrosis) within the informative regions of a FA map. NAT percentage was evaluated to assess how  
 226 much of the clinically irrelevant features were highlighted as informative by the DL model.

227

### 228 2.5.1 GradCAM

229 GradCAM uses the gradient from a given convolutional layer to measure FA for a particular decision  
 230 of interest. GradCAM is an improvement of the class activation map (CAM) method. CAM produces  
 231 a localisation map for an image classification model, utilising a specific architecture where globally  
 232 averaged pooled convolutional feature maps are fed directly into a softmax layer. GradCAM improves  
 233 on CAM by being architecture-independent, and it can be applied to any CNN. Furthermore, a study  
 234 by Adebayo et al. implemented a sanity check of GradCAM through a model parameter and data  
 235 randomisation test. It demonstrated that GradCAM's saliency maps could support tasks that require  
 236 explanations that are faithful to the model and the data generation process (Adebayo et al., 2018).

237

$$\alpha^c = \frac{1}{z} \sum_i \sum_j \frac{\partial y^c}{\partial A_{ij}} \quad (4)$$

238 Feature attribution,  $\alpha_{ij}^c$  ( $i$  and  $j$  are the indices of the feature in a FA map), of a given class  $c$  is calculated  
 239 in GradCAM by evaluating the partial derivative of the score of class  $c$  and a feature from activation  
 240 map of a given convolutional layer  $A_{ij}$ . The result of evaluating the partial differential for each feature  
 241 is then pooled globally by dividing each element of the FA map by the total number of features to find  
 242 the final FA map (Selvaraju et al., 2017).

243 **2.5.2 LIME**

244 The core idea of LIME is to explain predictions of any classifier faithfully by learning an interpretable  
 245 model locally around the prediction. LIME achieves this by generating simulated data points around  
 246 an instance through random perturbation and weighting them as a function of proximity to the original  
 247 data points, fitting a sparse linear model to the predicted responses from the perturbed points and using  
 248 the sparse linear model as an explanation model (i.e., weights of features in linear model).

$$249 \quad \xi(\mathbf{x}) = \underset{g \in G}{\operatorname{argmin}} \mathcal{L}(\mathbf{f}, \mathbf{g}, \pi_{\mathbf{x}}) + \Omega(\mathbf{g}) \quad (5)$$

$$250 \quad \mathcal{L}(\mathbf{f}, \mathbf{g}, \pi_{\mathbf{x}}) = \sum_{\mathbf{z}, \mathbf{z}' \in Z} \pi_{\mathbf{x}}(\mathbf{z}) (\mathbf{f}(\mathbf{z}) - \mathbf{g}(\mathbf{z}'))^2 \quad (6)$$

251 **FA**  $\xi(\mathbf{x})$  of given features  $\mathbf{x}$  is calculated in LIME by minimising the loss function  $\mathcal{L}$  and complexity,  
 252  $\Omega(\mathbf{g})$ , of the function  $\mathbf{g}$  (a model from a class of possibly interpretable models). In essence  $\mathcal{L}$  is a  
 253 function that measures how unfaithful the function  $\mathbf{g}$  is at approximating  $\mathbf{f}$  (the model being explained)  
 254 in the local space defined by  $\pi_{\mathbf{x}}$ . Equation (6) shows how the loss function uses the L2 distance to  
 255 measure how unfaithful function  $\mathbf{g}$  is at approximating  $\mathbf{f}$ , where  $\mathbf{z}$  is sample from  $\mathbf{x}$ ,  $Z$  is the set  
 256 perturbed samples of  $\mathbf{x}$  with associated labels and  $\mathbf{z}'$  is perturbed sample from set  $Z$  (Kokhlikyan et al.,  
 257 2020).

258 **2.5.3 Occlusions**

259 Occlusions is a perturbation-based approach to calculate FA, which involves perturbing the feature  
 260 space with a rectangular region and evaluating the difference of class score from a given class  
 261 prediction by the perturbation. FA is then assigned by looking at the feature in the multiple rectangular  
 262 regions it is in and averaging the multiple class score differences (Ancona et al., 2017). The occlusion  
 263 FA method was based on an occlusion sensitivity analysis used to validate a DL interpretability method  
 264 by Zeiler et al. (Zeiler and Fergus, 2014).  
 265

266 **3 Results**

267 **3.1.1 Dataset Analysis**

268 *In the dataset comprising of 122 LA tissues derived from MRI data, the PVI strategy led to successful*  
 269 *AF termination in only 11.6% of cases, while 88.4% resulted in failed terminations. Meanwhile, the*  
 270 *FIBRO and ROTOR strategies resulted in 42.6% and 74.4% successful terminations, respectively.*  
 271 *Notably, FIBRO demonstrated the most balanced AF termination outcomes, whereas ROTOR and PVI*  
 272 *exhibited a similar level of misbalance in the outcomes. In the larger dataset consisting of 321 LA*  
 273 *tissues, including both MRI-derived and synthetic data, the PVI strategy achieved successful AF*  
 274 *termination in 27.1% of cases, demonstration a positive impact of augmentation. The FIBRO and*  
 275 *ROTOR strategies also resulted in 58.3% and 75.7% successful terminations, respectively.*

276 **3.1.2 Convolutional Neural Network Performance**

277 The success of the FIBRO ablation strategy was predicted most accurately by the CNN, as shown in  
 278 Table 4, where the FIBRO class has the highest AUC score and the most balanced recall and precision  
 279 scores. Furthermore, the FIBRO strategy also had the highest AUC score when predicting ablation  
 280 success exclusively on the real data (Table 2). PVI had the second-highest AUC score on mixed real  
 281 and synthetic data, as well as exclusively on real data. Meanwhile, ROTOR had a comparable AUC



282 score to PVI on the real and synthetic data but performed worse exclusively on the MRI-derived data  
283 (Table 2).

284 However, the CNN struggled to predict successful AF termination cases by PVI, which is reflected in  
285 the low recall and F1 score in Table 1. Even though there was a similar class imbalance in ROTOR  
286 compared to PVI, the CNN was able to predict the successful and failed AF termination cases to a  
287 reasonable degree (see recall and F1 score in Table 1). Lastly, the CNN had a significantly higher AUC  
288 score ( $p < 0.05$ ) when trained and predicted on a dataset comprised of synthetic and MRI derived data  
289 compared to training exclusively on MRI derived data (Table 3). This was confirmed using a one-sided  
290 t-test (PVI:  $p = 0.030$ ; FIBRO:  $p = 3.5e-05$ ; ROTOR:  $p = 6.15e-06$ ). This was due to the increased  
291 dataset size when combining the real and synthetic data as the CNN has more training examples –  
292 effectively improving the task's generalisation. **Notably, incorporating synthetic data has improved  
293 accuracy in predicting the outcomes of PVI. When trained exclusively on MRI-derived data, the model  
294 showed a zero F1-score for PVI, attributed to significant class imbalance. This resulted in the model  
295 predicting unsuccessful AF termination for all PVI cases, explaining the precision score of 1.0.  
296 However, integrating synthetic data into the dataset improved the model's ability to classify successful  
297 ablation for PVI (F1 score of  $0.42 \pm 0.06$ ), due to the 15.5% increase in successful PVI cases in the  
298 dataset. This allowed the model to improve its classification of successful AF termination by PVI.**

### 299 3.1.3 Qualitative Interpretability Analysis

300 As shown in Table 4, GradCAM was characterised by the highest lesion percentage and IoU metrics  
301 for the FIBRO and ROTOR strategies. Additionally, Figure 3 shows that in FA maps obtained with  
302 GradCAM for ROTOR and FIBRO, the informative regions coincided with most ablation lesions.  
303 Figure 3 also illustrates that GradCAM had the lowest NAT percentage for the FIBRO and ROTOR  
304 strategies, as the FA maps did not highlight large, but clinically irrelevant regions of healthy tissue –  
305 whereas LIME and occlusions did. For the PVI strategy, the occlusions method provided FA maps  
306 with the greatest lesion percentage, and LIME provided FA maps with the highest IoU score.  
307

### 308 3.1.4 Quantitative Interpretability Analysis

309 Using the Wilcoxon signed-rank test, the ROTOR strategy lesion percentage for GradCAM was  
310 significantly greater ( $p < 0.017$  using Bonferroni correction) than that for occlusions, but not for LIME  
311 ( $p = 3.1e-8$  and  $p = 0.0253$ , respectively). Moreover, for the FIBRO strategy, the lesion percentage for  
312 GradCAM was significantly higher than that for the occlusions method, but again not for LIME ( $p =$   
313  $4.0e-6$ ,  $p = 0.06$ , respectively). However, the IoU scores for GradCAM were significantly greater ( $p <$   
314  $0.017$ ) than those for occlusions and LIME for ROTOR ( $p = 3.3e-6$  and  $p = 2.1e-9$ , respectively) and  
315 FIBRO ( $p = 4.2e-6$  and  $p = 1.6e-9$ , respectively). GradCAM also had a significantly less NAT  
316 percentage ( $p < 0.017$ ) than occlusions and LIME for ROTOR ( $p = 5.5e-05$  and  $p = 2.3e-09$ ,  
317 respectively) and FIBRO ( $p = 1.2e-5$  and  $2.3e-6$ , respectively).

318 Therefore, GradCAM produced more interpretable FA maps than LIME (for FIBRO and ROTOR) as  
319 the informative regions were more focused on areas with a high number of ablation lesions – reflected  
320 in GradCAM having a significantly greater IoU score than LIME (Figures 5 and 4). Furthermore,  
321 GradCAM was also more interpretable in a sense that its FA maps highlighted less regions that were  
322 non-arrhythmogenic, and hence it had a significantly less NAT percentage than LIME and occlusions  
323 (Figure 6).

324 For the PVI strategy, the occlusions method provided FA maps with the greatest lesion percentage and  
325 LIME FA maps had the highest IoU score. The difference in best FA map methods in terms of lesion

326 percentage and IoU score can be seen in Table 4, as informative regions in the occlusions' FA maps  
 327 cover a vast area highlighting the ablation lesions but are not local to the PVs. Meanwhile, the LIME  
 328 FA map highlights areas around the PVs, but does not cover many ablation lesions.

329

330 Supplementary Figures S3, S4 and S5 show the difference in the mean score of each interpretability  
 331 metric for correct and incorrect classifications of AF termination for each ablation strategy and FA  
 332 method on the hold-out test set. This analysis shows no clear or consistent relationship between  
 333 interpretability and model accuracy. The mean interpretability scores reflect this, as they were similar  
 334 across the correct and incorrect classification groups. Additionally, the mean interpretability score  
 335 variability is inconsistent across each ablation strategy FA method and interpretability metric - further  
 336 illustrating no relationship between interpretability and accuracy.

337

### 338 **3.1.5 Feature Attribution Thresholding Sensitivity Analysis**

339 The findings presented above show little dependence on the threshold between informative and  
 340 uninformative regions. As shown in Figure 9, when the threshold value is set to 25% above and below  
 341 the average feature attribution, Grad-CAM still has the highest lesion percentage and IoU compared to  
 342 LIME and Occlusions for the ROTOR and FIBRO strategies. GradCAM still had a lower NAT  
 343 percentage for FIBRO and ROTOR when the threshold value was 25% below the average FA.  
 344 However, occlusions had a lower NAT percentage for FIBRO and ROTOR when the threshold value  
 345 was above 25% of the average FA. Occlusions had a lower lesion percentage and IoU, which shows  
 346 that GradCAM was more interpretable when the threshold was 25% above the average FA.

347

### 348 **3.1.6 Population-level Interpretability Analysis**

349 Figure 10 compares the average GradCAM FA maps for ROTOR, FIBRO and PVI with the average  
 350 fibrosis density across the 2D LA tissue disks. It shows that the high FA regions in the average FA  
 351 map for ROTOR (Figure 10B) and FIBRO (Figure 10C) correspond with dense fibrotic areas (Figure  
 352 10A). Furthermore, there was a similar good correspondence between the average GradCAM FA maps  
 353 for ROTOR and FIBRO (Figure 11B and 11D) and the respective average lesions across the 2D LA  
 354 tissue disks (Figures 11A and 11C). Unsurprisingly, the average GradCAM FA map for PVI (Figure  
 355 10D) showed relatively small correspondence to areas with high fibrosis density areas.

356

## 357 **4 Discussion and Conclusion**

358 Predicting RFCA outcomes from imaging data is a challenging task, as shown by Kim et al., who  
 359 predicted AF recurrence post-RFCA with a 0.61 accuracy from a CNN which used a combination of  
 360 MRI data and patient demographics (Kim et al., 2020). Moreover, Roney et al. applied machine  
 361 learning to predict in-silico AF recurrence after multiple ablation strategies (Roney et al., 2018, 2020).

362 Therefore, developing a successful DL model to predict RFCA outcomes in AF simulations is the  
 363 natural first step to predict real RFCA outcomes in AF patients. Hence, this study (i) demonstrates a  
 364 multi-label classification CNN for the success of ablation strategies in patient-specific simulations of  
 365 AF, with AUC scores of  $0.92 \pm 0.02$  for FIBRO,  $0.78 \pm 0.04$  for PVI and  $0.77 \pm 0.02$  for ROTOR, and  
 366 (iii) explores different methods of DL interpretability in the classification, with GradCAM shown to

367 provide the most interpretable FA maps for the ROTOR and FIBRO strategy, suggesting that the DL  
368 model utilises pro-arrhythmogenic regions to make its prediction. This is further supported by the  
369 population-level interpretability analysis, as average FA maps for ROTOR and FIBRO are focused on  
370 areas with high fibrotic density. This can be explained by the fact that the respective ablation lesions  
371 are primarily located within these areas. Hence, the DL model can learn to predict AF termination  
372 outcomes by implicitly leveraging pro-arrhythmogenic regions related to a given strategy. Importantly,  
373 locations of the ablation lesions have not been explicitly used in the CNN's learning process.

374 It is worth noting that classification of the PVI strategy was difficult to interpret. A possible reason for  
375 this difficulty is that the PVI strategy in the clinic is based on ablating PV triggers that typically initiate  
376 AF. However, these initial PV triggers were not present in the 2D LA tissue models. Therefore, the  
377 three FA methods could not produce interpretable maps in this case.

378 A possible explanation for why GradCAM performed better than the other methods is that LIME is  
379 susceptible to unstable generated interpretations due to random perturbations and feature selection.  
380 Moreover, LIME and occlusions are not class discriminative – meaning that they cannot localise the  
381 class (RFCA strategy) within the feature space. GradCAM is gradient-based (does not randomise  
382 parameters to obtain FA maps) and is class discriminative, allowing it to localise pro-arrhythmogenic  
383 regions more faithfully than LIME and occlusions (Selvaraju et al., 2017; Zafar and Khan, 2021).

384 The RFCA strategy that has the highest magnitude of lesion percentage and lowest magnitude of NAT  
385 percentage (ROTOR) also had the lowest AUC score in testing (Table 1), showing that the  
386 interpretability of a FA map does not increase with the accuracy of the strategy's prediction. This  
387 observation demonstrates that the need for interpretability in RFCA strategy prediction likely goes  
388 beyond FA, and in future work, we will investigate the incorporation of confidence in prediction  
389 outputs to enable our method to be used as a decision support tool to help clinicians select the  
390 appropriate therapy. Since Varela et al. showed that LA anatomy is a significant factor in prediction of  
391 AF recurrence post ablation (Varela et al., 2017a), the DL approach of the study should be extended to  
392 3D LA images and simulations. Future work should also focus on using exclusively real patient LA  
393 data and investigating intrinsically interpretable DL models such as ICAM (Bass et al., 2022).

394 Note that 2D LA disks were used in this study due to the efficiency in providing the needed proof of  
395 concept and had clear advantages over extremely computationally-intensive 3D atrial simulations.  
396 Moreover, the standardised 2D unfolded LA images allowed for generation of a large number of  
397 additional synthetic images, which is crucial for training CNNs. Hence, image-based 2D LA models  
398 provided a sensible balance between realistic details (such as fibrosis distributions) and computational  
399 efficiency (i.e., the ability to run a large number of simulations and train the CNN). Previous work has  
400 shown that atrial wall thickness is distributed more or less evenly in the LA outside of PVs and that  
401 slow conduction in fibrotic areas is the main determinant of the rotor dynamics (Varela et al., 2017b;  
402 Roy et al., 2018).

403 Another worthwhile direction is applying an approach based on counterfactual explanations, which  
404 alters the input's feature space to change the classifier's prediction. Mertes et al. has applied this  
405 approach to a generative adversarial network and showed its superiority to LIME in an X-ray imaging  
406 study of pneumonia (Mertes et al., 2022). This research utilised over 100 non-medical experts for the  
407 evaluation, which ultimately should become a standard for any interpretability study.

408 Our original approach to the evaluation is based on using a large number of 2D LA tissue models with  
409 tractable features (rather than a large number of experts) to understand the predictions of the DL model.

410 Simulations of the test set of 50 2D LA tissue models reveal the important features determining the  
411 success of each given RFCA strategy, such as the precise locations of ablation lesions and underlying  
412 structural features. This evaluation shows that GradCAM best characterises if a DL model leverages  
413 relevant features in its predictions. The fact that GradCAM highlights relevant features and does not  
414 highlight healthy tissue devoid of such features is illustrated in Figures 3, 7 and 8 – and supported by  
415 numerical metrics calculated using all 50 LA tissue models and summarised in Table 4.

416 The EU’s GDPR requires an explanation for any algorithmic decision used in patient care; we believe  
417 our work represents a significant step to meet this requirement. Most of the ablation lesions in our  
418 study coincided with informative regions of the GradCAM FA maps (specifically, for ROTOR and  
419 FIBRO, see Figures 8 and 7), whereas healthy, non-arrhythmogenic tissue (NAT) was outside of these  
420 informative regions. This suggests that the DL model can learn from structural features of patient MR  
421 images even without knowledge of the LA function. The explanation is that the structural features  
422 constitute pro-arrhythmogenic LA regions (e.g., fibrotic regions are well-known for their ability to  
423 harbour rotors sustaining AF) that need to be targeted by ablation. Such mechanistic explanations  
424 should increase clinician’s confidence in using the DL predictions in future.

425 This study’s analysis also suggests that there is no clear relationship between a model’s interpretability  
426 and accuracy, which opens future directions of research into the relationship and interaction between  
427 a model’s performance and explainability. Another interesting investigation would be into how FA  
428 maps can be used as model feedback to improve its performance. To our knowledge, no study has  
429 investigated the application of interpretability feedback for DL model design and development for  
430 biomedical applications. Bell et al. investigated the trade-off between accuracy and explainability for  
431 black box and interpretable models. They showed that the trade-off is inconsistent, and in some cases  
432 models with high explainability can also have high accuracy - but in others higher explainability comes  
433 at the expense of low accuracy (Bell et al., 2022).

434 Importantly, the purpose of FA maps is not to be directly applied in the clinic to predict ablation lesions  
435 in a patient – but to explain why the DL approach is making a certain prediction, and to increase clinical  
436 confidence in this approach (Lipton, 2017). The lesion percentage is a relevant metric as each RFCA  
437 lesion is associated with an arrhythmogenic location of the atrial tissue. The lesions are well defined  
438 from simulation of 2D LA models in the current study (and known by a clinician when treating a  
439 patient) – but the DL model does not learn the locations of the ablation lesions during training. Hence,  
440 the ability of the DL model to utilise these (unseen) lesion locations in its predictions of the RFCA  
441 strategy from patient MRI provides foundation for the development of interpretable AI. In the future,  
442 such AI approaches can provide a clinician with decision support tools that they understand and trust.

443

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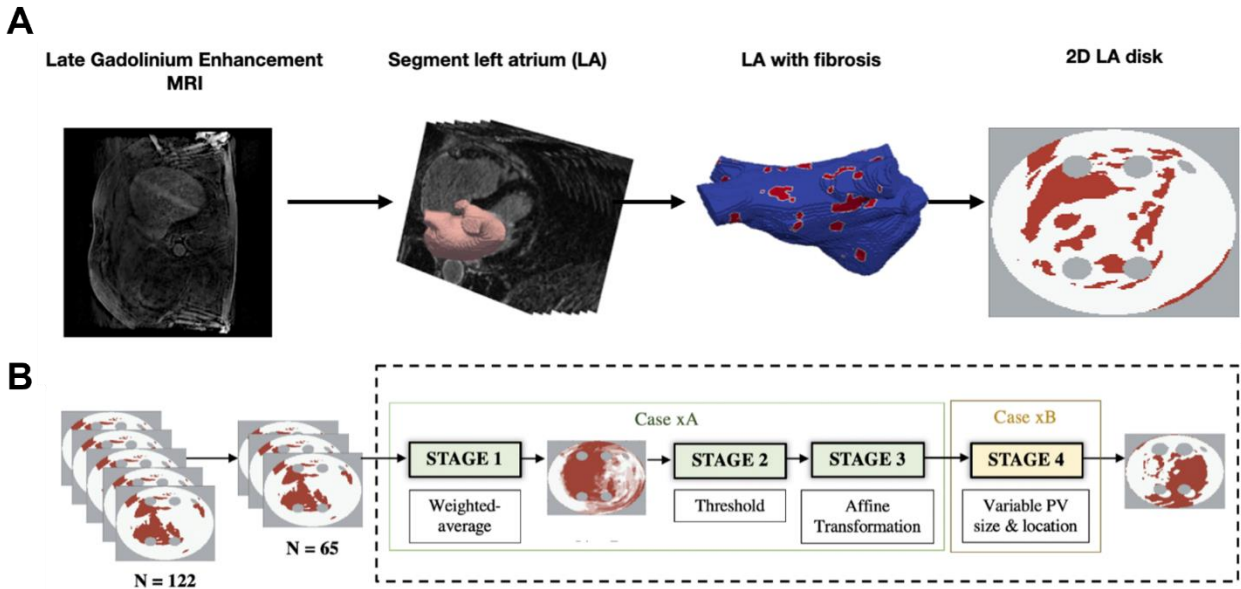
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637 7 Figures and Tables

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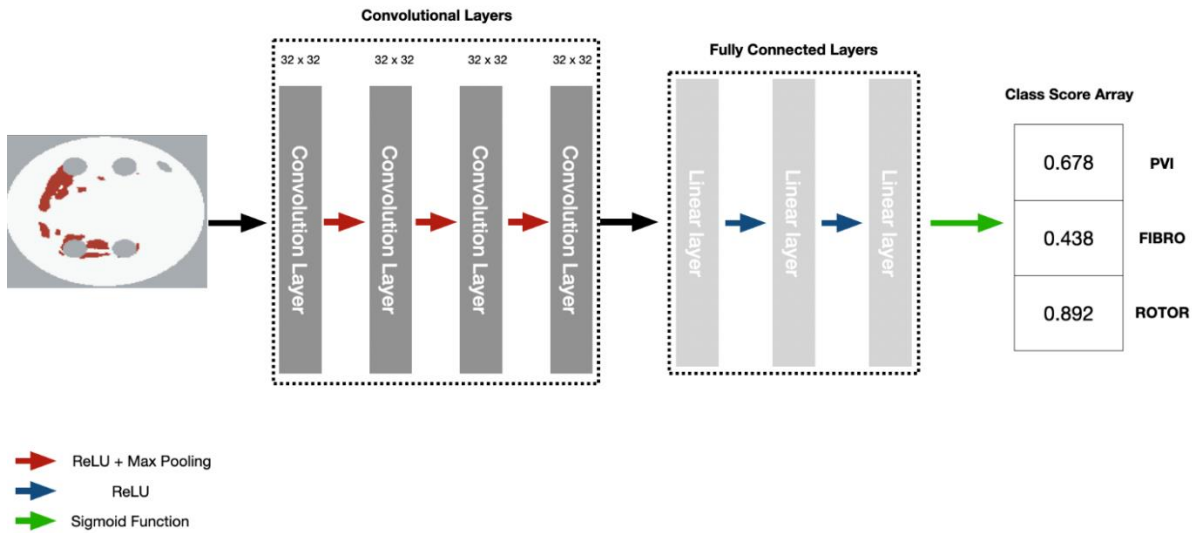
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640 **Figure 1. Diagram of MRI-derived 2D LA tissue disk.** **A.** Workflow of 2D LA tissue generation  
 641 pipeline. The figure illustrates the process of how the 2D LA tissue models are obtained from LGE  
 642 MRI by LA segmentation, thresholding fibrosis from healthy tissue and mapping onto 2D LA tissues.  
 643 **B.** Workflow for generating synthetic tissues. 65 tissues were randomly selected from the total dataset  
 644 of 122 real tissues. These 65 tissues were used to generate the synthetic images by iterating overstages  
 645 1 to 4 (199 times) to create a virtual cohort of 199 tissues. ‘Case xA’ denotes the combination of data  
 646 augmentation techniques used to create the synthetic fibrosis distributions. ‘Case xB’ determines how  
 647 the PV sizes and locations were varied from those in the standardised discs.

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652 **Figure 2.** Diagram of CNN with parameters to predict RFCA simulation strategy success from 2D LA  
 653 tissue.

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Ablation Strategy	AUC	Recall	Precision	F1-Score
<b>PVI</b>	0.78 ± 0.03	0.35 ± 0.07	0.68 ± 0.28	0.42 ± 0.06
<b>FIBRO</b>	0.92 ± 0.02	0.89 ± 0.03	0.82 ± 0.02	0.85 ± 0.01
<b>ROTOR</b>	0.77 ± 0.02	0.93 ± 0.04	0.76 ± 0.02	0.84 ± 0.01

655 **Table 1.** Mean area under the receiver operating characteristic curve (AUC) score, recall, precision  
 656 and F1-score on independent hold-out test set (with standard deviation) for each RFCA strategy.

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Ablation Strategy	MRI Derived Data	MRI Derived + Synthetic Data
<b>PVI</b>	0.67 ± 0.03	0.78 ± 0.04
<b>FIBRO</b>	0.85 ± 0.02	0.92 ± 0.02
<b>ROTOR</b>	0.62 ± 0.05	0.77 ± 0.02

658 **Table 2.** Mean AUC score on independent hold-out test set (with standard deviation) for each RFCA  
 659 strategy and type of data

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Ablation Strategy	MRI Derived Data				MRI Derived + Synthetic Data			
	AUC	Recall	Precision	F1 Score	AUC	Recall	Precision	F1 Score
<b>PVI</b>	0.67 ± 0.03	0	1.0	0	0.78 ± 0.03	0.35 ± 0.07	0.68 ± 0.28	0.42 ± 0.06
<b>FIBRO</b>	0.85 ± 0.02	0.75 ± 0.08	0.70 ± 0.03	0.72 ± 0.04	0.92 ± 0.02	0.89 ± 0.03	0.82 ± 0.02	0.85 ± 0.01
<b>ROTOR</b>	0.62 ± 0.05	0.99 ± 0.02	0.64 ± 0.01	0.78 ± 0.02	0.77 ± 0.02	0.93 ± 0.04	0.76 ± 0.02	0.84 ± 0.01

661 **Table 3.** Mean AUC, recall, precision and F1 score (with standard deviation) of DL model trained with  
662 real data only and with synthetic and real data from a leave-one-out cross-validation on a hold-out test  
663 (~20% of the respective dataset).

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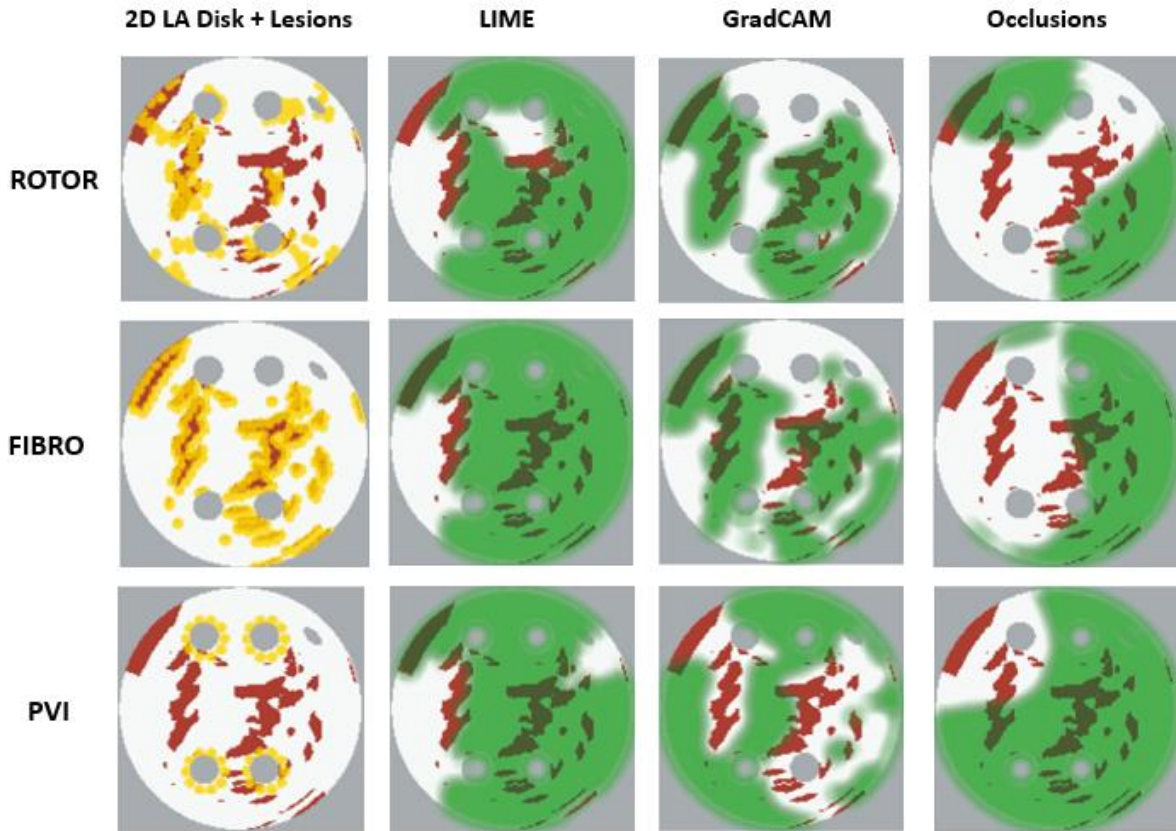
Ablation Strategy	Method	Lesion Percentage	IoU	NAT Percentage
<b>PVI</b>	LIME	0.44 ± 0.24	<b>0.077 ± 0.023</b>	<b>0.32 ± 0.24</b>
	Occlusions	<b>0.55 ± 0.15</b>	0.065 ± 0.17	0.57 ± 0.15
	GradCAM	0.47 ± 0.17	0.063 ± 0.029	0.60 ± 0.12
<b>FIBRO</b>	LIME	0.57 ± 0.19	0.18 ± 0.09	0.47 ± 0.27
	Occlusions	0.45 ± 0.14	0.19 ± 0.11	0.38 ± 0.20
	GradCAM	<b>0.62 ± 0.25</b>	<b>0.26 ± 0.11</b>	<b>0.27 ± 0.16</b>
<b>ROTOR</b>	LIME	0.62 ± 0.16	0.12 ± 0.07	0.63 ± 0.25
	Occlusions	0.53 ± 0.16	0.14 ± 0.06	0.36 ± 0.16
	GradCAM	<b>0.71 ± 0.13</b>	<b>0.20 ± 0.08</b>	<b>0.25 ± 0.06</b>

676 **Table 4.** Mean lesion percentage, NAT percentage, IoU of the informative region and ablation lesions  
 677 with errors (standard deviation) for each FA map method and RFCA strategy.

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682 **Figure 3.** Diagram of 2D LA tissues with highlighted feature attribution maps. White areas in the 2D  
 683 tissues are healthy tissue and red areas are fibrosis. Ablation lesion locations known from simulations  
 684 are shown (yellow) for all three RFCA strategies, along with respective FA maps for LIME, GradCAM  
 685 and occlusions and highlighted thresholded informative regions (translucent green). Same colour  
 686 scheme in used in Figures 7 and 8 below.

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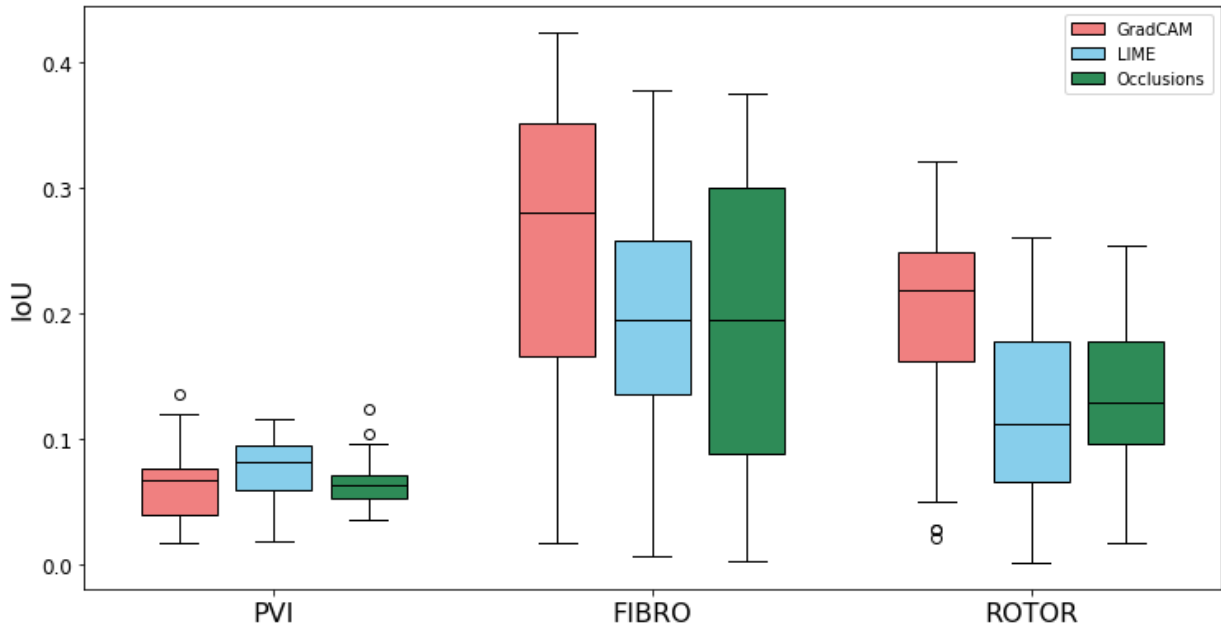
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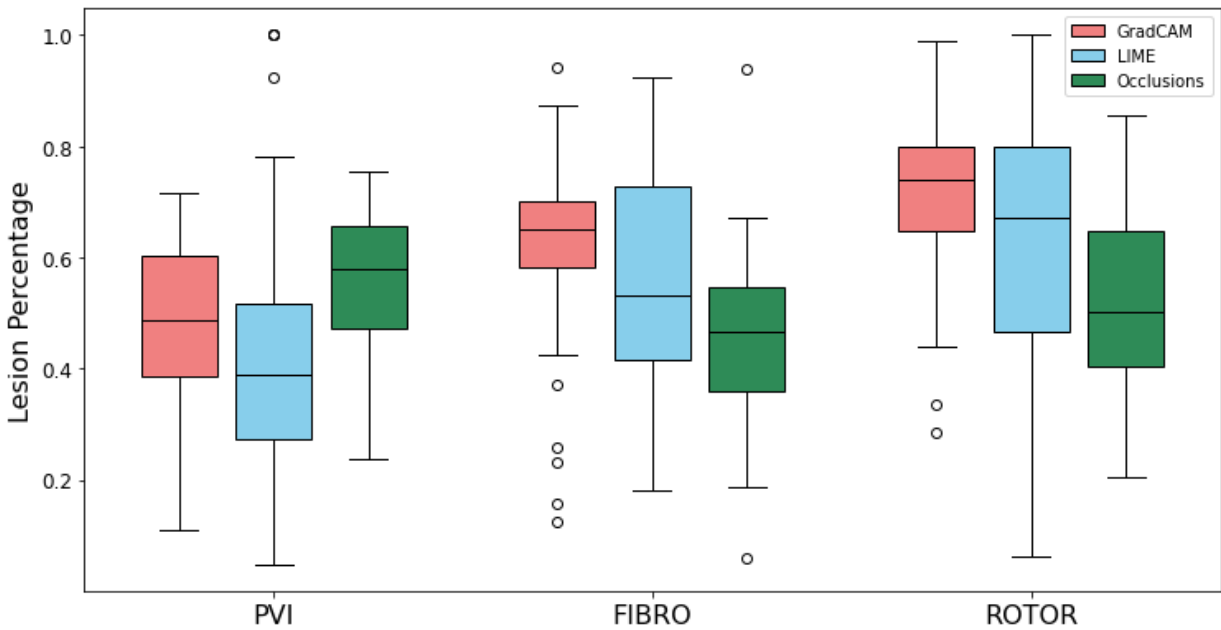


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699 **Figure 4.** Boxplot of Jacquard index (IoU) for each FA method (GradCAM, LIME and Occlusions)  
 700 and RFCA strategy (PVI, FIBRO and ROTOR) on the hold-out test set.

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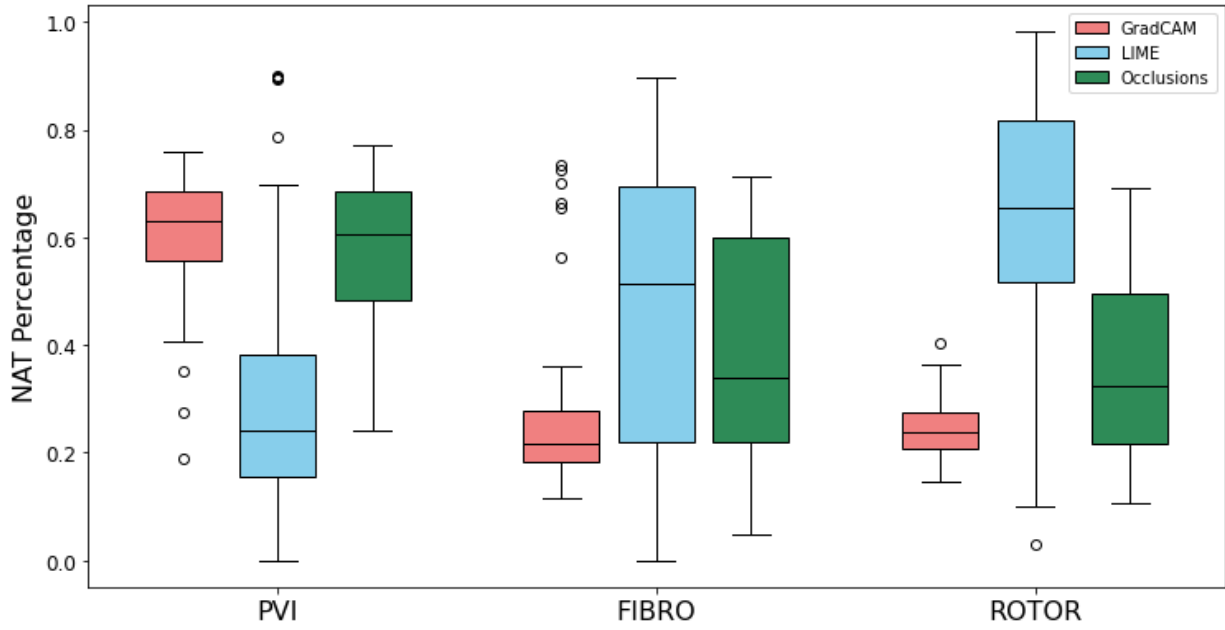
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704 **Figure 5.** Boxplot of lesion percentage for each FA method (GradCAM, LIME and Occlusions) and  
 705 RFCA strategy (PVI, FIBRO and ROTOR) on the hold-out test set.

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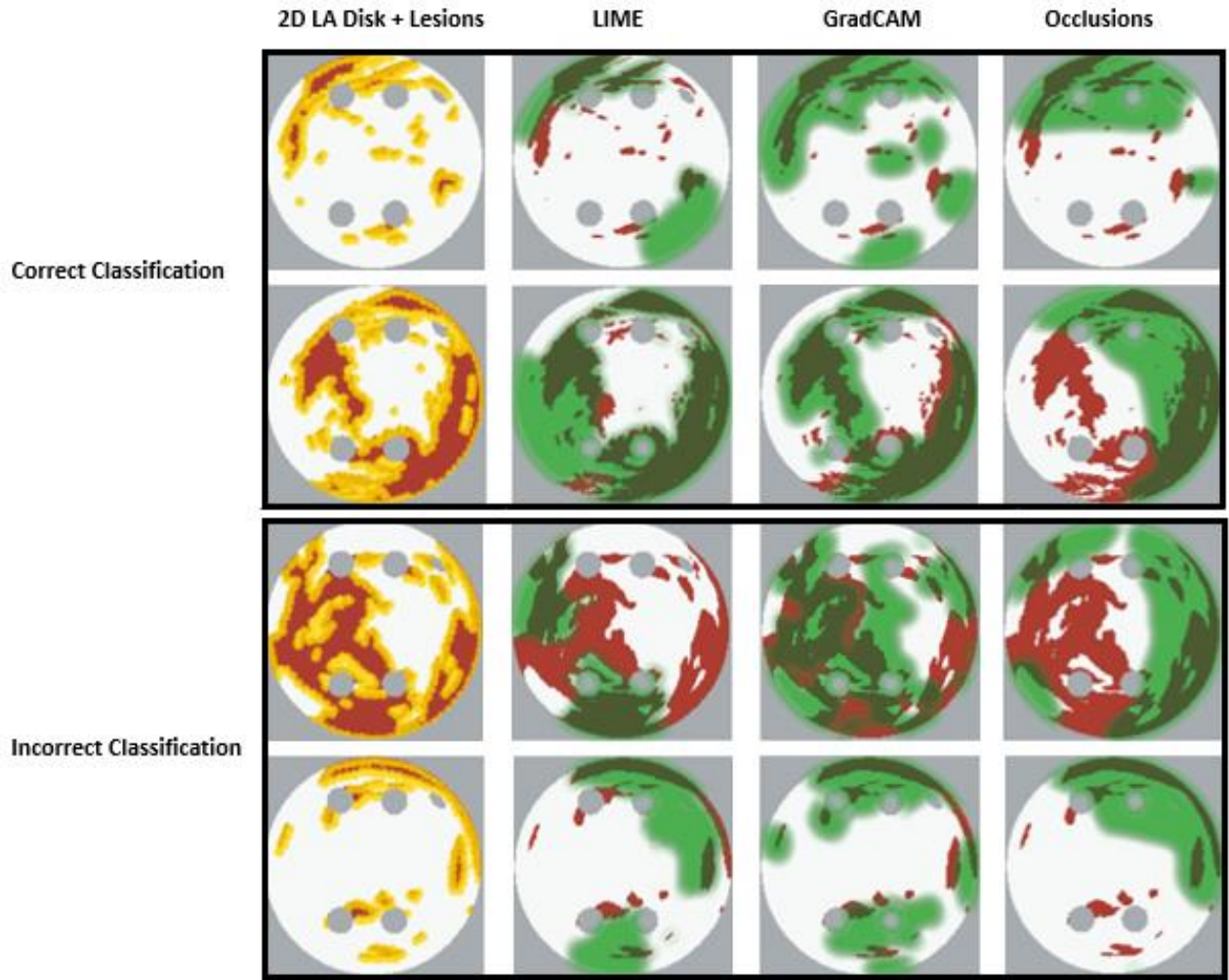


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710 **Figure 6.** Boxplot of NAT percentage for each FA method (GradCAM, LIME and Occlusions) and  
711 RFCA strategy (PVI, FIBRO and ROTOR) on the hold-out test set.

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714 **Figure 7.** Correct and incorrect classification examples of FA maps (LIME, GradCAM and  
715 occlusions) for FIBRO.

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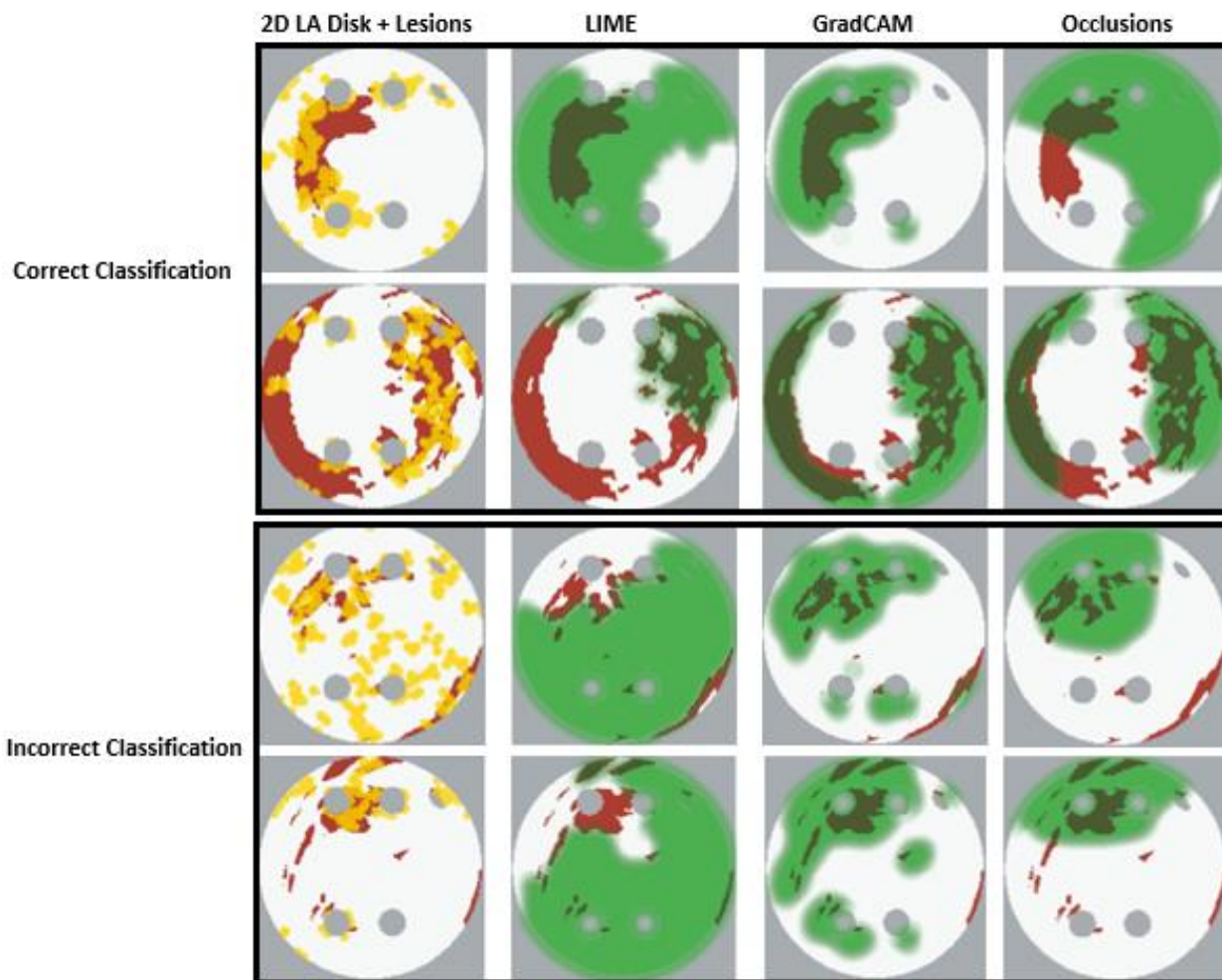
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727 **Figure 8.** Correct and incorrect classification examples of FA maps (LIME, GradCAM and  
728 occlusions) for ROTOR.

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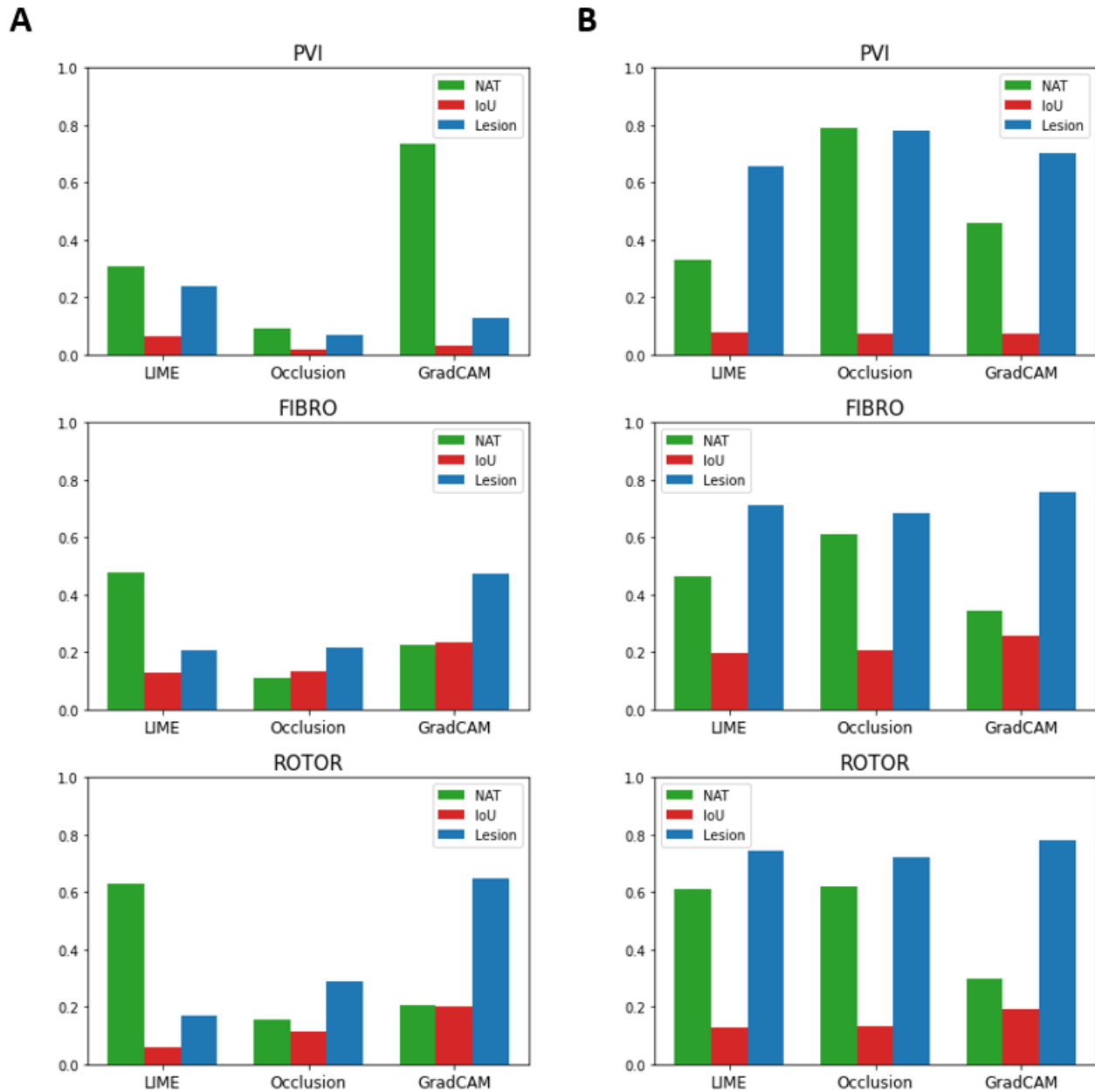
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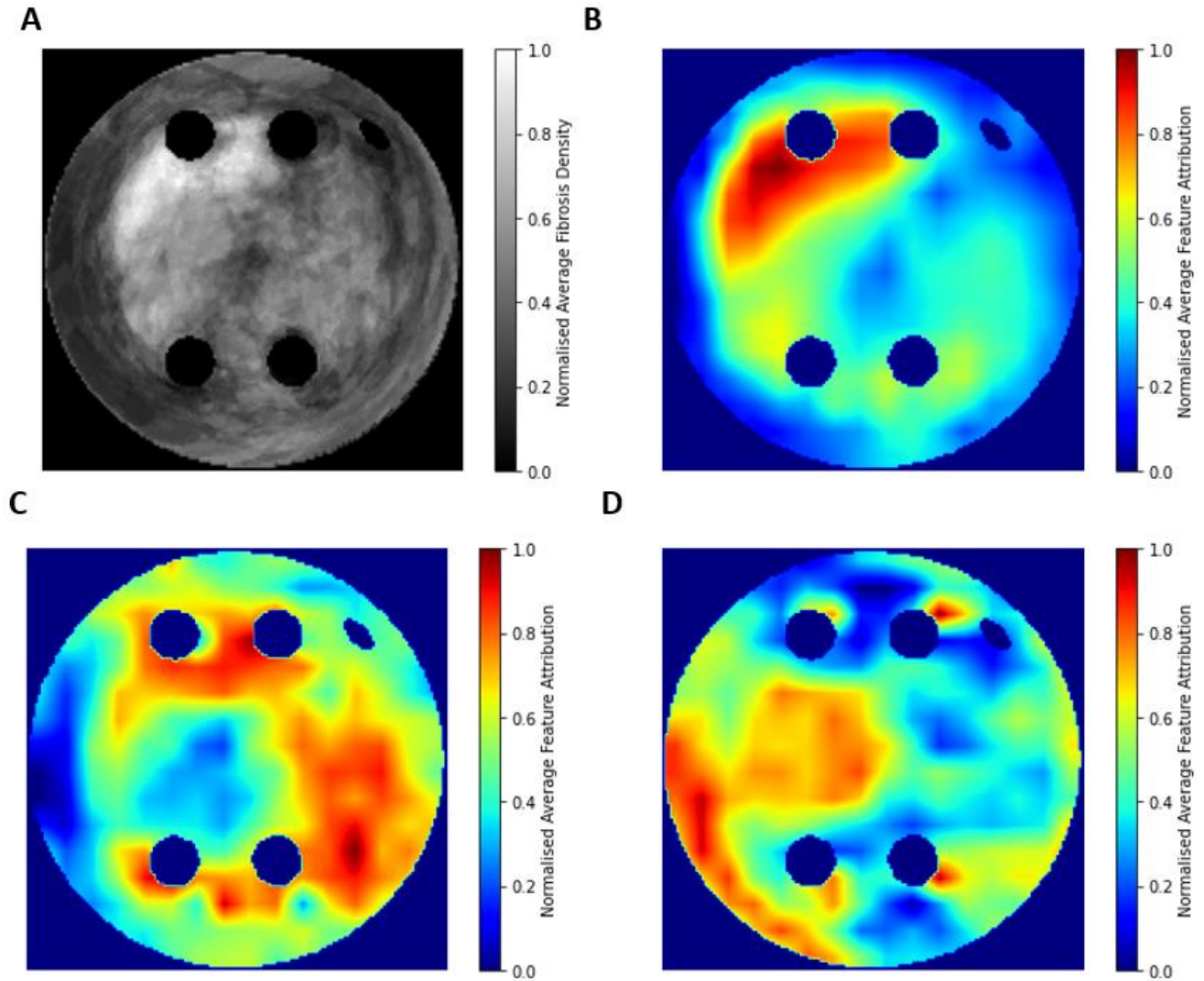
740 **Figure 9.** IoU, lesion and NAT percentage values for each interpretability method and ablation strategy  
 741 with altered informative region threshold value. **A.** Informative region threshold value 25% above the  
 742 average FA. **B.** Informative region threshold value 25% below the average FA.

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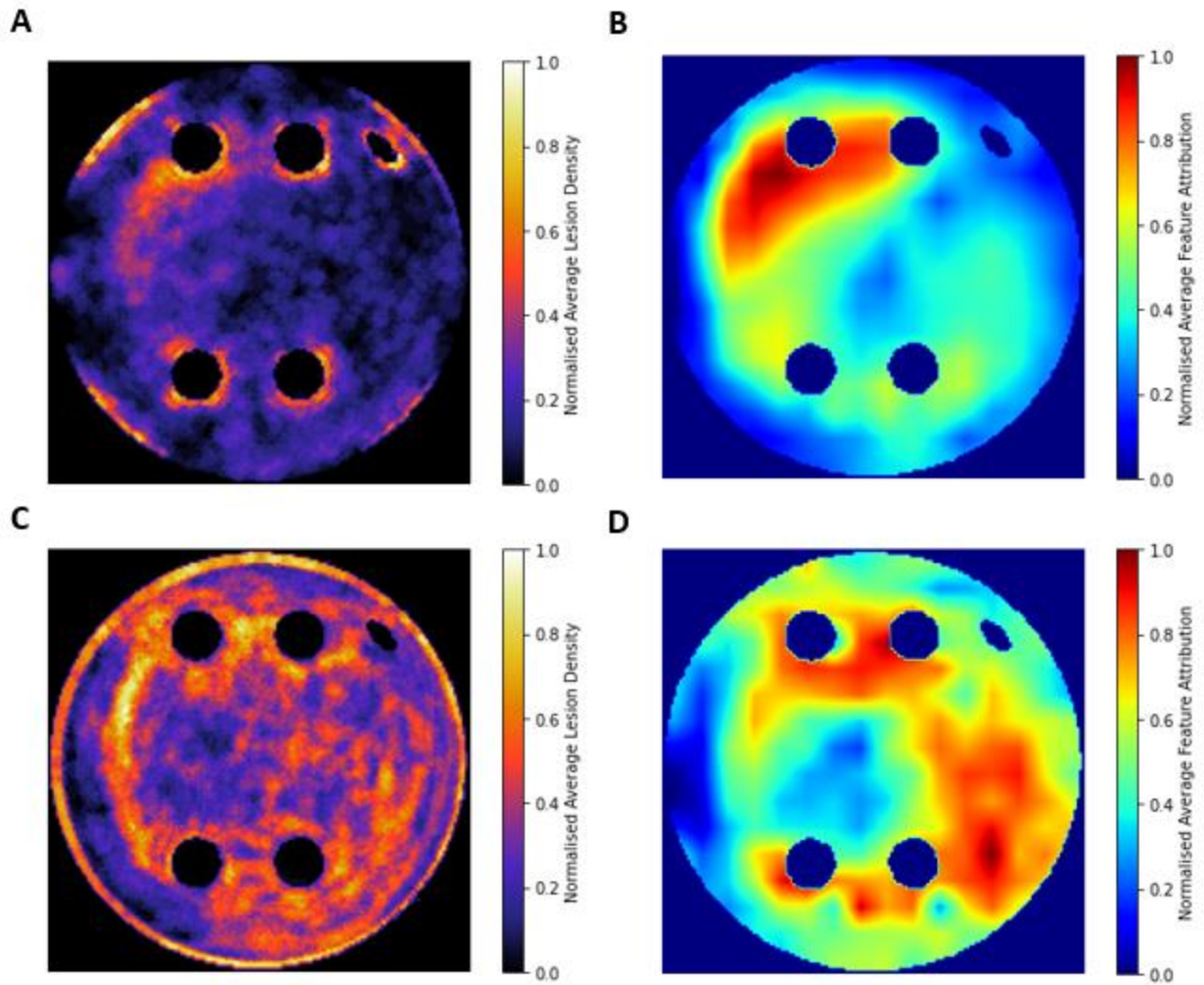
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748 **Figure 10.** Averaged LGE MRI intensities and FA maps on the hold-out test set. **A.** Averaged and  
 749 normalized LGE MRI intensity in the LA tissue disks. **B.** Averaged and normalized GradCAM FA map  
 750 for the ROTOR ablation strategy. **C.** Averaged and normalized GradCAM FA map for the FIBRO  
 751 ablation strategy. **D.** Averaged and normalized GradCAM FA map for the PVI ablation strategy.



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753 **Figure 11.** Averaged and normalised ablation lesions and GradCAM FA maps for FIBRO and ROTOR  
754 on the hold-out test set. **A.** Ablation lesions for ROTOR. **B.** FA map for ROTOR. **C.** Ablation lesions  
755 for FIBRO. **D.** FA map for FIBRO.

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