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The Role of Surface Free Energy in Osteoblast-Biomaterial Interactions

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1 **Abstract**

2 The clinical success of many orthopaedic implants relies on good integration between the implant and
3 adjacent bone. Because stabilising bone grows not only to the implant, but from it, the quick adhesion
4 of bone forming cells called osteoblasts, their appropriate differentiation and ability to form
5 mineralised bone are vital to achieve a good clinical outcome. Surface free energy can be thought of as
6 a measure of the ‘unsatisfied bond energy’ resulting from ‘dangling bonds’ exposed at a material’s
7 surface. This unsatisfied bond energy affects protein adsorption and cell attachment, and thus
8 controls the early stages of cell-biomaterial interactions and ultimately implant fixation. When water,
9 proteins, or cells approach a surface, their surface domains align to minimise the overall surface free
10 energy of the interface. Determining these interactions, however, are not simple. Whilst contact angle
11 measurements on flat surfaces can predict some surface free energy-related interactions, this is not the
12 case when surface topography is modified. Here, we review how surface free energy can be altered
13 on self-assembled monolayers, polymers, metals and ceramics and clarify the differences between
14 measurements of surface free energy and wettability. We also review how surface free energy affects
15 protein interactions and osteoblast behaviour. The result is a clearer understanding of the effect of
16 surface free energy on cell behaviour and an unambiguous need for further studies that isolate such
17 effects.

18 **Keywords:** Surface free energy, wettability, osteoblast, cell, biomaterial, bone, protein adsorption

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1 **1.0 Introduction**

2 Successful orthopaedic implant osseointegration relies on the quick and efficient formation of bone
3 tissue at an implant surface. When biological fluids come in contact with an artificial material, water
4 interactions, protein adsorption, and cell attachment are governed by the surface free energy of the
5 material. These early interactions with the surface play a fundamental role in determining cell
6 adhesion, differentiation and ultimately tissue formation at the interface. Understanding how surface
7 free energy affects the interactions of a surface with the biological milieu may allow for the rational
8 design of biomaterials. Rational design, or creating biomaterials prospectively with surface properties
9 that promote particular cell responses, would be far more efficient than testing all possible materials
10 retrospectively. In short, developing a set of rules that describe how various properties of materials'
11 surfaces govern protein interactions and thus the resulting cell response, may allow for the design of
12 surfaces that promote favourable interactions with proteins, cell adhesion and tissue-appropriate
13 differentiation. Here, we review how surface free energy influences biological interactions with
14 biomaterials and discuss how the field can move forward to design surfaces that promote favourable
15 biological responses, particularly those that will promote implant osseointegration.

16 **1.1 Biomaterials in joint replacement**

17 Damage to the articulating surfaces of the joint, particularly resulting from osteo and rheumatoid
18 arthritis, is painful and debilitating. Joint pain is one of the most common reasons people report for
19 visiting their general practitioner, and the US Center for Disease Control estimates that direct and
20 indirect costs related to arthritis in the US are more than \$128 billion (USD) annually¹. Surgical
21 interventions such as microfracture and autologous chondrocyte implantation are often employed to
22 try to mitigate joint degradation and the emerging the fields of tissue engineering and regenerative
23 medicine aim to create cell-based therapies to prevent or reverse joint disease^{2,3}. Nevertheless, the
24 gold standard treatment applied to many patients for painful joint degradation in the knee, hip and
25 finger is to replace the bearing surfaces with a metallic/polyethylene implant in an arthroplasty
26 procedure. The American Academy of Orthopaedic Surgeons reports that over 400,000 knee and hip
27 replacements procedures are performed annually in the US and this number is expected to climb as
28 the population ages.

29 Total joint arthroplasties have historically relied on fixing metallic implants to the underlying bone
30 with a poly(methylmethacrylate) cement. Despite the success of these treatments, it is widely
31 accepted that the cement provides a weak interface and contributes to loosening and eventual failure
32 of implants in the long-term⁴. Subsequent revision surgeries of failed cemented implants are
33 complicated by the need to remove residual cement and carry the risk that there is insufficient
34 remaining bone to stabilise a new implant⁵. As the population ages and increasingly expects to remain
35 active late into life, cementless (or uncemented) implants, which are stabilised by bone bonding
36 directly to the implant surface, are increasingly preferred, and particularly so in younger patients.
37 Despite their advantages, however, retrospective studies have found that cementless implants
38 generally have not performed better than their cemented counterparts, and some studies even report
39 worse patient outcomes⁶⁻⁸.

1 Cementless joint arthroplasties are reported to fail for a number of reasons, but one of the most
2 common is as a result of aseptic loosening, a process by which micromotion between the implant and
3 the bone eventually leads to instability of the implant and the need for revision (Figure 1). Some 4.2%
4 of cementless total knee implants fail due to this mechanism⁷. When a strong bone-implant bond does
5 not form soon after surgical placement, micromotion prevents the growth and mineralisation of bone
6 at the implant surface, which further contributes to micromotion, and thus further prevents bone
7 formation. In short, poor bony fixation results from micromotion and leads to the ingrowth of fibrous
8 tissue instead of bone at the implant/bone interface⁹⁻¹¹. This process can be exacerbated by the
9 presence of wear particles from the polyethylene bearing surface, which can cause inflammation and
10 interfere in the cell-mediated process of bone fixation. The other common failure mode for
11 orthopaedic implants is infection, which affects between 0.8 and 4.0% of total knee arthroplasties⁷.
12 When an implant is first placed in the joint, there is a virtual 'race to the surface' between
13 microorganisms and cells. If microorganisms arrive first, the resulting biofilm they create can render
14 later cell adhesion all but impossible and the device may have to be removed.¹²

15 To avoid such complications, the early formation of a robust bond between the implant surface and
16 the surrounding bone is essential for long-term success. In short, one of the most important factors in
17 the success of a cementless total joint arthroplasty is initial implant stability¹², which directly relies
18 upon early and robust bone growth at the implant-tissue interface. Bone growth rates from the
19 implant surface towards bone are up to 30% faster than those from the bone towards the implant¹³. As
20 a result, one of the most promising paths for improved fixation is to accelerate the onset and rate of
21 early cell adhesion and bone growth at the implant surface^{14, 15}. To accomplish this, a more complete
22 understanding of how material surface properties affect protein adsorption and cell response is
23 integral.

24 **1.2 Modifying biomaterial surfaces**

25 During the earliest stages of osseointegration, proteins adsorb on the implant surface. This process is
26 followed by osteoblast adhesion and maturation¹⁶. Physico-chemical signals from the surface
27 resulting from its inherent chemistry and topography govern and drive these processes. Three
28 methods are commonly employed to modify biomaterial surfaces: 1) physico-chemical changes, 2)
29 changes in surface topography, and 3) biochemical changes. Changes in surface topography at the
30 macro-, micro- and nano-scales can impact properties such as surface free energy and surface
31 chemistry and consequently will affect protein attachment, conformation and activity and thus how
32 cells interact with a surface. The effects of topographical changes are complicated and reflect the
33 variety of means by which the topography can be altered and the interested reader is referred to
34 excellent reviews on this topic¹⁷⁻¹⁹. Biochemical changes rely on coating the surface with delicate
35 molecules such as peptides or proteins. Osteogenic coatings may include alkaline phosphatase or
36 bone morphogenetic proteins, which mediate mineralisation and encourage bone cell differentiation,
37 respectively. Although these coatings show promise^{20, 21}, they can be delicate and may change the
38 requirements for materials handling, FDA approval, and surgical techniques, and so their path to
39 translation is more fraught.

40 Physico-chemical changes, which include changes in chemistry and charge at the surface, both of
41 which are encompassed in the surface free energy of the material, will be the primary focus of this

1 review. These changes modify the chemical nature of the surface and can affect the adsorption and
2 attachment of proteins and cells, respectively. These changes also modify signals that are given to
3 subsequent layers. Here we will focus on the influence of surface free energy, independent of
4 topography on cell-biomaterial interactions.

5 **2.0 A materials science perspective on surface free energy and wettability**

6 Surface free energy is the increase in energy associated with taking an atom from the bulk of a
7 material and placing it at the surface. When that surface is created, the atoms at the newly exposed
8 surface have fewer nearest neighbours than those same atoms would have had in the bulk - this is
9 known as coordinative unsaturation of the bonds. Coordinatively unsaturated atoms at the surface
10 have a higher energy state than atoms whose bonds are fully saturated in the bulk. Thus, surface free
11 energy is a measure of the increase in energy created at the surface of the material by the type and
12 number of dangling bonds present. The types of bonds can be loosely divided into primary- (ionic,
13 covalent, metallic) and secondary (van der Waals)-type bonds. If the dangling bonds are largely van
14 der Waals in type, the surface free energy will have a predominantly non-polar nature. If the dangling
15 bonds are primarily ionic- and covalent-type this will result in significant Lewis acid and base
16 contributions to the total surface free energy of the surface. Most surfaces are comprised of a
17 combination of all three of these components, making their interactions with liquids and therefore the
18 biological environment extremely complex.

19 **2.1 Contact angle measurements**

20 Contact angle measurements using a range of well-characterised liquids on solid surfaces are the
21 most common method for measuring surface free energy in biomaterials research. Generally, contact
22 angles measurements can be used to characterise these surfaces in two ways. On smooth surfaces,
23 contact angles measurements can be used to determine the components of surface free energy²²⁻²⁶. It
24 should be noted, however, that 'smooth' is defined by a combination of the accuracy with which
25 contact angle can be measured by an instrument and the surface free energy of the system being
26 measured. As a result, materials described as 'smooth' can have variable surface roughnesses.
27 Conversely, on rough surfaces where the surface free energy is known, information about the
28 topography of the surface can be extrapolated from contact angle measurements. Both of these pieces
29 of information can be obtained because the equilibrium state for a liquid on a surface is dependent on
30 both the thermodynamic equilibrium at interphase interfaces as well as the total length/area of the
31 phases in contact. Together, these allow for the calculation of surface free energy from droplet
32 geometry, or surface geometry from surface free energy²⁷⁻²⁹. This result highlights that both changes
33 in topography and surface free energy can affect contact angle measurements and care must be taken
34 to distinguish between them. Whilst surface free energy is a materials property that depends on
35 surface structure and composition and is therefore limited in range for a given material, topography
36 is not similarly restricted and can be modified dramatically by processing and fabrication techniques.
37 As a result of the materials constraints of surface free energy and the wide range of topographies that
38 can be obtained using currently available fabrication techniques, surfaces fabricated from the same
39 material have been observed to exhibit contact angles ranging from the superhydrophilic to
40 superhydrophobic^{30, 31}.

1 2.2 Surface roughness and wettability

2 Wenzel²⁸ and Cassie and Baxter²⁷ have described the role of surface roughness in wettability. Unlike
 3 surface free energy which is determined by a material's inherent structure, wettability can be defined
 4 quite simply as a measure of the ability of a liquid to contact a surface. Wenzel described wetting of a
 5 rough surface when the liquid completely wets all of the features underneath the droplet. In this fully
 6 wetting condition, contact angle was found to be dependent on the surface roughness as measured by
 7 the tortuosity, or increase in area, of the surface. The direct relationship between roughness and the
 8 change in wettability is described by Equation 1. θ_w is the observed liquid contact angle, or wettability
 9 of the surface, θ is the contact angle for the flat surface, and R is defined as the tortuosity of the
 10 surface (real area divided by projected area)²⁸.

$$\cos\theta_w = R\cos\theta$$

11 Equation 1.

12 One of the most important features of this equation is that it predicts that surfaces that are
 13 intrinsically hydrophilic (contact angles less than 90°) will become more hydrophilic when
 14 roughened, and hydrophobic materials (contact angles greater than 90°) will become more
 15 hydrophobic with increasing surface roughness (Figure 2). This type of wetting is most common
 16 when the features on the rough surface have relatively low aspect ratios (rolling hills as opposed to
 17 sharp mountain peaks).

18 In contrast to Wenzel, Cassie and Baxter described the effects of very high tortuosity roughness on
 19 surfaces where droplets do not fully wet the surface. In this case the roughness of the surface is so
 20 great that instead of wetting the surface, the water sits on top of the features that create the surface
 21 roughness (Figure 3). This, in effect, creates an air solid composite surface below the wetting liquid.
 22 Because the contact angle of water suspended in air is 180°, the resulting effect is
 23 superhydrophobicity, materials with contact angles in excess of 140°^{27, 30-35}. This is the wetting
 24 condition responsible for the hydrophobic behaviour of the lotus leaf and many other biological
 25 examples of superhydrophobicity³³. This phenomenon is capable of inducing superhydrophobic
 26 behaviour in structures made of hydrophilic materials.

27 When it comes to understanding the wetting phenomena of liquids and biological materials with
 28 solid surfaces, care must be taken. Although surface free energy and topography are independent of
 29 one another, their measurements by contact angle are intimately linked. In order to make
 30 measurements of surface free energy using contact angle measurements the surface topography must
 31 be known. Once a smooth rigid surface of a material is obtained, the surface free energy can, and
 32 should, be determined by the measurement of contact angles using several liquids. The resulting
 33 equilibrium droplet shape is defined by Young's equation (Equation 2^{28, 29, 36}), which describes a force
 34 and equivalent energy balance between the interacting surfaces (liquid, solid, and vapour).

$$\gamma_{SV} = \gamma_{LV} \cos\theta + \gamma_{SL}$$

35 Equation 2.

1 Here, γ_{sv} is the solid-vapour surface free energy, γ_{lv} is the liquid-vapour surface tension, and γ_{sl} is
 2 the solid-liquid surface free energy. This equation assumes that the solid surface is flat and rigid, and
 3 that the system is in equilibrium. This equation can be extended to include the non polar and
 4 acid/base components of surface free energy of a material (non-polar - γ^{LW} , Lewis acid - γ^+ , and Lewis
 5 base - γ^-), which can also be determined using a series of contact angle measurements^{22, 26}. These
 6 measurements should be made on a flat, rigid surface with a minimum of three liquids whose non-
 7 polar, acid, and base surface free energies are known. The choice of base liquids has been well
 8 studied by Della Volpe and van Oss and the reader is encouraged to refer to their work when
 9 selecting base liquids^{23-25, 37}. The measured values of contact angle and the surface free energy values
 10 from each of the three liquids can then be entered into Equation 3.

$$\gamma_l(1 + \cos\theta) = 2 \left(\sqrt{\gamma_s^{LW} \gamma_l^{LW}} + \sqrt{\gamma_s^+ \gamma_l^-} + \sqrt{\gamma_s^- \gamma_l^+} \right)$$

11 Equation 3²².

12 In this equation, γ_l is the total surface tension of the liquid (this is the same as γ_{lv} in Young's equation).
 13 The total surface free energy of each phase is then made up of γ^{LW} (non-polar), γ^+ (Lewis acid), and γ^-
 14 (Lewis base) components of surface free energy. In each case the subscripts s and l denote the solid
 15 and liquid phases in contact with the gas, respectively. By solving this equation simultaneously for all
 16 three liquids, the three unknown surface energy values for the solid can be determined. Monte Carlo
 17 methods can then be used to determine the error in the surface free energy measurements³⁸.

18 2.3 High and low surface energy surfaces

19 As can be seen from the previous section, the surface free energy of a material is independent of
 20 surface topography. De Gennes devised a method to divide materials' surfaces into two general
 21 categories: high energy and low energy³⁹. 'High-energy' surfaces were described as being composed
 22 of materials that are metallically, covalently, or ionically bonded, whilst 'low-energy' surfaces are
 23 defined as being largely composed of van der Waals-type bonding and often include molecular
 24 crystals and polymers. High energy surfaces include metals and oxides and have surface energies
 25 ranging from approximately 500-5,000 mN/m, whilst low energy surfaces, including molecular
 26 crystals and plastics, have surface energies in the range of 5-50 mN/m. Both high and low energy
 27 surfaces have surface free energies containing non-polar, Lewis acid, and Lewis base components^{22, 25}.

28 High-energy surfaces such as metals and metal oxides are most commonly used in biological
 29 implants and will be discussed here. The chemisorption on these surfaces is different from that on
 30 low energy surfaces primarily due to the presence of considerable amounts of Lewis acid and base
 31 character. The large amount of acid and base surface free energy is the result of ionic character
 32 present in the dangling material bonds at the surface. The ionic nature of the bonds results in the
 33 formation of cation and anion sites on the surface leading to strong acid/base components of surface
 34 free energy that are not present in 'low-energy' surfaces. At these charged surface sites, it is possible
 35 for the ions to interact with molecules at the surface through ion-dipole attractions and electronic
 36 orbital overlap. The strong acid/base surface energy of these surfaces can result in interactions with
 37 adsorbates on the surface. The most common example is water molecules, which are susceptible to
 38 the dissociative reaction where the adsorbate is deprotonated to form surface hydroxyl groups. These

1 hydroxyls are known to be present on highly ionicly bonded surfaces such as oxides⁴⁰. The presence
2 of these hydroxyl groups has also been shown to change the surface free energy of the material,
3 effectively reducing its acid and base components and increasing the observed contact angle for polar
4 liquids such as water³⁸. Indeed, Gentleman and Ruud observed an increase in water contact angle
5 from $\sim 0^\circ$ to $\sim 35^\circ$ as hydroxyls were added to a single crystal alumina surface.

6 **2.4 Crystallographic structure in surface free energy**

7 With the exception of platinum group metals, which are rarely used outside of dental applications,
8 metals used as biomaterial implants develop an oxide scale on their surfaces that dominates the
9 surface properties of the implanted material. For high-energy materials, there are a limited number of
10 ways that the surface free energy can be modified. The most obvious is through the modification of
11 the number of Lewis acid and base sites that are available as well as their strength. This can be
12 accomplished by changing the crystallographic plane that is exposed at the surface.

13 Bulk alpha-alumina, for example, has the corundum crystal structure where aluminium atoms sit in
14 two thirds of the octahedral positions formed by the close-packed oxygen planes and the other third
15 are left vacant. These vacancies are arranged on the (10-12) plane of the corundum lattice, which
16 happens to be the primary cleavage plane for many compounds with this crystal structure, but not
17 Al_2O_3 . This plane is made up of a combination of anions and cations. Atoms on the (10-12) surface
18 experience the smallest effect of coordinative unsaturation of the available planes in this crystal
19 structure^{38, 40}. A cation on a defect free (10-12) surface has a coordination number of five (four
20 neighbours in the plane and one beneath the plane) as compared to six nearest neighbours when
21 octahedrally coordinated in the bulk. As a result of the relatively high coordinative saturation on this
22 surface, there is little relaxation of atoms on this plane away from the positions that would be
23 expected in the bulk⁴⁰. As a result this surface is expected to have a lower surface free energy than
24 other stable crystallographic planes in alumina.

25 The (0001) surface, unlike the (10-12) surface, however, is either all anions or all cations. Based on
26 electrostatic considerations and measurements of the cleavage energies for different crystallographic
27 terminations of a finite crystal, the aluminium surface should be more stable than the oxygen surface.
28 This result has been confirmed with estimations of the surface free energy of the aluminium- and
29 oxygen-terminated surfaces, which have shown that the surface energy of the oxygen-terminated
30 surface is approximately twice that of the aluminium terminated one^{41, 42}. The aluminium atoms on
31 the aluminium-terminated surface relax strongly and the cations have a coordination number of
32 three, half of that for the cation in the bulk⁴³.

33 This effect is also relevant in other biomaterials. The rutile form of titanium dioxide has also been
34 studied (TiO_2). This is the stable native oxide present on most titanium-containing implant materials.
35 The (001) plane of this oxide, which can be purchased in single crystal form, sees the cation
36 coordination decrease from six in the bulk to four at the (001) surface⁴⁰. Because of the low
37 coordination at the surface, the surface tends to be prone to reconstruction, and (011) and (104) facets
38 are often observed after even moderate thermal exposures. This may lead to a decrease in surface free
39 energy and, in very extreme cases, increase the surface roughness of the material.

1 These results teach several things. First, water contact angle cannot discriminate between all of the
2 components of surface free energy that will likely control biological interactions at a surface. Water,
3 for example, has significant non-polar, acid, and base components of surface free energy. Therefore,
4 many combinations of these three components can result in the same contact angle measurements.
5 Second, if there is a strong acid-base interaction between proteins and surfaces, a better
6 understanding of how those interactions take place and the limits of those interactions must be
7 explored.

8 **2.6 Other methods for modifying surface free energy**

9 In addition to changing the crystallographic termination of the surface, surface free energy can also be
10 modified through the non-equilibrium addition of ions. This can be accomplished in several ways, all
11 of which bombard the surface with high energy radiation of some sort followed by rapid quenching
12 to trap in the metastable state. One example of this is the use of CO₂ laser treatment. Because the
13 equilibrium concentration of oxygen in many oxide scales is dependent on temperature, laser surface
14 treatments can modify oxygen concentration at the surface very effectively⁴⁴. Likewise, plasma
15 treatments, which can be used to sterilise surfaces, also modify surface energy, often because they
16 remove weakly bound organics from the surface. This removal of organic surface contamination is
17 important because most hydrocarbon “dirt” on surfaces has very low surface free energy with
18 predominantly non-polar nature. Since the adhering or wetting liquid only ‘sees’ the top monolayers
19 of the surface, cleaning these non-polar contaminants off of the surfaces can dramatically alter surface
20 free energy and water contact angle. Additionally, extra surface chemistry can be added to the surface
21 using the plasma. Oxygen plasmas, for example, can oxidize or hydroxylate the surface, increasing or
22 decreasing the surface free energy³⁸. Carbon tetrafluoride (CF₄) plasma treatments, on the other hand,
23 often result in very low surface energy fluorite-containing groups covering the surface.

24 **3.0 The biological response to surface free energy**

25 As we have seen, surface free energy, which differs from wettability, is a fundamental property of a
26 material associated with chemical bonds at its surface. These bonds interact with species that it comes
27 in contact with. In the biological environment of the body, such species include water, ions, sugars,
28 proteins and cells. How does the surface free energy of a material affect these interactions and thus
29 the overall biological response?

30 **3.1 Protein adsorption**

31 When a biomaterial surface first comes in contact with a biological fluid, the oriented adsorption of
32 molecules creates a conditioned surface which will later govern cell-surface interactions. In short, a
33 cell never encounters a completely clean surface. Materials are instead covered by the components of
34 the fluid in which it is immersed, which includes water, ions, sugars and proteins. *In vivo* this fluid
35 can be blood, saliva or interstitial fluid, and *in vitro*, it is often cell culture medium and serum
36 constituents. Human plasma may contain as many as 1175 distinct proteins, any of which may
37 interact with a surface⁴⁵. For cells, which will move more slowly than proteins, it is this conditioned
38 surface that they first encounter and it governs how the cells attach, their resulting morphology and
39 behaviour.

1 Proteins are often regarded as the primary and most important constituent of biological fluids that
2 condition a surface (although others argue that water itself plays a significant role⁴⁶). Proteins are
3 ubiquitous biological molecules responsible for everything from forming tissues to mediating most
4 biological reactions, and from a materials point of view, are highly surface active. Indeed, it has been
5 estimated that the surface-associated concentration of protein is some 1000 times that in solution⁴⁷.
6 Once adsorbed, the charged domains on proteins' surfaces make it such that even significant dilution
7 of the protein solution will fail to remove them from the surface. Which proteins adsorb to a surface
8 from a biological fluid, their resulting bioactivity and their time-dependent concentrations on the
9 surface, is the subject of a great deal of research and remains controversial. Although beyond the
10 scope of this review, the reader is referred to excellent reviews^{48, 49} and a thorough opinion piece by
11 Vogler⁵⁰, which nicely describes many of fundamental issues surrounding the interactions at the
12 protein-surface interface.

13 Despite such controversies, however, a few general observations are widely accepted and will be
14 discussed here. When a biomaterial comes in contact with a biological fluid, the constituents of the
15 adsorbed protein layer will be governed by a combination of the material's characteristics, the
16 concentration of the proteins in solution and their affinity for the surface. Proteins in high abundance
17 tend to adsorb quickly, but are often later replaced by proteins with higher affinities for the surface,
18 but often lower concentrations in the solution, in a phenomenon termed the 'Vroman Effect'. Over
19 time, the adsorbed protein layer therefore changes from one dominated by proteins that are abundant
20 to one that contains proteins with high affinities and/or great resistance to displacement. For example,
21 on various polymers, vitronectin adsorption is highly enriched compared to its concentration in the
22 serum⁵¹. These observations suggest that vitronectin overcompetes proteins that are more abundant in
23 the solution for binding sites on the material surface and/or exhibits greater resistance to
24 displacement once adsorbed.

25 Many general trends for protein associations with biomaterials are described in the literature terms of
26 materials' 'hydrophobicity' and 'hydrophilicity'. As discussed above, descriptions of surface
27 wettability lack the precision to define true surface reactions because the relative 'phobicities' of
28 several surfaces can be identical whilst their surface chemistries can remain quite different. For this
29 reason, descriptions of hydrophobic/hydrophilic surface interactions should always be considered in
30 this context. Indeed, much controversy in the literature regarding protein-surface interactions may be
31 explained by the inappropriate attribution of these characteristics to particular surfaces. Nevertheless,
32 as much of the literature relies on this terminology, reported trends are mentioned here and are
33 described using these terms.

34 One general observation is that protein adsorption is greater on hydrophobic surfaces compared to
35 hydrophilic. Larger adhesion forces for a number of blood proteins have been consistently observed
36 on more hydrophobic surfaces compared to hydrophilic surfaces, and these adhesive forces increase
37 with surface-protein contact time. Hydrophobic surfaces seem to irreversibly bind albumin, an
38 ubiquitous and abundant protein in serum. Alternatively, hydrophilic surfaces bind proteins such as
39 fibronectin, a common component of the extracellular matrix (ECM), which promotes cell adhesion.
40 Counterintuitively, the inverse is often observed with cell attachment – cells generally attach better on
41 hydrophilic surfaces as compared to hydrophobic. However, which proteins adsorb to a surface also
42 appears to be essential in determining the resulting cell behaviour. For example, in studies in which

1 proteins were selectively removed from serum, it was found that vitronectin, but not fibronectin, was
2 essential for early cell adhesion⁵². Many studies have also demonstrated that protein adsorption to
3 metallic and ceramic surfaces mediate bone cell attachment and spreading. Indeed, fibronectin is
4 often reported to be essential for osteoblast attachment and differentiation. Its enhanced adsorption
5 (along with that of vitronectin) on hydroxyapatite as compared to stainless steel and titanium, is
6 thought to be responsible for significant increases observed in osteoblast attachment⁵³.

7 Proteins, however, also undergo structural rearrangements when they encounter a surface as a result
8 of charge interactions. In this way, not only is the concentration of protein and its charge important in
9 its interaction with the surface, but also its potential for conformational change once adsorbed to the
10 surface. Protein activity resulting from conformational changes upon adsorption appear to play an
11 important role in subsequent cell attachment^{54, 55}. For example, whilst it appears that adsorbed
12 fibronectin maintains its functionality on hydrophilic surfaces, it displays markedly reduced
13 functionality on hydrophobic surfaces⁵⁶. In contrast, vitronectin's activity does not appear to vary
14 with surface wettability⁵⁷. In short, during these initial interactions, surface free energy controls which
15 species initially adsorb, their orientation, conformation and thus bioactivity.

16 More recent studies utilising self-assembled monolayers (SAM) which control the presentation of
17 functional groups (see Section 4.4), have also reported that the key adsorbed protein that controls cell
18 attachment is vitronectin⁵⁸. Studies on these surfaces demonstrate that wettability alone does not play
19 the dominant role in determining subsequent cell behaviour. Hydrophilic surfaces with OH and PEG
20 functional groups which failed to adsorb vitronectin did not promote cell attachment. This
21 observation suggests that the characteristics of the surface that allow for protein adsorption, rather
22 than the wettability of the surface itself, play the most important role in subsequent cell attachment
23 and behaviour⁵⁸.

24 **3.2 Integrin-mediated cell-surface interactions**

25 Cells are not perfect spheres that simply adhere to a surface. Instead the cell membrane is covered in a
26 pericellular matrix, a mostly hyaluronan-based coating that extends out from the surface of the cell⁵⁹,
27 and thus plays a role in mediating cell-surface interactions. Cells do not adhere uniformly to a surface
28 either. Although non-specific adhesion mediated by ionic and van der Waal's forces between the
29 negatively charged cell membrane and a surface likely act as a complementary mechanism, cells are
30 thought to attach via discrete points, often referred to as focal adhesions, created by protein clusters
31 and mediated by integrins (Figure 4). Integrins are a major family of cell surface receptors that
32 mediate cell adhesion to ECM proteins (Figure 5). The integrin family is composed of 22
33 transmembrane heterodimers consisting of two types of sub-units: α and β . Osteoblasts have been
34 shown to be able to express a variety of integrin subunits including α_1 , α_2 , α_3 , α_4 , α_5 , α_6 , and α_v , and β_1
35 and β_3 ^{60, 61}. Different types of cells express a particular complement of integrins and different integrins
36 recognise different ECM proteins, with some being highly specific and others able to bind a variety of
37 sequences.

38 Although conflicting data are rife in the literature, $\alpha_5\beta_1$ integrin and $\alpha_v\beta_3$ integrin, among others,
39 appear to play important roles in osteoblast adhesion and differentiation^{62, 63}, and so the adsorption of
40 proteins that are recognised by these integrin sequences may be important in mediating their

1 adhesion. The β_1 integrin (as part of the $\alpha_5\beta_1$ integrin subunit), in particular, appears to play an
2 important role in osteoblastic differentiation of precursor cells⁶³⁻⁶⁵. Moursi *et al.* demonstrated that
3 blocking $\alpha_5\beta_1$ integrin inhibited osteoblast differentiation (as determined by gene expression analyses)
4 and mineralised nodule formation when MG63 (human osteosarcoma) cells were cultured on gelatin-
5 coated tissue culture plastic⁶³. However, this effect may not be specific as they reported that other
6 integrins were regularly expressed by osteoblasts both *in vitro* and *in vivo*. Ligand binding by the β_1
7 subunit may also be necessary for matrix mineralisation^{64, 66} as blocking its function with an antibody
8 inhibited osteoblasts' ability to form mineralised nodules. Since $\alpha_5\beta_1$ is the only integrin that binds
9 fibronectin exclusively, the presence of this protein adsorbed to a biomaterial surface may be
10 necessary to allow for osteoblastic differentiation.

11 However, which particular integrins are expressed when a cell comes in contact with a surface may
12 also be material specific. Although other factors which affect adhesion such as topography confound
13 general observations, on cobalt-chromium alloys for example, osteoblasts do not always express α_3 , α_6
14 and β_3 subunits⁶⁰. Similarly, integrin expression varied when cells were cultured on grit-blasted
15 versus calcium phosphate-coated titanium⁶⁷, or indeed on a variety of standard biomaterial surfaces:
16 α_5 and α_6 were not expressed when cells were cultured on titanium or cobalt-chromium alloys, and α_3
17 was not expressed when they were cultured on cobalt-chromium alloys or if the titanium surface was
18 roughened⁶⁰. Olivares-Navarette *et al.* similarly examined the role of integrins in osteoblast behaviour
19 on titanium surfaces⁶⁸; they demonstrated that whilst $\alpha_5\beta_1$ mediated cell attachment and proliferation,
20 it inhibited differentiation. Instead, $\alpha_2\beta_1$ was required for osteoblastic differentiation.

21 Taken together, these results suggest that osteoblast adhesion to different substrates is mediated by
22 differential expression of integrins. These differences are likely due to the differential adsorption of
23 various proteins on the different materials – which is again related to their surface characteristics. On
24 hydrophobic surfaces, human foetal osteoblasts express significantly lower levels of the α_5 and β_3
25 integrin subunits compared to cells cultured on hydrophilic surfaces⁶⁹. Surprisingly, however,
26 findings of enhanced integrin expression and increased cell spreading do not appear to correlate with
27 osteoblast-specific gene expression, which is necessary for appropriate differentiation and bone tissue
28 formation. For example, the expression of osteopontin, a protein in bone and often used as a marker
29 for osteoblastic differentiation, is higher in human foetal osteoblasts cultured on relatively
30 hydrophobic silane-treated quartz compared to more hydrophilic surfaces⁶⁹. Similarly, Lim *et al.*
31 report that although osteoblast adhesion and spreading is inversely correlated with contact angle on
32 materials with a range of hydrophilicities, they could not detect similar trends when measuring
33 alkaline phosphatase activity, an enzyme necessary for mineralisation, in human foetal osteoblast
34 cells⁷⁰. Indeed, whilst appropriate protein adsorption to a biomaterial surface is important for
35 subsequent cell adhesion, more cell adhesion is not necessarily better and promoting particular
36 protein adsorption to encourage specific integrin interactions may be the more promising means to
37 promote osteoblast differentiation and bone tissue formation on a surface. Just as biomaterial
38 surfaces used to induce bone formation can be described as either class A (osteogenic/osteoproliferative
39 - promote bone formation) or class B (osteoconductive – allow bone to migrate across the surface),
40 protein-surface interactions that allow for not only cell attachment, but also encourage appropriate
41 differentiation and tissue formation will better promote osseointegration than those that merely
42 support adherence.

1 3.3 Cell adhesion and differentiation

2 Most cells, including osteoblasts, are anchorage dependent and will not survive in suspension. That
3 is, the cells must be adherent to a surface to remain viable. It has long been recognised that a cells'
4 ability to adhere onto a surface plays a significant role in osteoblast differentiation. And indeed,
5 morphology is of critical importance in maintaining or inducing a particular cell to maintain its
6 phenotype, or tissue-specific identity. For example, when mesenchymal stem cells (MSC), which have
7 the ability to differentiate into a variety of musculoskeletal cell types including osteoblasts, are
8 cultured on large adhesive islands that permit cell spreading, they tend to adopt an osteogenic
9 phenotype, whilst those whose spreading is limited become fat cells called adipocytes⁷¹.

10 In general, adherent cells that spread on a surface will proliferate, whilst those that do not and remain
11 rounded will divide at a much lower rate⁷². Cell shape is strongly correlated with surface properties,
12 and generally increases in size with increases in hydrophilicity⁶⁹. On hydrophilic surfaces, cells also
13 show strong focal adhesions formation and stress fibre bundles within 3 hours of plating. Conversely,
14 on hydrophobic surfaces, staining for actin, an important cytoskeletal protein, is far more diffuse and
15 vinculin staining for focal adhesions are lacking⁷³. However, whilst cell attachment to a biomaterial
16 surface is clearly important for good implant integration, the trend for 'improved' cell behaviour with
17 increasing adhesion is not perfect. Indeed, excessive adhesion may actually be detrimental. One
18 report of high levels of MSC attachment on positively charged surfaces concomitantly showed
19 reduced cell spreading and differentiation⁷⁴.

20 Despite trends in cell behaviour on hydrophobic versus hydrophilic surfaces, morphological
21 differences between cells on various surfaces tend to disappear after 48 hours. This observation is
22 thought to be attributable to cells' ability to compatibilise the surface with secreted proteins. On
23 surfaces that do not support cell attachment (likely because of the lack of appropriate adsorbed
24 proteins) cells secrete adhesive proteins such that they can then adhere and spread. Such observations
25 are confirmed by studies using serum and serum-free medium, the former of which is rich in proteins
26 which readily adsorb to many surfaces. Healy *et al.* showed that materials that did not allow for cell
27 attachment in the absence of serum, but were adhesive to primary osteoblasts in the presence of
28 serum proteins, could be made adhesive if left in the presence of cells for approximately 24 hours⁷⁵.
29 The authors concluded that endogenous protein secretion rendered the surfaces adhesive.

30 However, cells do not just secrete proteins to compatibilise surfaces, they also appear to reorganise
31 those that are already adsorbed. When cultured on hydrophilic clean glass and hydrophobic
32 octadecyl glass, human fibroblasts display typical behaviour – they poorly adhere to the hydrophobic
33 material but display better attachment to the hydrophilic⁷⁶. Attachment can be rescued by pre-coating
34 the surfaces with fibronectin, however, cells still fail to proliferate on the hydrophobic surfaces.
35 Nevertheless, when the authors conjugated the fibronectin to a fluorophore they found that on the
36 hydrophilic surfaces, cells were re-organising the fibronectin, and this phenomenon seemed to
37 contribute to their ability to proliferate. Alternatively, cells were unable to reorganise the fluorescent
38 fibronectin on the hydrophobic surfaces.

39 3.4 Surface free energy and cell behaviour

1 In general terms, researchers have often reported that high surface free energies or wettability
2 promote cell adhesion, whilst surfaces with low surface free energies are not supportive of cell
3 attachment and spreading^{49, 70, 73, 77-79}. However, because wettability and surface free energy are not
4 necessarily directly correlated and because the surface interactions are complex, this is not strictly
5 reported as true across the board. A number of researchers have shown that instead of there being a
6 direct correlation between contact angle and cell attachment, there rather seems to be an ideal contact
7 angle that best directs cell proliferation and behaviour and this occurs around 60-70°⁷⁸⁻⁸⁰. As such, 65°
8 contact angle is often reported as a ‘magic’ number for biomaterials to achieve ideal levels of cell
9 attachment and spreading.

10 However, there is clear data from many authors indicating that this does not necessarily hold true
11 either and contact angle is not a good predictor of cell attachment and behaviour. Howlett *et al.* report
12 that contact angle measurements on titanium, alumina, stainless steel and polyethyleneterephthalate
13 (PET) between 37 and 83° failed to show a predictive relationship with cell attachment⁵². Groth and
14 Altankov have similarly shown that whilst fibroblasts spread more and create more stress fibres and
15 stronger focal adhesions on hydrophilic surfaces compared to hydrophobic, proliferation did not
16 follow the same trend and was higher on the hydrophobic materials (octadecylsilane and silicone)
17 compared hydrophilic (glass and aminopropylsilane)⁷⁸. Human foetal osteoblasts also appear to show
18 a strong preference for hydrophilic quartz (SiO₂) over similarly hydrophilic plasma-treated glass
19 (SiO_x)⁷⁰. The reason for the difference in cell behaviour due to surface chemistry, quite independent of
20 surface wettability is unclear, but again likely relates to protein adsorption and reiterates the fact that
21 contact angle alone should not be used as a predictor of cell-surface interactions.

22 Moreover, a number of studies have shown that the general trend for increasing cell attachment and
23 spreading on hydrophilic surfaces over hydrophobic does not always hold true either. Indeed, Padijal-
24 Molina *et al.* studied the effects on MG63 cells of adding methyl groups to oxidised silicon surfaces, a
25 system that allowed them to change surface energy without concomitant changes in roughness.
26 Increasing water contact angle (hydrophobicity), which correlated with increased methylation, lead to
27 increases in cell attachment and spreading⁸¹. Similarly, Kennedy *et al.* examined osteoblast
28 attachment, spreading and proliferation on self-assembled monolayer surfaces (see section 4.4) whose
29 hydrophilicity had been altered by UV oxidation⁸². They report linear increases in cell proliferation
30 with increases in hydrophobicity and the lowest cell spread area on the most hydrophilic surfaces.
31 How can such results be explained? In addition to the previously discussed issues with defining such
32 materials by their wettability, other factors may also play a role. One reason may be that many
33 surfaces that are used for cell culture or to assess the effects of substrate chemistry or wettability on
34 cell behaviour are heterogenous at the molecular level. Indeed, tissue culture plastic and glass, for
35 example, lack uniform surface organisation. There is a significant need, therefore, to assess the effects
36 of these variables on surfaces that are precisely defined.

37 Some have carried out more ‘defined’ experiments by examining cell behaviour on crystals, which are
38 highly ordered and have a repetitive, defined chemical nature at the atomic scale (see section 2.4).
39 Hanein *et al.*, for example, examined A6 *Xenopus* epithelial cell behaviour on calcite and calcium
40 (R,R)-tartrate tetrahydrate crystals, on which the orientation of the carboxylate and hydroxyl groups
41 of the tartaric acid will differ resulting in differences in surface free energy⁸³. When cells were grown
42 on the two surfaces, the (011) face was found to be highly adhesive, whilst the (101) face fostered

1 significantly slower cell attachment. The authors attributed these effects to differences in the surface
 2 distribution of lattice water molecules and charges. It is interesting to note that fibronectin and other
 3 serum protein adsorption to the different crystal surfaces were comparable, suggesting that the
 4 conformation or orientation of the adsorbed proteins, rather than their quantity, may have played a
 5 role in the resulting cell behaviour⁸⁴.

6 Similarly, Faghihi *et al.* examined the effect of titania crystal orientation on MC3T3-E1 mouse
 7 osteoblast and rat fibroblast cell adhesion⁸⁵. They prepared (10-10), (11-20), and (0001) planes of single
 8 crystal titanium metal with highly polished surfaces. Contact angle measurements on the (11-20)
 9 surface were approximately 10° higher than for the other two surfaces, suggesting that the surface
 10 free energy was lower. This lower energy surface supported enhanced MC3T3-E1 attachment when
 11 compared to the other surfaces. However, rat fibroblasts were best supported on the (10-10) surface
 12 even though its contact angle was comparable to that of the (0001) surface. As in Hanein *et al.*'s study,
 13 the authors attribute these differences to organisation of surface water molecules on the lattice faces,
 14 which would have affected protein adsorption and conformation.

15 Similar studies have also been carried out on hydroxyapatite, the primary mineral constituent of
 16 bone. Hydroxyapatite ($\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$) has the space group $P6_3/m$ and generally facets to display
 17 two sets of growth planes - the a,b-type and the c-type. The a,b-type is defined as (10-10) and the c-
 18 plane is the (0001) plane. The (10-10) is Ca-rich, making it positively charged, and the (0001) plane is
 19 rich in phosphate and OH species, making it negatively charged. Zhuang *et al.* examined MC3T3-E1
 20 behaviour and found that cell attachment efficiency decreased with increasing a,b plane orientation
 21 degree⁸⁶, confirming other reports that low energy surfaces are poorly cell adhesive.

22 **4.0 Surface free energy on polymer, metal, ceramic and self-assembled monolayer surfaces**

23 As noted in section 2.3, different materials are dominated by different types of bonding. As we have
 24 seen, the resulting 'high energy' and 'low energy' surfaces resulting from different types of bonds will
 25 affect protein adsorption and subsequent cell behaviour. Here, we review some of the general trends
 26 that are observed in cell behaviour on particular materials as a result of these interactions.

27 **4.1 Polymers**

28 Polymers encompass a wide-ranging group of large molecules with repeating units and are used in a
 29 variety of biomaterials applications. For example, polyethylene forms one of the bearing surfaces of
 30 many orthopaedic implants and polymers such as PTFE and PET are regularly used in large blood
 31 vessel repairs. Polymers are often considered to have low-energy surfaces because their covalent and
 32 van der Waals bonding often lend the surfaces non-polar, and thus a hydrophobic nature. However,
 33 as polymers are a diverse class of molecules, this is not always true. As discussed above, cells
 34 generally adhere poorly to hydrophobic materials, and so for many biomaterial applications in which
 35 cell adhesion is required, polymer surface modification is often necessary.

36 Plasma treatment, which was discussed in section 2.6, has often been used to increase the wettability
 37 and enhance cell adhesion on polymers^{87, 88}. Poulsson *et al.*, for example, examined the behaviour of
 38 human osteoblasts on ultra high molecular weight polyethylene that had been modified by UV/ozone
 39 treatment to incorporate surface oxygen, and thus increase hydrophilicity⁸⁹. Cells showed general

1 trends for increased attachment, proliferation and mineralisation on the more hydrophilic surfaces.
2 Similarly, Wei *et al.* examined plasma-treated hexamethyldisiloxane surfaces. They showed that
3 fibronectin preferentially bound to hydrophilic surfaces whilst albumin to hydrophobic, and that
4 hydrophilic surfaces strongly supported osteoblast attachment⁹⁰.

5 However, it is clear that plasma treatment often does not simply alter surface chemistry; it can also
6 affect topography and so plasma treatment should not be strictly considered as a means to alter
7 surface chemistry alone. Yang *et al.* showed that plasma treatment of polydimethylsiloxane actually
8 smoothed the surface with short treatments and roughened it with longer treatments due to the
9 formation of surface cracks resulting from tensile stresses⁸⁸. Similarly, Xu and Siedlecki examined
10 protein adhesion on low density polyethylene that had been plasma treated⁹¹. Plasma treatment
11 decreased water contact angle, however, it also simultaneously affected surface roughness as
12 determined by atomic force microscopy. In short, ‘low energy’ polymers can often be made more cell
13 adhesive, but care should be taken in interpreting such results.

14 **4.2 Metals**

15 Metals and metal alloys including titanium, titanium alloys (Ti-6Al-4V), and cobalt chromium alloys
16 (Co-Cr-Mo) are frequently used in orthopaedic and dental applications because of their favourable
17 mechanical properties, chemical stability and biocompatibility. Likely because of their ‘high energy’,
18 the surfaces of many metals and metal alloys are suitable for cell attachment. However, as noted
19 above, metal and metal alloys exposed to air develop a surface oxide scale. Particularly in the case of
20 titanium and its alloys, biocompatibility has been attributed to their ability to form this ‘passivating’
21 oxide layer (titania – TiO₂). This fundamental property should be taken into consideration when
22 examining behaviour on these surfaces as proteins and cells will often interact with the oxide rather
23 than titanium metal.

24 A number of authors have explored the effects of varying the surface free energy of metals and metal
25 alloys on osteoblast behaviour. In many cases, however, surface treatments affected both surface
26 chemistry and topography, making the contribution of each difficult to discern. Feng *et al.*, for
27 example, examined the behaviour of rabbit osteoblasts on titanium surfaces that had been heat
28 treated in various oxidation atmospheres to alter the surface hydroxyl group presentation⁹². Here,
29 higher levels of oxidation correlated with increased roughness, increased surface energy and more
30 hydroxyl groups. These parameters fostered greater numbers of adhered cells and higher alkaline
31 phosphatase activity. Similarly, MacDonald *et al.* showed that the adsorption of fibronectin and MG63
32 human osteoblast attachment onto titanium alloys modified by heat and peroxide treatment was
33 highly correlated with changes in surface chemistry⁹³. That is, treatments which increased the content
34 of Al or V at the surface enhanced fibronectin-promoted cell adhesion. They attributed this result to
35 increased bioactivity of the adsorbed fibronectin, however, AFM measurements demonstrated that
36 treatments significantly affected surface topography, which could have similarly affected cell
37 behaviour. Others have used a technique called micro-arc oxidation to alter the surface chemistry of
38 titanium implants⁹⁴. Whilst this technique added oxides to the surface layer, it simultaneously created
39 a porous surface with enhanced roughness, so again the contribution of changes in oxide presentation
40 is difficult to determine.

1 Tsukimura *et al.* attempted to separate the effects of surface topography from surface chemistry by
2 creating titania and titanium surfaces with the same roughness (as determined by atomic force
3 microscopy)⁹⁵. They report enhanced rat marrow stromal cell proliferation on the titania surfaces,
4 however, expression levels of osteogenic genes were not significantly different. Interestingly, they
5 also found that mineralised nodule area and alkaline phosphatase staining was enhanced in the
6 titania samples compared to the titanium. They attribute these results to the greater wettability of the
7 titania samples and the enhanced oxygen content in their surfaces. Nevertheless, the true nature of
8 these surfaces were uncertain and overall there are very few studies that effectively separate the
9 effects of surface free energy from topography on cell behaviour, so it is quite difficult to demonstrate
10 clear trends.

11 4.3 Ceramics

12 Bone is a nanocomposite material composed of organic, predominantly collagen fibrils, interspersed
13 with plate-like carbonate substituted hydroxyapatite crystals. At particular ectopic (non-bone) sites
14 such as muscle, some groups have noted that the surgical placement of specific forms of calcium
15 phosphate can stimulate bone formation^{96, 97}. To encourage bone formation on biomaterial surfaces,
16 many have similarly hypothesised that placing cells in contact with a hydroxyapatite-like material
17 would be preferable to other foreign surfaces such as metals. As such, numerous metallic implants for
18 joint arthroplasty procedures are regularly coated with calcium phosphates, and some orthopaedic
19 implants, particularly parts of hip replacements, are made from ceramics. Like metals, the 'high
20 energy' surfaces of many ceramics tend to be conducive for cell attachment, however, as it is difficult
21 to fundamentally alter their surface free energy, studies showing clear effects of changes in surface
22 free energy of ceramics on cell behaviour are not widely available.

23 In one study, Redey *et al.* examined the adhesion and proliferation of human osteoblasts on
24 stoichiometric and type A carbonate apatite, which is similar to bone mineral⁹⁸. They report that cell
25 attachment was significantly lower and cells spread far less on the carbonate apatite when compared
26 to standard hydroxyapatite. After 6 weeks in culture, collagen synthesis was also significantly lower
27 on the carbonate apatite when compared to the pure hydroxyapatite, but osteocalcin, a bone-specific
28 protein, production was unaffected. In another study, surface charge was examined for its role in cell
29 behaviour on ceramics. Tarafder *et al.* electrically polarised β -tricalcium phosphate/hydroxyapatite
30 composites, generating surfaces with positive and negative charge⁹⁹. Negatively charged surfaces
31 promoted enhanced osteoblast adhesion, proliferation and ECM formation, regardless of composite
32 composition when compared to positively charged or uncharged surfaces. However, again it is
33 difficult to discern the true contribution of surface free energy to cell behaviour on these surfaces
34 because surface free energy is often not the only surface property that has been altered.

35 4.4 Self-assembled monolayers (SAM)

36 As we have seen, it can be quite difficult to uncouple the effects of surface free energy from other
37 effects to determine its role in protein adsorption and cell interactions. Many biomaterial surfaces
38 which have been explored as substrates for cell attachment and clinical use also often possess a large
39 degree of surface heterogeneity. That is, the type, distribution and presentation of functional groups
40 can vary from one region to the next. Moreover, many surface treatments intended to alter the

1 presentation of reactive groups simultaneously alter topography, making the differences attributable
2 to each difficult to discern. These differences may account for much of the controversy and lack of
3 agreement amongst different studies. Therefore, over the past two decades, researchers have turned
4 to SAM which have flat, chemically well-defined surfaces created by controlling the presentation of
5 functional groups¹⁰⁰. These have been used to lend insight into the role of surface chemistry and
6 surface free energy in protein adsorption, cell attachment, osteoblast differentiation and mineralised
7 matrix formation.

8 In general, many of the typical patterns for protein adsorption and cell behaviour observed on other
9 less defined materials are similarly observed on SAM systems. For example, on SAM of
10 organosilanes, osteoblast proliferation has been observed on oxidized surfaces and NH₂ surfaces, both
11 of which are hydrophilic¹⁰¹. However, such patterns do not always hold true. CH₃ and CF₃ groups,
12 both of which are hydrophobic, have been shown to enhance and inhibit cell proliferation,
13 respectively¹⁰¹. Lopez *et al.* similarly showed that in the absence of serum, cells were able to attach to
14 both hydrophobic and hydrophilic functional groups on SAM of alkanethiols on gold¹⁰². Using similar
15 SAM systems, Faucheux *et al.* also demonstrated COOH- and NH₂-functionalised surfaces promoted
16 integrin-dependent cell spreading, whilst CH₃, OH and PEG inhibited it⁵⁸. Here, PEG- and OH-
17 terminated surfaces were highly wettable, but did not promote cell attachment. Moreover, alkyl
18 chains terminated with SiO₂ or Br groups have been shown to support 3T3 cell attachment, but
19 hydrophobic surfaces terminating in CH₃ or C=C groups gave an intermediate response, whilst
20 COOH, CN and Diol yielded the poorest results¹⁰³, suggesting a lack of clear trend with wettability.

21 To try to explain some of these discrepancies, some studies have examined simple systems with a
22 single protein such as fibronectin. Lee *et al.*, for example, examined the role of the $\alpha_5\beta_1$ integrin when
23 fibronectin was adsorbed to an alkylsilane SAM which presented CH₃ (hydrophobic), NH₂ (positively
24 charged), COOH (negatively charged) and OH (neutral hydrophilic) groups¹⁰⁴. Their analysis showed
25 that less fibronectin adsorbed to hydrophilic groups compared to hydrophobic (CH₃ and NH₂). This
26 trend confirms previous experiments on standard materials, which have similarly shown that protein
27 adsorption is enhanced on hydrophobic surfaces compared to hydrophilic. And, as had been
28 predicted for hydrophobic surfaces, a model cell line that only expressed the $\alpha_5\beta_1$ integrin bound
29 more efficiently to fibronectin adsorbed to the hydrophilic and negatively charged groups (COOH
30 and OH) as compared to the hydrophobic and positively charged groups. The authors attributed this
31 to the disruption to the native structure of fibronectin on hydrophobic surfaces and the lack of specific
32 integrin binding to these groups.

33 Others have tried to utilise SAM to explore the role of functional groups in cell behaviour, specifically
34 osteoblast differentiation. Keselowsky *et al.* cultured MC3T3-E1 on SAM of alkanethiols on gold,
35 again with CH₃, NH₂, COOH and OH groups¹⁰⁵. They found that OH and NH₂ surfaces upregulated
36 osteoblast-specific gene expression, alkaline phosphatase activity and matrix mineralisation
37 compared to that measured in cells grown on COOH and CH₃ substrates. They attributed these
38 differences to the binding of specific integrin receptors to adsorbed fibronectin. In another study, the
39 same authors also report that binding to the various chemistries was highly integrin dependent¹⁰⁶.
40 Interestingly, the authors also found that matrix mineralisation of MC3T3-E1 was surface chemistry
41 dependent, with OH and NH₂ chemistries promoting high levels of mineralisation whilst COOH and
42 CH₃ did not. The authors speculate that the most important factor driving these differences in cell

1 behaviour is how the various chemistries on the surfaces affect the functional presentation of
2 adsorbed fibronectin.

3 **5.0 Conclusions**

4 The cell response to a biomaterial surface is complex, but will be governed by the interactions of
5 water, proteins and cells with the chemical and topographical nature of the surface. Here we defined
6 surface free energy, as this fundamental property of the material surface will govern the first
7 interactions with the biological environment. We have also explained how surface free energy differs
8 from wettability and outlined how simple measurements of hydrophobicity versus hydrophilicity
9 lack precision when attempting to explain cell-biomaterial interactions, particularly when
10 topographical changes are also introduced. As many biomaterial surfaces have been defined in terms
11 of wettability, in many cases the true contribution of surface free energy to protein adsorption and
12 cell adhesion remains uncertain. Nevertheless, studies have shown that protein adsorption, and its
13 resulting conformational changes upon adsorption, appear to play fundamental roles in dictating cell
14 behaviour on biomaterial surfaces. More studies to understand these interactions are desperately
15 needed, particularly to discern the role of material surface properties in controlling osteoblast
16 attachment, differentiation and mineralised matrix formation. Indeed, to effectively design materials
17 for successful clinical applications there remains a need for systematic experiments that decouple the
18 effects of changes in surface free energy from topography to gain a clear picture of cell response.
19 Experiments that control these factors, such as those with SAM, are beginning to elucidate the effects
20 of chemical groups alone on cell attachment and differentiation. Similarly, more experiments that
21 examine cell behaviour on single crystal materials that are chemically defined and atomically smooth
22 should provide us with a better understanding of how the atomic arrangements of various crystal
23 planes affect such interactions and provide fundamental insight into these complicated phenomena.
24 The emerging field of nanotechnology, which promises more precise engineering of surfaces and their
25 characterisation, may also allow for experiments that truly decouple the effects of topography from
26 changes in surface free energy. Insights gained from these efforts have the potential to provide us
27 with a set of rules that truly describe how surface properties of a material will affect its interactions
28 with the biological milieu. Once achieved, rational design of biomaterials to elicit particular cell
29 responses will no longer just be wishful thinking but reality, and we hope that researchers will
30 continue to focus their efforts to achieve this.

31 **6.0 Acknowledgements**

32 EG gratefully acknowledges a Research Career Development Fellowship from the Wellcome Trust
33 and continued support from the Rosetrees Trust.

1 7.0 Biographical Sketches

2 Dr Molly Gentleman is an Assistant Professor of the Department of Materials Science and
3 Engineering at the State University of New York at Stony Brook. She received her PhD in Materials
4 from the University of California at Santa Barbara where she developed optical characterisation
5 techniques for ceramic coatings in harsh environments. Prior to joining SUNY Stonybrook, Dr M.
6 Gentleman held positions as an Assistant Professor in the Mechanical Engineering Department at
7 Texas A&M University, and as a staff Materials Scientist at GE Global Research Center. She currently
8 holds inventor rights on 15 issued patents in the design and control of the wettability of ceramic
9 surfaces for a range of applications, and is a lead inventor on 16 further pending applications. Dr M.
10 Gentleman's current work at SUNY Stony Brook focuses on the modification of ceramic materials by
11 conventional techniques followed by non-traditional characterisation of their surfaces and structures
12 using Raman spectroscopy, surface topography, and surface free energy measurements. This work
13 has lead to a broad range of new insight into these materials and hence new applications including
14 for turbine coatings, superhydrophobic surfaces, and biological coatings. Her work has been
15 published in journals including *Langmuir*, *Acta Materialia*, *European Cells and Materials*, and the *Journal*
16 *of the American Ceramic Society*. Dr M. Gentleman has received funding awards from the Defense
17 Advanced Research Projects Agency (DARPA) and NASA and her work has been featured in
18 *CERAMIC TECH TODAY* and *Materials Views*.

19 Dr Eileen Gentleman is a Wellcome Trust Research Career Development Fellow in the Department of
20 Craniofacial Development & Stem Cell Biology at King's College London. She joined Imperial College
21 London in 2005 as a post-doctoral research associate after completing her PhD in Biomedical
22 Engineering at Tulane University (USA), where she investigated collagen-based biomaterials for
23 engineering ligament tissue. In 2011, she moved to King's where her research focuses on utilising
24 biomaterial systems to direct stem cell differentiation to bone and cartilage and material
25 characterisations of engineered tissues. She is particularly interested in the osteochondral interface,
26 the transitional tissue that connects cartilage to bone, and the role it plays in normal joint function.
27 Her multi-disciplinary research interests also include biomineralisation and the role of mechano-
28 sensing in tissue development. She has also worked extensively with biomaterials, including bioactive
29 glasses, and is interested in the biological effects of surface energy and ion release on stem cell
30 differentiation. In 2009, her work on the characterisation of engineered bone formed from different
31 stem cell sources was published in *Nature Materials*. Her work on biomaterial systems has also been
32 published in journal such as *Biomaterials*, *Acta Biomaterialia*, *Tissue Engineering Part A*, and *European*
33 *Cells and Materials*. She has also published on the fundamental mechanisms of biomineralisation in
34 bone and cardiovascular tissues in prestigious journal such as *Proceedings of the National Academy of*
35 *Sciences USA* and *Nature Materials*. Dr E. Gentleman has received funding awards from the Wellcome
36 Trust, the Rosetrees Trust, the Royal Society and Orthopaedic Research UK. The Orthopaedic
37 Research Society named her as a finalist for their New Investigator Recognition Award (2010) and in
38 2013 her work in tissue engineering and regenerative medicine was recognised with a prestigious
39 Philip Leverhulme Prize.

40

1 **8.0 Figure Captions**

2 **Figure 1:** Schematic of a typical cementless implant used in hip replacement surgery. Although total
3 joint replacements can fail for a number of reasons, including infection and poor surgical placement,
4 amongst others, aseptic loosening remains one of the most common. The inset shows how
5 micromotion at the bone-implant interface can prevent the formation of robust mineralised bone
6 leading to aseptic loosening and eventually the need for surgical revision.

7 **Figure 2:** Diagram demonstrating the effect of roughness on contact angle when the liquid fully wets
8 the surface. The right side shows the effect of roughening on a hydrophobic surface (liquid contact
9 angle greater than 90° for the flat surface). In this case, roughening increases the observed contact
10 angle. The left side shows the effect of roughening on a hydrophilic surface (liquid contact angle less
11 than 90° for the flat surface). In this case roughening decreases the observed contact angle.

12 **Figure 3:** Diagram detailing the role of extreme roughness on observed contact angle (θ_c). In this case,
13 it is possible for the liquid droplet to sit on top of the surface features leaving an air-solid composite
14 beneath the droplet instead of wetting the tortuous surface. Because air forms a 180° contact angle
15 with water, the composite air/solid surface below the droplet can produce contact angles that
16 approach 180° as the amount of solid in contact with the droplet is reduced.

17 **Figure 4:** Fluorescence micrograph of MC3T3-E1 mouse preosteoblast cells cultured on a titanium
18 surface. The actin cytoskeleton appears green. Focal adhesions appear red and were detected by
19 staining for vinculin. Cell nuclei appear blue. Scale bar = $50\ \mu\text{m}$.

20 **Figure 5:** Diagram showing an integrin receptor in a mammalian cell. Integrins are transmembrane
21 proteins that mediate linkages between extracellular matrix proteins such as fibronectin and the
22 intracellular cytoskeleton, depicted here as an actin filament (blue). The focal adhesion complex on
23 the intracellular side is composed of a number of proteins, including vinculin and talin, which are
24 highlighted here in yellow and pink, respectively.

25

26

27

1 9.0 References

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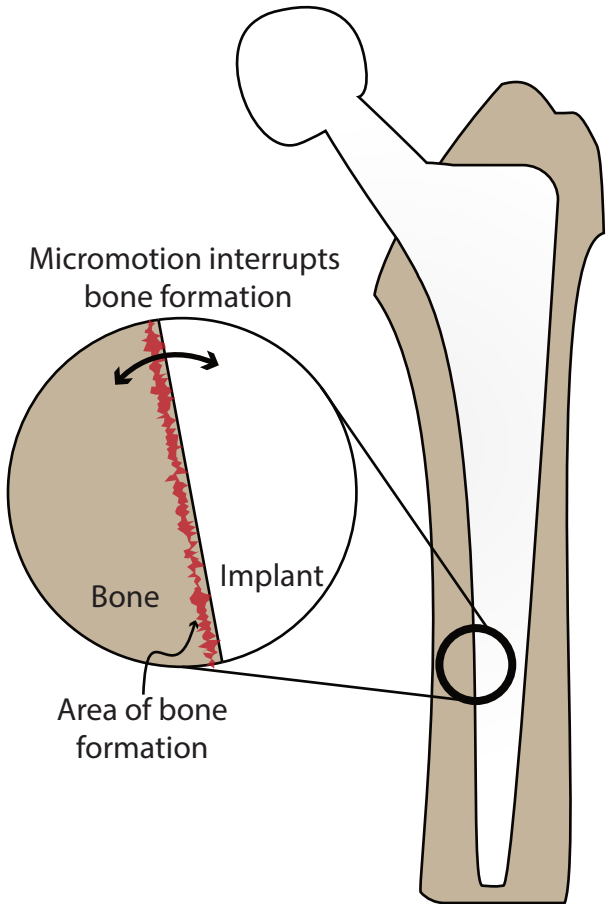
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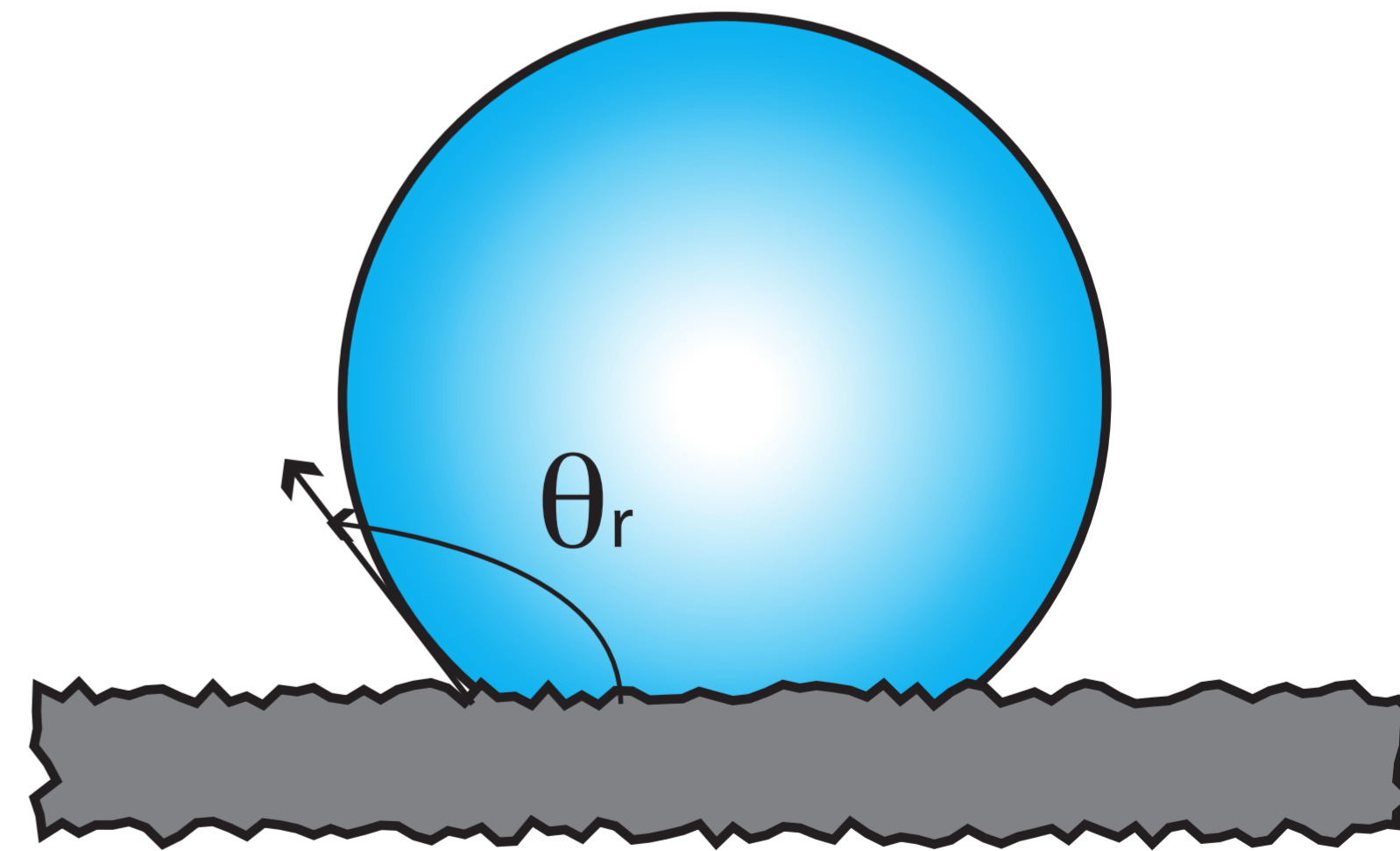
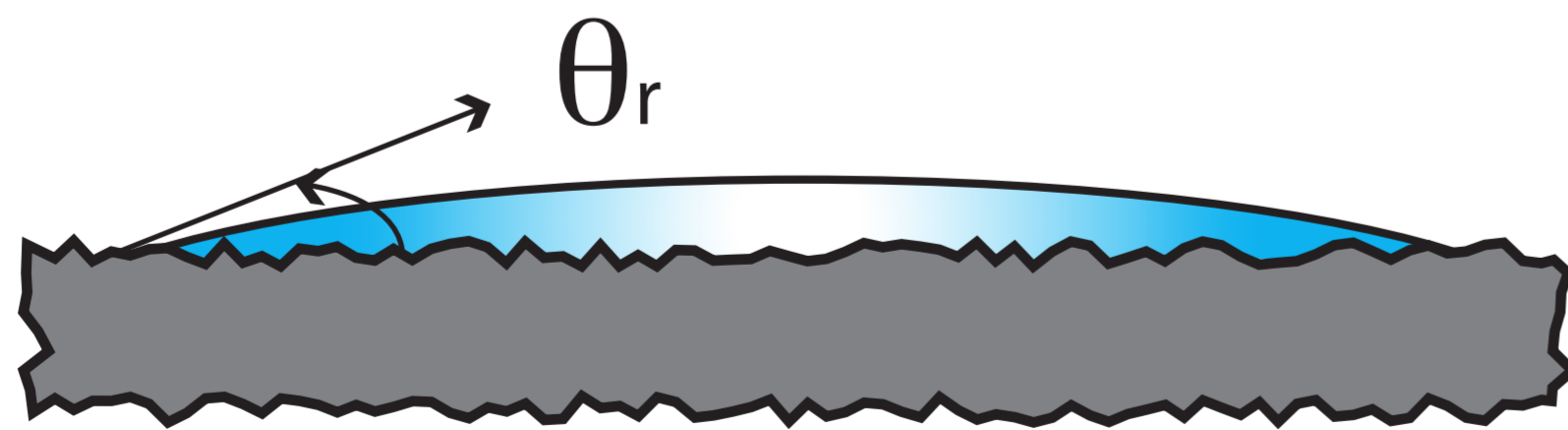
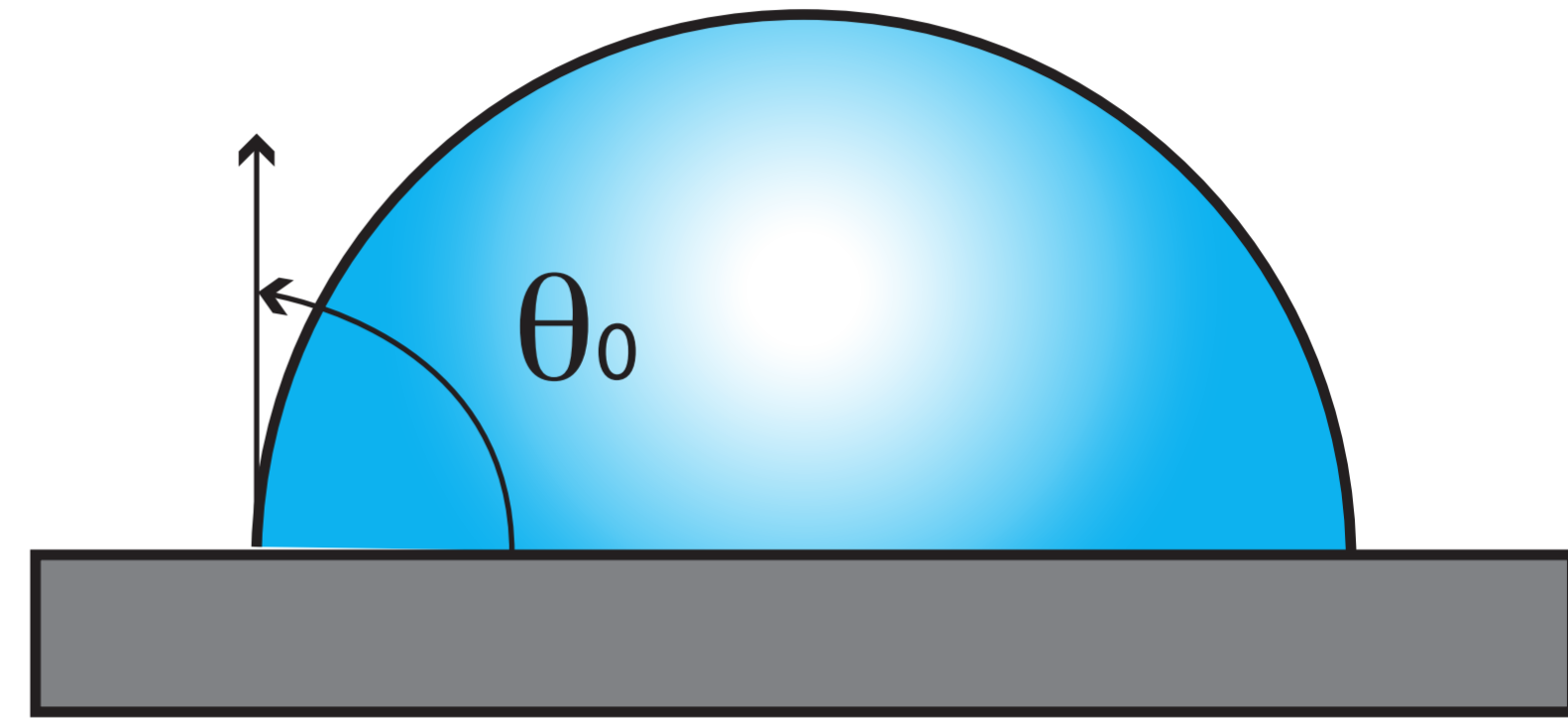
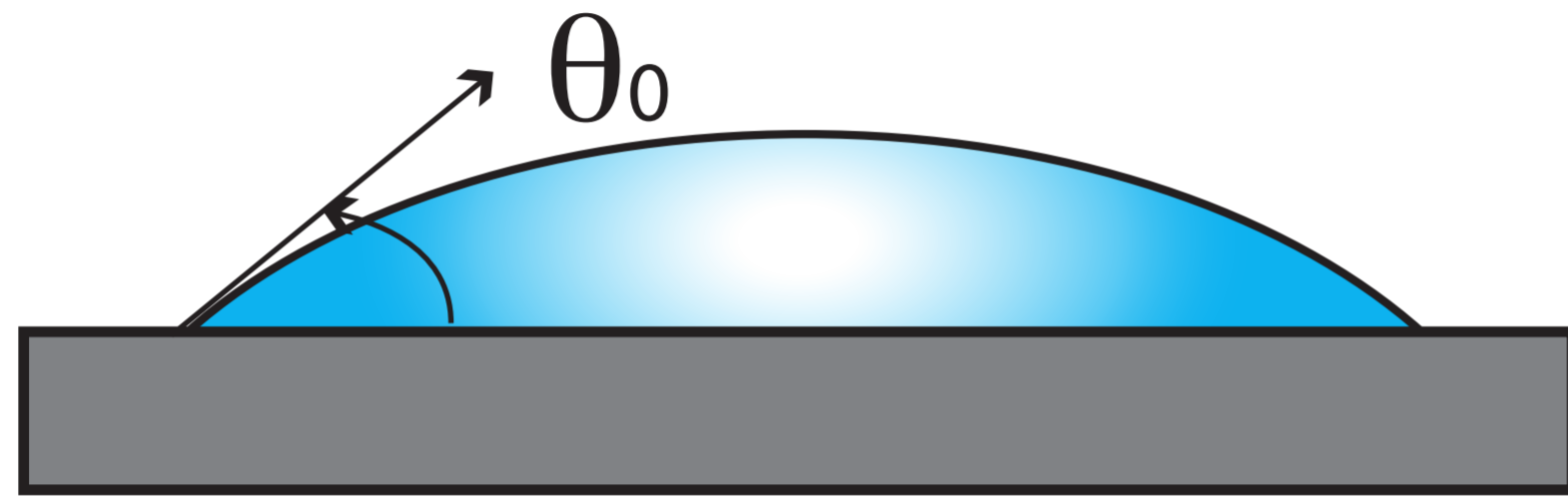
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18



Hydrophilic

Hydrophobic



Increasing roughness

$$\theta_0 > \theta_r$$

$$\theta_0 < \theta_r$$

