



King's Research Portal

DOI: 10.1111/bju.14106

Document Version Peer reviewed version

Link to publication record in King's Research Portal

Citation for published version (APA):

Movember Foundation's Global Action Plan Prostate Cancer Active Surveillance (GAP3) consortium, Bruinsma, S. M., Zhang, L., Roobol, M. J., Bangma, C. H., Steyerberg, E. W., Nieboer, D., Van Hemelrijck, M., Trock, B., Ehdaie, B., Carroll, P., Filson, C., Kim, J., Morgan, T., Klotz, L., Pickles, T., Hyndman, E., Moore, C. M., Gnanapragasam, V., ... Santaolalla, A. (2018). The Movember Foundation's GAP3 cohort: a profile of the largest global prostate cancer active surveillance database to date. *BJU International, 121*(5), 737-744. https://doi.org/10.1111/bju.14106

Citing this paper

Please note that where the full-text provided on King's Research Portal is the Author Accepted Manuscript or Post-Print version this may differ from the final Published version. If citing, it is advised that you check and use the publisher's definitive version for pagination, volume/issue, and date of publication details. And where the final published version is provided on the Research Portal, if citing you are again advised to check the publisher's website for any subsequent corrections.

General rights

Copyright and moral rights for the publications made accessible in the Research Portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognize and abide by the legal requirements associated with these rights.

Users may download and print one copy of any publication from the Research Portal for the purpose of private study or research.
You may not further distribute the material or use it for any profit-making activity or commercial gain
You may freely distribute the URL identifying the publication in the Research Portal

Take down policy

If you believe that this document breaches copyright please contact librarypure@kcl.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.

ABSTRACT

OBJECTIVES. The Movember Foundation launched the Global Action Plan Prostate Cancer Active Surveillance (GAP3) initiative to create a global consensus on the selection and monitoring of men with low-risk prostate cancer (PCa) on active surveillance (AS). The aim of this study is to present data on inclusion and follow-up for AS in this unique global AS database. SUBJECTS/PATIENTS (OR MATERIALS) AND METHODS. Between 2014 and 2016, the database was created by combining patient data from 25 established AS cohorts worldwide (USA, Canada, Australasia, UK, Europe) (n=15,101).

OUTCOME MEASUREMENTS AND STATISTICAL ANALYSIS. Descriptive statistics were used to report clinical/demographic characteristics at time of PCa diagnosis, clinical follow-up, discontinuation of AS and subsequent treatment. Cumulative incidence curves were used to report discontinuation rates over time.

RESULTS AND LIMITATION. At diagnosis, median age was 65 yr (IQR 60-70) and median PSA was 5.4 ng/ml (IQR 4.0-7.3). Most men had a clinical stage T1 (71.8%), a biopsy Gleason score of 6 (88.8%) and one tumor-positive biopsy core (60.3%). Men on AS had a median follow-up time of 2.2 years (IQR 1.0-5.0 years). After 5, 10 and 15 years of follow-up, respectively, 58%, 39% and 23% of men were still on AS. The current version of GAP3 has limited data from MRI, quality of life and genomic testing.

CONCLUSIONS. GAP3 is the largest worldwide data effort integrating patient data from men with PCa on AS. The results will allow individual patients and clinicians to have greater confidence in the personalized decision to either delay or proceed with active treatment. Longer follow-up and the evaluation of imaging (MRI), new genomic markers and patient-related outcomes will result in even more valuable data and eventually in better patient outcomes.

INTRODUCTION

Prostate cancer (PCa) is the second most common cancer in men, with nearly a million new cases diagnosed worldwide[1]. The numbers of men living with a diagnosis of PCa will likely continue to increase, as the population in many countries continues to age, and cancer is detected earlier, owing to the more widespread use of serum prostate specific antigen (PSA) testing[2, 3]. As a result, active surveillance (AS) was introduced as a management strategy for men with low-risk PCa, with the intention to start curative treatment at the time of progression and to avoid overtreatment and its associated morbidities. In recent years, AS has evolved from an experimental protocol to a broadly accepted management strategy for men diagnosed with low-risk PCa[4]. Contemporary data suggest that use of AS has increased globally[5-7].

Nevertheless, identification of those patients whose disease is at low risk for progression is a critical and much debated issue when deciding which men will benefit from AS for their PCa[8]. Numerous agencies have endorsed clinical practice guidelines for the management of low-risk PCa, which include criteria for enrolment of patients in AS programmes and their subsequent management[3]. However, no consensus is available today. Variability in enrolment criteria and follow-up has been demonstrated in international and national series of AS[9]. Moreover, robust data from men with clinically insignificant PCa who are undergoing AS, especially from studies with long follow-up durations, is still limited. Hence, many important questions on AS remain unanswered: Which newly-diagnosed men should be considered <u>suitable</u> viable-candidates for AS[10]? What constitutes an appropriate follow-up regimen for AS[10]? There is a need for a worldwide consensus regarding the optimal criteria and protocols for AS and more comparative data on patient selection and testing protocols[11].

2

In August 2014, the Movember Foundation launched the Global Action Plan Prostate Cancer Active Surveillance initiative (GAP3). Milestones of the project include a global AS database for clinical, marker-related and imaging data. Its primary goal is to create a global consensus on the selection and monitoring of men with low risk PCa. Ultimately, worldwide uniform guidelines will be developed. The aim of the current study is to present data of this unique global dataset on inclusion and follow-up for AS in low-risk PCa.

SUBJECTS/PATIENTS (OR MATERIALS) AND METHODS

Study population

Between 2014 and 2016, a global database was created by combining patient data from established AS cohorts worldwide. To assemble existing cohorts into a large consortium of cohorts, a new collaborative framework was needed. The GAP3 partners therefore developed documentation required for sharing and use of clinical data within the global database. The database has been developed at the site of Philips Electronics Nederland B.V. ("Philips"), Eindhoven, the Netherlands and is currently hosted by the Erasmus Medical Centre, Rotterdam, the Netherlands [12]. The GAP3 initiative is initiated and coordinated by the Erasmus Medical Centre, Rotterdam, the Netherlands. The Movember Foundation is the sole funder of the project. Funding has now been secured to provide sustainability of the GAP3 database until February 2019.

Requirements for participation in GAP3 included, amongst others, ethical approval for sharing digital patient data in a centralized global database, and an active registry of AS patients over the last two years or more, including at least ~50 patients annually. To date, 25 centers from the USA, Canada, Australasia, the UK and Europe fulfilled the requirements for participation

3

and joined the initiative (Table S1). References to the individual AS cohorts can be found in Table S1. The global database currently comprises data on 15,101 patients (Table S1) (database version 'gap3data_2.3', released in June 2017). A summary of the entry criteria for each individual AS cohort is included in Table S2.

Although many variations in protocols currently exist, most agree that the most suitable patients for AS are those with age>18, pretreatment clinical stage T1-T2 PCa, serum PSA \leq 10 ng/ml, a biopsy Gleason score of \leq 6 or (3+4) 7, and a maximum of two tumour-positive biopsy core samples. Some protocols included PSA density (most often using a cutoff of 0.2 ng/ml²), the maximum extent of cancer per core (most often using a cutoff of 50%) and life expectancy (>10 years) and adequate biopsy sampling as inclusion criteria for AS. As a result the following baseline host (e.g. age, BMI, race, ethnicity, marital status, educational level, family history of PCa, smoking history and comorbidities/ overall health status) and tumour characteristics (e.g. clinical stage, PSA, prostatic volume, biopsy Gleason score, PSA density, number of biopsy cores with PCa, and maximum extent cancer per core) were recorded.

In addition to baseline information, follow-up information was key for the entire GAP3 project – it will allow us to shed light on current practice and outcomes with the final goal of providing consensus guidelines. A summary of the monitoring strategy for each individual AS cohort is included in Table S3. Following initiation of AS, almost all protocols recommend serial measurement of serum PSA levels, digital rectal examination (DRE) and surveillance biopsy sampling in order to identify pathological progression. Many uncertainties remain surrounding the optimal timing of these surveillance strategies. Some protocols recommend PSA levels measurements every three months, while others state that serum PSA monitoring should be implemented at intervals no more often than every six months after the start of AS. Some protocols recommend DRE every six months, whilst others do not include DRE in follow up, due to the use of MRI. Substantial variation exists in the recommended frequency at which rebiopsy procedures should be conducted. Further, several protocols consider MRI for routine use in AS, again with differences between the recommended frequency, although most protocols recommend a 12 month interval. PSA kinetics and Quality of Life data are less frequently recommended as methods to identify whether or not a patients' cancer has progressed. We therefore collected follow-up information on e.g. PSA, PSA kinetics (PSA doubling time and PSA velocity), T-stage by DRE, biopsy characteristics and MRI findings (e.g. suspicious lesions found on MRI). Finally, the database contains information on discontinuation of AS (e.g. the reasons for stopping AS), and potential following treatments (e.g. radical prostatectomy (RP)) and cause of death.

Statistical analyses

Descriptive statistics were used to assess the clinical and demographic characteristics at time of PCa diagnosis for all men included in the GAP3 cohort, their clinical follow-up, discontinuation of AS and potential following treatments. Cumulative incidence curves were used to report discontinuation rates over time[13]. R was used to perform all analyses [14].

RESULTS

The GAP3 database currently comprises data on 15,101 patients from 25 centers across 15 countries (database version 'gap3data_2.3', released in June 2017). At time of diagnosis, median age was 65 yr (IQR 60-70); median PSA was 5.4 ng/ml (IQR 4.0-7.3); median PSA density was 0.12 ng/ml (IQR 0.09-0.17); and median prostate volume was 43.2 cc (IQR 33-59). Most men

had a clinical stage T1 (71.8%), a biopsy Gleason score of 6 (88.8%), one tumor-positive biopsy core (60.3%) and no comorbidity (25%) (Table 1; see table S4 for characteristics at time of PCa diagnosis for all men included in the GAP3 cohort for each participating center). Men on AS had a median follow-up time (i.e. the time until discontinuation or the time until the last known follow-up without discontinuation being reported) of 2.16 years (IQR 1.02-4.47 years). Maximum follow-up time was 21.3 years. The median number of years until their last follow-up while on AS was 1.99 yr (0.83-4.24). (Table 2).

Until the end of current follow-up, 45 men (0.3%) developed metastases and 566 men (3.7%) died, of which 37 due to PCa (0.2%) (Table 2). The main clinical and demographic characteristics and clinical follow-up for all men that developed metastases during AS (n=45) and for all men that developed metastases and died of PCa (n=17) are summarized in table 3.Of all men that developed metastasis, median age was 66 yr (IQR 62-72); median PSA was 6.9 ng/ml (IQR 4.8-8.7); median PSA density was 0.14 ng/ml (IQR 0.10-0.19); and median prostate volume was 44 cc (IQR 31-55) at time of diagnosis. Most men had a clinical stage T1 (44.4%), a biopsy Gleason score of 6 (68.3%), one tumor positive biopsy core (42.4%); none of them had comorbidity. Median time to metastasis was 6.4 years. Of 45 men that developed metastases, 17 died of PCa. Of those 17 men that died of PCa, median age was 66 yr (IQR 64-72); median PSA was 7.9 ng/ml (IQR 4.3-12.5); median PSA density was 0.14 ng/ml (IQR 0.11-0.19); and median prostate volume was 41 cc (IQR 29-50) at time of diagnosis. Most men had a clinical stage T2 (41.2%), a biopsy Gleason score of 6 (66.7%), with one (41.7%), two (33.3%), and three or more tumor positive biopsy cores (25.0%); none of them had comorbidity. Median time to death was 8.8 years in these 17 patients Of all men that died of PCa until the end of current follow-up (n=37), a total of 32 men switched to curative treatment, of which 21 to androgen deprivation

therapy, four to external beam radiotherapy, two to external beam radiotherapy and brachytherapy, one to external beam radiotherapy and androgen deprivation, and four to radical prostatectomy.

A total of 5,625 (37%) men discontinued AS for the following reasons: 46.2% for protocol-based progression, 3.3% switched to watchful waiting (WW), 9.1% discontinued due to patient or clinician choice, 7.0% died, and 25.1% discontinued for unknown reasons. For all men that discontinued AS, treatment was reported in 73% of the cases (n=4124). Treatment after discontinuation was radical prostatectomy in 51.6% of men, external beam radiotherapy in 13.2% of men; brachytherapy in 9.3% of men and primary ADT/hormonal therapy in 8.4% of men (Table 2). Figure 1 shows cumulative incidence curves for reasons of discontinuing AS. The percentage of total area shaded for each color in the figure can be interpreted, at any time point, as the risk of discontinuing AS for that stated reason.

Of the 15,101 patients, 1068 patients (7.1%) did not have available follow-up data yet. Among the remaining 14,033 patients, after 5, 10 and 15 years of follow-up, respectively, 58%, 39% and 23% of men were still on AS; 23%, 30% and 36% discontinued due to protocol-based progression; 5%, 5% and 6% discontinued due to patient or clinician choice; 1%, 3% and 3% switched to watchful waiting (WW); 2%, 7% and 12% died (mostly of another cause), and 11%, 16%, 20% discontinued for unknown reasons.

DISCUSSION

In recent years, AS has evolved from an experimental protocol to become a broadly accepted in fact, preferred—management strategy for men diagnosed with low-risk PCa [15]. Nevertheless, consensus on inclusion criteria, surveillance schedules and intervention thresholds for AS of men with low risk PCa is currently lacking. With this in mind, the Movember Foundation launched the GAP3 initiative.

Several findings deserve particular attention. GAP3 is the largest effort of its type to integrate patient data from men with prostate cancer on AS. With more than 15,000 patients, the Movember AS database is the largest centralized prostate cancer AS database to date, comprising the majority of the world's AS patient data. Large volumes of AS data have been collected routinely for many years by the affiliated centers worldwide. Hence, the central data source enables comparisons of determinants for inclusion and follow-up in AS, and subsequent clinical outcomes (e.g. disease progression), between cohorts and countries and it allows us to determine variable patterns over time. Data capture is nearly complete (i.e. available for at least 90% of the centers) for key variables such as serum PSA levels, Gleason score and clinical stage at time of PCa diagnosis; serum PSA levels, T-stage by DRE and biopsy characteristics during follow-up; reasons to discontinue AS, treatment choices and cause of death. The database thus has a significant amount of highly informative patient data on AS for low risk PCa. It can therefore make significant contributions to the development of evidence-based consensus guidelines for AS, and as a result, improve the lives of men diagnosed with low risk PCa.

There are some limitations that need to be considered when using data from GAP3. The database is 'ambidirectional', meaning that it has both a retrospective and a prospective component. Up till now, the GAP3 database is purely a retrospective database. As a consequence, there was limited control over data collection, and the data of interest were sometimes incomplete or inconsistently measured. For instance, in many cohorts (n=18) the reason for discontinuation of AS is not available. For future analyses, the individual centers will

8

be requested to supply the missing data (if available). During the course of the GAP3 project, it has become apparent that there is an urgent need to assess the value of MRI with respect to disease monitoring in men on AS. The current patient series only has limited imaging data from MRI. Currently, almost no data is available for quality of life and genomic testing. However, additional funding has now been secured from the Movember Foundation to sustain the database and to add a prospective element, thereby providing the opportunity to collect evidence on imaging (MRI), molecular (genomics) markers, patient-related outcomes and more.

Metastatic disease or death from PCa are ultimate end points by which AS should be evaluated[16]. However, because of the slow growing nature of low-risk PCa, prospective evaluation of these endpoints requires at least another 10–15 years of follow-up[16]. To date, mainly data from non-mature prospective clinical trials of AS, that have a mean follow-up of <10 years, are available. The GAP3 database currently also suffers from limited follow-up time, but will in future provide the main resource of real world data on AS management.

In the global database, PCa death and metastasis remain rare events (both <1%). Current analyses therefore make use of surrogate endpoints such as discontinuation of AS and/or changes in PCa treatment. Nevertheless, follow-up is ongoing until at least 2019, so that in the future GAP3 will contain even more valuable data and provide better insight into patient outcomes.

Active surveillance is evolving into a well-accepted management strategy for appropriately selected men. Unless the over-diagnosis of indolent PCa is reduced by alternative diagnostic strategies, AS will continue to play an important role. The GAP3 initiative will make significant contributions to this field of research by offering standard, evidence-based guidelines [3]. Clinicians will be able to use these guidelines to more confidently identify men that are suitable for active surveillance and to also decide whose PCa has progressed and will, therefore,

9

require treatment. Such guidelines will provide reassurance to men that they have made the best treatment choice for their type of disease [3]. Longer follow-up, achieved by ongoing commitment of GAP3 participating centers, and the evaluation of, for instance, imaging and new biomarkers, will result in more valuable data and eventually in better patient outcomes.

Acknowledgements

-

References

1. Center MM, Jemal A, Lortet-Tieulent J, et al. International variation in prostate cancer incidence and mortality rates. Eur Urol. 2012;61(6):1079-92.

2. Kim TH, Jeon HG, Choo SH, et al. Pathological upgrading and upstaging of patients eligible for active surveillance according to currently used protocols. Int J Urol. 2013.

3. Bruinsma SM, Bangma CH, Carroll PR, et al. Active surveillance for prostate cancer: a narrative review of clinical guidelines. Nat Rev Urol. 2016;13(3):151-67.

4. Ganz PA, Barry JM, Burke W, et al. National Institutes of Health State-of-the-Science Conference: role of active surveillance in the management of men with localized prostate cancer. Ann Intern Med. 2012;156(8):591-5.

5. Tosoian JJ, Carter HB, Lepor A, Loeb S. Active surveillance for prostate cancer: current evidence and contemporary state of practice. Nat Rev Urol. 2016;13(4):205-15.

6. Loeb S, Berglund A, Stattin P. Population based study of use and determinants of active surveillance and watchful waiting for low and intermediate risk prostate cancer. J Urol. 2013;190(5):1742-9.

7. Weerakoon M, Papa N, Lawrentschuk N, et al. The current use of active surveillance in an Australian cohort of men: a pattern of care analysis from the Victorian Prostate Cancer Registry. BJU Int. 2015;115 Suppl 5:50-6.

8. Klotz L. Active surveillance for men with early prostate cancer. 2016.

9. Philippou Y, Raja H, Gnanapragasam VJ. Active surveillance of prostate cancer: a questionnaire survey of urologists, clinical oncologists and urology nurse specialists across three cancer networks in the United Kingdom. BMC Urol. 2015;15:52.

10. Hall IJ, Richardson LC. Commentary on the State-of-the-Science Conference on the role of active surveillance in the management of men with localized prostate cancer. J Natl Cancer Inst Monogr. 2012;2012(45):135-9.

11. Loeb S. Re: Long-term Follow-up of a Large Active Surveillance Cohort of Patients with Prostate Cancer. European Urology. 2015;68(5).

12. Hulsen T, Obbink H, van der Linden W, et al. Integrating large datasets for the Movember Global Action Plan on active surveillance for low risk prostate cancer. European Urology Supplements. 2016;15(3):e958 -e.

13. Fine JP, Gray RJ. A Proportional Hazards Model for the Subdistribution of a Competing Risk. JASA. 1999;94:496–509.

14. RStudio Team (2015). RStudio: Integrated Development for R. RStudio, Inc., Boston, MA URL <u>http://www.rstudio.com/</u>.

15. Cooperberg MR. Long-term active surveillance for prostate cancer: answers and questions. J Clin Oncol. 2015;33(3):238-40.

16. Welty CJ, Cooperberg MR, Carroll PR. Meaningful end points and outcomes in men on active surveillance for early-stage prostate cancer. Curr Opin Urol. 2014;24(3):288-92.

Legends to illustration

Figure 1. Discontinuation of Active Surveillance over time (n=14,033)

Protocol based progression= clinical and pathological progression, clinical progression, other PSA kinetics, pathological progression, PSA progression (PSADT<3 yrs), or radiological progression; FU = follow-up; WW = watchful waiting.

Characteristics	Distribution of characteristics (N = 15101)	Number of centers reported (N _{total} =25)		
Age, Median (Q1-Q3)	65 (60-70)	25		
Age, n (%)		25		
≤55	1547 (10.3)			
56-60	2402 (16.1)			
61-65				
	3579 (23.9)			
66-70	4002 (26.8)			
71-80	3256 (21.8)			
>80	172 (1.1)			
Year of diagnosis, n (%)		25		
1992-1997	260 (1.8)			
1998-2004	1743 (11.6)			
2005-2008	3011 (20.2)			
2009-2011	4101 (27.5)			
2012-2014	4228 (28.4)			
2015-2016	1565 (10.5)			
	1505 (10.5)	10		
Charlson Comorbidity Index, n (%)	2775 (25.0)	10		
0	3775 (25.0)			
1	669 (4.4)			
2	761 (5.0)			
≥3	563 (3.7)			
Missing	9333 (61.8)			
T-stage (at DRE), n (%)		23		
T1	10841 (71.8)			
T2	2034 (13.5)			
Т3	11 (0.1)			
T4	1 (<0.1)			
Unknown	2214 (14.6)			
	2214 (14.0)	25		
Gleason grade group, n (%)	400 (2.7)	23		
<6	400 (2.7)			
6	13198 (88.8)			
>6	1263 (8.5)			
Unknown	240 (1.6)			
PSA ng/mL. n (%)		25		
0-3.0	1826 (12.6)			
3.1-6.0	6913 (47.8)			
6.1-10.0	4511 (31.2)			
>10.0	1207 (8.3)			
Median (Q1-Q3)	5.4 (4.0-7.3)			
Missing, n (%)	644 (4.3)			
	044 (4.3)	22		
Prostate volume, cc	12 2 (22 0 50 0)			
Median (Q1-Q3)	43.2 (33.0-59.0)			
Missing, n (%)	4069 (26.9)			
PSA density ng/mL/mL		22		
Median (Q1-Q3)	0.12 (0.09-0.17)			
Proportion missing, n (%)	4221 (28.0)			
Positive cores		24		
Median (Q1-Q3)	1 (1-2)			
Missing, n (%)	1305 (8.6%)			
Positive cores		24		
0	78 (0.6)			
1	8321 (60.3)			
2	3270 (23.7)			
≥3	2127 (15.4)			
Percentage of cancer in any one core		17		
Median (Q1-Q3)	10 (5-20)			
Minimum, maximum	0, 100%			
Proportion missing, n (%)	6114 (40.5)			

Table 1 Characteristics at time of PCa diagnosis for all men included in the GAP3 cohort*

*Database version 'gap3data_2.3', released in June 2017

	Patient age group at PCa diagnosis						
-	50-55 years (n=1547)	56-60 years (n=2402)	61-65 years (n=3579)	66-70 years (n=4002)	71-75 years (n=2412)	>75 years (n=1016)	All** <u>N=15101</u>
Median number of years on AS	2.38	2.21	2.17	2.23	2.12	1.91	2.16
(Q1-Q3)	(1.04-4.63)	(1.07-4.51)	(1.05-4.58)	(1.03-4.50)	(1.04-4.45)	(0.85-3.84)	(1.02-4.47)
Median number of years until last follow-up	2.63	2.51	2.50	2.55	2.29	2.04	2.44
while on AS (Q1-Q3)	(1.00-5.04)	(1.02-5.07)	(1.02-5.22)	(1.02-5.19)	(1.02-4.85)	(0.87-4.32)	(1.01-5.02)
Remaining on AS, n (%)	1083 (70.0)	1584 (66.0)	2236 (62.5)	2379 (59.5)	1460 (60.5)	607 (59.7)	9476 (62.8)
Metastasis, n (%)	4 (0.3)	4 (0.2)	9 (0.3)	11 (0.3)	13 (0.5)	4 (0.4)	45 (0.3)
Death, n (%)							
Alive	1535 (99.2)	2371 (98.7)	3481 (97.3)	3808 (95.2)	2273 (94.2)	927 (91.2)	14535 (96.2)
Death due to other causes	11 (0.7)	28 (1.2)	94 (2.6)	180 (4.5)	129 (5.3)	84 (8.3)	529 (3.5)
Death due to PCa	1 (0.1)	3 (0.1)	4 (0.1)	14 (0.3)	10 (0.4)	5 (0.5)	37 (0.2)
Discontinuing AS due to different reasons, n (%)	225 (14.5)	425 (10.1)	(00 (10 5)	746 (19.6)	296(160)	107 (10 5)	<u>N=5625 (37%</u>
Progression	225 (14.5)	435 (18.1)	698 (19.5)	746 (18.6)	386 (16.0)	107 (10.5)	2599 (46.2%)
Pathological progression	150 (9.7)	276 (11.5)	383 (10.7)	401 (10.0)	190 (7.9)	52 (5.1)	1452 (25.8)
Other progression	75 (4.8)	159 (6.6)	315 (8.8)	345 (8.6)	196 (8.1)	55 (5.4)	1147 (20.4%)
Converting to WW	4 (0.3)	10 (0.4)	22 (0.6)	38 (0.9)	63 (2.6)	42 (4.1)	180 (3.3)
Death	10 (0.7)	18 (0.8)	67 (1.9)	131 (3.3)	95 (3.9)	69 (6.8)	391 (7.0)
Patients anxiety	53 (3.4)	77 (3.2)	139 (3.9)	138 (3.5)	73 (3.0)	25 (2.5)	511 (9.1)
Lost-to-follow up	46 (3.0)	72 (3.0)	106 (3.0)	151 (3.8)	105 (4.3)	50 (4.9)	531 (9.4)
Unknown	128 (8.1)	206 (8.6)	311 (8.7)	419 (10.5)	230 (9.5)	116 (11.4)	1413 (25.1)
<u> Treatment received following AS, n (%)</u>							<u>N=4124 (73%)*</u>
ADT or hormonal therapy	4 (0.3)	18 (0.8)	44 (1.2)	102 (2.5)	105 (4.3)	71 (7.0)	348 (8.4)
Brachytherapy	34 (2.2)	61 (2.5)	105 (2.9)	113 (2.8)	62 (2.6)	10 (1.0)	385 (9.3)
Brachytherapy and ADT	1 (0.1)	2 (0.1)	3 (0.1)	5 (0.1)	1 (0.04)	1 (0.1)	13 (0.3)
EBRT and ADT	2 (0.1)	7 (0.3)	27 (0.8)	62 (1.6)	57 (2.4)	27 (2.7)	182 (4.4)
EBRT and Brachytherapy	7 (0.5)	28 (1.2)	51 (1.4)	107 (2.7)	46 (1.9)	12 (1.2)	251 (6.1)
EBRT and Brachytherapy and ADT	2 (0.1)	1 (0.04)	8 (0.2)	8 (0.2)	1 (0.04)	0 (0)	20 (0.5)
EBRT alone	17 (1.1)	46 (1.9)	115 (3.2)	148 (3.7)	157 (6.5)	62 (6.1)	545 (13.2)
Focal therapy	4 (0.3)	8 (0.3)	11 (0.3)	13 (0.3)	8 (0.3)	5 (0.5)	54 (1.3)
Radical prostatectomy	293 (18.9)	462 (19.2)	658 (18.4)	555 (13.9)	145 (6.0)	11 (1.1)	2127 (51.6)
Radical prostatectomy and ADT	0 (0)	0 (0)	3 (0.1)	4 (0.1)	0 (0)	0 (0)	7 (0.2)
Radical prostatectomy, ADT and EBRT	0 (0)	0 (0)	1 (0.03)	0 (0)	0 (0)	0 (0)	1 (0.02)
Radical prostatectomy and EBRT	0 (0)	1 (0.04)	2 (0.1)	0 (0)	1 (0.04)	0 (0)	4 (0.1)
WW	1 (0.1)	7 (0.3)	12 (0.3)	20 (0.6)	31 (1.3)	13 (1.3)	86 (2.1)

Table 2 Characteristics of clinical follow-up, discontinuation of AS and subsequent treatment*

Other	16 (1.0)	18 (0.8)	19 (0.5)	23 (0.6)	15 (0.6)	9 (0.9)	101 (2.5)

*Database version 'gap3data_2.3', released in June 2017; ** The percentage in the last column (All) is based on the total number of patients, the number of patients who discontinued AS, or the number of patients who received treatment following AS, respectively; AS: active surveillance; WW: watchful waiting; EBRT: External beam radiotherapy; ADT: Androgen deprivation therapy; PCa: prostate cancer; *** The proportion refers to the % of men that received treatment after stopping AS

$\begin{array}{c c c c c c c c c c c c c c c c c c c $					
= 45) PCa (N = 17) Age, Median (O1-O3) 66 yr (IOR 62-72) 66 yr (IOR 64-72) O 45 (100%) 17 (100%) Q 45 (100%) 0.0%) 1 0.0%) 0.0%) 2 0.0%) 0.0%) 3 0.0%) 0.0%) 45 (100%) 0.0%) 0.0%) 2 0.0%) 0.0%) 23 0.0%) 0.0%) Missing 0.0%) 0.0%) T-stage (at DRE), n (%) T 11 12 15 (33.3%) 7 (41.2%) T3 2 (44.4%) 1 (5.9%) T4 1 (2.2%) 1 (5.9%) Unknown 6 (13.3%) 2 (11.8%) Gleason grade group, n (%) 5 (29.4%) 0 (0%) 26 2 (46.7%) 5 (29.4%) Unknown 4 (8.9%) 1 (5.9%) PSA ng/mL, n (%) 2 (14.67%) 5 (29.4%) Unknown 4 (8.9%) 1 (5.9%) PSA ng/mL, n (%) 2 (21.66.7%)	<u>Characteristics</u>	Distribution of characteristics of	Distribution of characteristics of men		
Age. Median (Q1-Q3) 66 yr (IQR 62-72) 66 yr (IQR 64-72) Charlson Comorbidity Index, n (%) 45 (100%) 17 (100%) Q 45 (100%) 0.00%) 2 0.00%) 0.00%) 2 0.00%) 0.00%) 3 0.00%) 0.00%) Missing 0.00%) 0.00%) T1 21 (46.7%) 6 (35.3%) T2 15 (33.3%) 7 (41.2%) T3 2 (4.4%) 1 (5.9%) Unknown 6 (13.3%) 2 (11.8%) Gleason grade group, n (%) 5 (29.4%) 10 (58.8%) > 26 28 (62.2%) 10 (58.8%) > 26 21 (46.7%) 5 (29.4%) Unknown 4 (8.9%) 2 (11.8%) PSA ng/mL n (%) 4 (8.9%) 2 (11.8%) Median (Q1-Q3) 6.9 ng/ml (QR 4.8-8.7) 7.9 ng/ml (QR 4.3-12.5) Missing, n (%) 23 (51.1%) 9 (52.9%) Postate volume, cc 10 (58.8%) 2 (55.6%) Missing, n (%) 25 (55.6%) 10 (58.8%)					
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	A M 1' (01.02)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		<u>66 yr (IQR 62-72)</u>	<u>66 yr (IQR 64-72)</u>		
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		45 (1000())	17 (1000())		
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	0				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\frac{1}{2}$				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\frac{2}{2}$				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $					
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		<u>0 (0%)</u>	<u>0 (0%)</u>		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$					
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	<u>T1</u>				
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	<u>T2</u>				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	<u>T3</u>				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $					
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		<u>6 (13.3%)</u>	<u>2 (11.8%)</u>		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$					
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	<u><6</u>		<u>_</u>		
$\begin{array}{c c c c c c c c c c c c c c c c c c c $					
$\begin{array}{c c c c c c c c c c c c c c c c c c c $			<u>5 (29.4%)</u>		
Median (Q1-Q3) Missing, n (%) 6.9 ng/ml (IQR 4.8-8.7) 4 (8.9%) 7.9 ng/ml (IQR 4.3-12.5) 1 (5.9%) Prostate volume, cc Median (Q1-Q3) Missing, n (%) 44 cc (IQR 31-55) 23 (51.1%) 41 cc (IQR 29-50) 9 (52.9%) PSA density ng/mL/mL Median (Q1-Q3) 0.14 ng/ml (IQR 0.10-0.19) 25 (55.6%) 0.14 ng/ml (IQR 0.11-0.19) 10 (58.8%) Positive cores 2 10 (30.3%) 2 4 (23.5%) 3 (17.6%) 23 9 (27.3%) 3 (17.6%) Time to metastasis, Median (Q1-Q3) 6.4 yr (IQR 3.5-9.9) 5 Time to death, Median (Q1-Q3) 6.4 yr (IQR 3.5-9.9) 5	Unknown	<u>4 (8.9%)</u>	<u>2 (11.8%)</u>		
Missing, n (%) 4 (8.9%) 1 (5.9%)Prostate volume, cc $Median (Q1-Q3)$ $44 cc (IQR 31-55)$ $41 cc (IQR 29-50)$ Missing, n (%) $23 (51.1\%)$ $9 (52.9\%)$ PSA density ng/mL/mL $9 (52.9\%)$ Median (Q1-Q3) $0.14 ng/ml (IQR 0.10-0.19)$ $0.14 ng/ml (IQR 0.11-0.19)$ Proportion missing, n (%) $25 (55.6\%)$ $10 (58.8\%)$ Positive cores $1 (4 (42.4\%))$ $5 (29.4\%)$ $2 = 2 = 2 = 2 = 2 = 2 = 2 = 2 = 2 = 2 =$					
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Median (Q1-Q3)	<u>6.9 ng/ml (IQR 4.8-8.7)</u>	7.9 ng/ml (IQR 4.3-12.5)		
Median (Q1-Q3) Missing, n (%) 44 cc (IQR 31-55) 23 (51.1%) 41 cc (IQR 29-50) 9 (52.9%) PSA density ng/mL/mL Median (Q1-Q3) 0.14 ng/ml (IQR 0.10-0.19) 25 (55.6%) 0.14 ng/ml (IQR 0.11-0.19) 10 (58.8%) Positive cores 2 14 (42.4%) 10 (30.3%) 10 (58.8%) 23 10 (30.3%) 9 (27.3%) 4 (23.5%) 3 (17.6%) Time to metastasis, Median (Q1-Q3) 6.4 yr (IQR 3.5-9.9) - Time to death, Median (Q1-Q3) - 10.0 (IQR 6.1-12.7)		<u>4 (8.9%)</u>	<u>1 (5.9%)</u>		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$					
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		<u>44 cc (IQR 31-55)</u>	<u>41 cc (IQR 29-50)</u>		
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Missing, n (%)	<u>23 (51.1%)</u>	<u>9 (52.9%)</u>		
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	PSA density ng/mL/mL				
Positive cores Image: cores $\frac{0}{1}$ $\frac{14}{(42.4\%)}$ $5(29.4\%)$ $\frac{1}{2}$ $\frac{10}{(30.3\%)}$ $4(23.5\%)$ ≥ 3 $9(27.3\%)$ $3(17.6\%)$ Time to metastasis, Median (Q1-Q3) 6.4 yr (IQR 3.5-9.9) $=$ Time to death, Median (Q1-Q3) $=$ 10.0 (IQR 6.1-12.7)	Median (Q1-Q3)	<u>0.14 ng/ml (IQR 0.10-0.19)</u>	<u>0.14 ng/ml (IQR 0.11-0.19)</u>		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Proportion missing, n (%)	<u>25 (55.6%)</u>	<u>10 (58.8%)</u>		
Time to metastasis, Median (Q1-Q3)6.4 yr (IQR 3.5-9.9)Time to death, Median (Q1-Q3)-10.0 (IQR 6.1-12.7)	Positive cores				
Time to metastasis, Median (Q1-Q3)6.4 yr (IQR 3.5-9.9)Time to death, Median (Q1-Q3)-10.0 (IQR 6.1-12.7)	<u>0</u>	2	<u>=</u>		
Time to metastasis, Median (Q1-Q3)6.4 yr (IQR 3.5-9.9)Time to death, Median (Q1-Q3)-10.0 (IQR 6.1-12.7)	<u>1</u>	<u>14 (42.4%)</u>	<u>5 (29.4%)</u>		
Time to metastasis, Median (Q1-Q3)6.4 yr (IQR 3.5-9.9)Time to death, Median (Q1-Q3)-10.0 (IQR 6.1-12.7)	<u>2</u>	<u>10 (30.3%)</u>	<u>4 (23.5%)</u>		
<u>Time to death, Median (Q1-Q3)</u> <u>10.0 (IQR 6.1-12.7)</u>	<u>>3</u>		3 (17.6%)		
<u>Time to death, Median (Q1-Q3)</u> <u>10.0 (IQR 6.1-12.7)</u>	Time to metastasis, Median (Q1-Q3)	6.4 yr (IQR 3.5-9.9)			
			<u>10.0 (IQR 6.1-12.7)</u>		

 Table 3 Clinical and demographic characteristics and clinical follow-up for all men that developed metastases during AS and for all men that developed metastases and died of PCa*

Conflicts of Interest: None declared.

Funding: This work was supported by the Movember Foundation. The funder did not play any role in the study design, collection, analysis or interpretation of data, or in the drafting of this paper. For information, contact Dr. M.J. Roobol: <u>m.roobol@erasmusmc.nl</u>.

*Members of The Movember Foundation's Global Action Plan Prostate Cancer Active Surveillance (GAP3) consortium

Principle Investigators: Bruce Trock (Johns Hopkins University, The James Buchanan Brady Urological Institute, Baltimore, USA), Behfar Ehdaie (Memorial Sloan Kettering Cancer Center, New York, USA), Peter Carroll (University of California San Francisco, San Francisco, USA), Christopher Filson (Emory University School of Medicine, Winship Cancer Institute, Atlanta, USA), Jeri Kim (MD Anderson Cancer Centre, Houston, USA), Todd Morgan (University of Michigan and Michigan Urological Surgery Improvement Collaborative, Michigan, USA), Laurence Klotz (University of Toronto, Sunnybrook Health Sciences Centre, Toronto, Ontario, Canada), Tom Pickles (University of British Columbia, BC Cancer Agency, Vancouver, Canada), Eric Hyndman (University of Calgary, Southern Alberta Institute of Urology, Calgary, Canada), Caroline M. Moore (University College London & University College London Hospital Trust, London, UK), Vincent Gnanapragasam (University of Cambridge & Cambridge University Hospitals NHS Foundation Trust, Cambridge, UK), Mieke Van Hemelrijck (King's College London, London, UK & Guy's and St Thomas' NHS Foundation Trust, London, UK), Prokar Dasgupta (Guy's and St Thomas' NHS Foundation Trust, London, UK), Chris Bangma (Erasmus Medical Center, Rotterdam, The Netherlands), Monique Roobol (Erasmus Medical Center, Rotterdam, The Netherlands), Arnauld Villers (Lille University Hospital Center, Lille, France), Antti Rannikko (Helsinki University and Helsinki University Hospital, Helsinki, Finland), Riccardo Valdagni (Department of Oncology and Hemato-oncology, Università degli Studi di Milano, Radiation Oncology 1 and Prostate Cancer Program, Fondazione IRCCS Istituto Nazionale dei Tumori, Milan, Italy), Antoinette Perry (University College Dublin, Dublin, Ireland), Jonas Hugosson (Sahlgrenska University Hospital, Göteborg, Sweden), Jose RubioBriones (Instituto Valenciano de Oncología, Valencia, Spain), Anders Bjartell (Skåne University Hospital, Malmö, Sweden), Lukas Hefermehl (Kantonsspital Baden, Baden, Switzerland), Lee Lui Shiong (Singapore General Hospital, Singapore, Singapore), Mark Frydenberg (Monash Health; Monash University, Melbourne, Australia), Yoshiyuki Kakehi (Kagawa University Faculty of Medicine, Kagawa, Japan), Byung Ha Chung (Gangnam Severance Hospital, Yonsei University Health System, Seoul, Republic of Korea)

Pathologist: Theo van der Kwast (Princess Margaret Cancer Centre, Toronto, Canada).

<u>Technology Research Partners:</u> Henk Obbink (Royal Philips, Eindhoven, the Netherlands), Wim van der Linden (Royal Philips, Eindhoven, the Netherlands), Tim Hulsen (Royal Philips, Eindhoven, the Netherlands), Cees de Jonge (Royal Philips, Eindhoven, the Netherlands).

Regional statisticians: Mike Kattan (Cleveland Clinic, Cleveland, Ohio, USA), Ji Xinge (Cleveland Clinic, Cleveland, Ohio, USA), Kenneth Muir (University of Manchester, Manchester, UK), Artitaya Lophatananon (University of Manchester, Manchester, UK), Michael Fahey (Epworth HealthCare, Melbourne, Australia), Ewout Steyerberg (Erasmus Medical Center, Rotterdam, The Netherlands), Daan Nieboer (Erasmus Medical Center, Rotterdam, The Netherlands); Liying Zhang (University of Toronto, Sunnybrook Health Sciences Centre, Toronto, Ontario, Canada)

<u>Clinical Research Partners' IT Experts:</u> Wei Guo (Johns Hopkins University, The James Buchanan Brady Urological Institute, Baltimore, USA), Tanya Milan (Memorial Sloan Kettering Cancer Center, New York, USA), Nicole Benfante (Memorial Sloan Kettering Cancer Center, New York, USA), Janet Cowan (University of California San Francisco, San Francisco, USA), Dattatraya Patil (Emory University School of Medicine, Winship Cancer Institute, Atlanta, USA), Rachel Sanford (MD Anderson Cancer Centre, Houston, Texas, USA), Tae-Kyung Kim (University of Michigan and Michigan Urological Surgery Improvement Collaborative, Ann Arbor, Michigan, USA), Alexandre Mamedov (University of Toronto, Sunnybrook Health Sciences Centre, Toronto, Ontario, Canada), Vincent LaPointe (University of British Columbia, BC Cancer Agency, Vancouver, Canada), Trafford Crump (University of Calgary, Southern Alberta Institute of Urology, Calgary, Canada), Rifat Hamoudi (University College London & University College London Hospital Trust, London, UK), Jenna Kimberly-Duffell (University of Cambridge & Cambridge University Hospitals NHS Foundation Trust, Cambridge, UK), Aida Santaolalla (King's College London, London, UK & Guy's and St Thomas' NHS Foundation Trust, London, UK), Daan Nieboer (Erasmus Medical Center, Rotterdam, The Netherlands), Jonathan Olivier (Lille University Hospital Center, Lille, France), Emanuele Bianchi Janetti (Fondazione IRCCS Istituto Nazionale dei Tumori di Milano, Milan, Italy), Tiziana Rancati (Fondazione IRCCS Istituto Nazionale dei Tumori di Milano, Milan, Italy), Helén Ahlgren (Sahlgrenska University Hospital, Göteborg, Sweden), Juanma Mascarós (Instituto Valenciano de Oncología, Valencia, Spain), Annica Löfgren (Skåne University Hospital, Malmö, Sweden), Kurt Lehmann (Kantonsspital Baden, Baden, Switzerland), Catherine Han Lin (Monash University and Epworth HealthCare, Melbourne, Australia), Hiromi Hirama (Kagawa University, Kagawa, Japan).

<u>Research Advisory Committee:</u> Guido Jenster (Erasmus MC, Rotterdam, the Netherlands), Anssi Auvinen (University of Tampere, Tampere, Finland), Anders Bjartell (Skåne University Hospital, Malmö, Sweden), Masoom Haider (University of Toronto, Toronto, Canada), Kees van Bochove (The Hyve B.V. Utrecht, Utrecht, the Netherlands), Ballentine Carter (Johns Hopkins University, Baltimore, USA). Management team: Rachelle Kirk-Burnnand (Movember Foundation, Melbourne, Australia), Sam Gledhill (Movember Foundation, Melbourne, Australia), Mark Buzza (Movember Foundation, Melbourne, Australia), Sophie Bruinsma (Erasmus Medical Center, Rotterdam, The Netherlands).